



DEPARTMENT OF THE NAVY
 OFFICE OF NAVAL RESEARCH
 875 NORTH RANDOLPH STREET
 SUITE 1425
 ARLINGTON, VA 22203-1995

IN REPLY REFER TO:

Agreement Date: April 24, 2020
 [Supersedes Agreement Dated: June 24, 2019]

NEGOTIATION AGREEMENT

**INSTITUTION: UTAH STATE UNIVERSITY
 LOGAN, UTAH 84322-1445**

The Facilities and Administrative (F&A) Cost rates contained herein are for use on grants, contracts and/or other agreements issued or awarded to the Utah State University by all Federal Agencies of the United States of America, in accordance with the provisions and cost principles mandated by 2 CFR Part 200. These rates shall be used for forward pricing and billing purposes for the Utah State University Fiscal Year(s) 2020 and 2021. This rate agreement supersedes all previous rate agreements/determinations for Fiscal Year 2020.

Section I: RATES - TYPE: PREDETERMINED (PRED)

F&A Rates:

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE</u>	<u>BASE</u>	<u>APPLICABLE TO</u>	<u>LOCATION</u>
PRED	07/01/19	06/30/20	45.00%	(a)	Organized Research	On-Campus
PRED	07/01/19	06/30/20	21.49%	(a)	Organized Research	Off-Campus
PRED	07/01/19	06/30/20	26.50%	(a)	Other Sponsored Activities	On-Campus
PRED	07/01/19	06/30/20	19.00%	(a)	Other Sponsored Activities	Off-Campus
PRED	07/01/19	06/30/20	50.40%	(a)	Instruction	On-Campus
PRED	07/01/19	06/30/20	26.00%	(a)	Instruction	Off-Campus

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE</u>	<u>BASE</u>	<u>APPLICABLE TO</u>	<u>LOCATION</u>
PRED	07/01/20	06/30/21	46.00%	(a)	Organized Research	On-Campus
PRED	07/01/20	06/30/21	21.30%	(a)	Organized Research	Off-Campus
PRED	07/01/20	06/30/21	27.80%	(a)	Other Sponsored Activities	On-Campus
PRED	07/01/20	06/30/21	21.80%	(a)	Other Sponsored Activities	Off-Campus
PRED	07/01/20	06/30/21	50.20%	(a)	Instruction	On-Campus
PRED	07/01/20	06/30/21	26.00%	(a)	Instruction	Off-Campus

DISTRIBUTION BASE

(a) Modified Total Direct Costs (MTDC) includes all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each subaward (regardless of the period of performance of the subawards under the award). MTDC

excludes equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs and the portion of each subaward in excess of \$25,000.

SECTION II - GENERAL TERMS AND CONDITIONS

A. LIMITATIONS: Use of the rates set forth under Section I is subject to availability of funds and to any other statutory or administrative limitations. The rates are applicable to a given grant, contract or other agreement only to the extent that funds are available and consistent with any and all limitations of cost clauses or provisions, if any, contained therein. Acceptance of any or all of the rates agreed to herein is predicated upon the following conditions: (1) that no costs other than those incurred by the institution were included in this indirect cost pool as finally accepted and that such costs are legal obligations of the institution and allowable under governing cost principles; (2) that the same costs that have been treated as indirect costs are not claimed as direct costs; (3) that similar types of costs have been accorded consistent accounting treatment; and (4) that the information provided by the institution which was used as a basis for acceptance of the rates agreed to herein, and expressly relied upon by the Government in negotiating and accepting the said rates is not subsequently found to be materially incomplete or inaccurate.

B. ACCOUNTING CHANGES: The rates contained in Section I of this agreement are based on the accounting system in effect at the time the agreement was negotiated. Changes to the method(s) of accounting for costs, which affect the amount of reimbursement resulting from the use of these rates require the prior written approval of the authorized representative of the cognizant agency for indirect costs. Such changes include but are not limited to changes in the charging of a particular type of cost from indirect to direct. Failure to obtain such approval may result in subsequent cost disallowances.

C. PREDETERMINED RATES: The predetermined rates contained in this agreement are not subject to adjustment in accordance with the provisions of 2 CFR Part 200, subject to the limitations contained in Part A of this section.

D. CARRY FORWARD AMOUNTS: Previous rate agreements provided for F&A rates using the Fixed with Carry Forward rate type. These prior rate years included carry forward amounts that require liquidation, some of which are provided in this rate agreement. The following final and estimated carry-forward amounts were included in the establishment of the FY 2020 rates:

	Liquidated in FY 2020 Fixed Rates			
	<u>USU Under/(Over) Recovery</u>		<u>USU Under/(Over) Recovery</u>	
	Capped	Capped	Uncapped	Uncapped
	<u>On Campus</u>	<u>Off Campus</u>	<u>On Campus</u>	<u>Off Campus</u>
Organized Research				
FY13 Final*	(\$113,159)	(\$281)	(\$113,159)	(\$281)
FY14 Final*	(\$12,520)	\$80	(\$13,046)	\$80

FY15 Final	\$0	(\$650)	\$0	(\$650)
FY16 Final	\$0	\$5,920	\$0	\$6,209
FY17 Final	\$0	(\$4,906)	\$0	(\$5,195)
FY18 Est.	(\$39,874)	(\$163)	(\$39,874)	(\$163)
	<u>(\$165,708)</u>	<u>\$0</u>	<u>(\$166,234)</u>	<u>\$0</u>

*These amounts reflect the full and complete liquidation of carry-forward amounts for FY13 and FY14.

E. USE BY OTHER FEDERAL AGENCIES: The rates set forth in Section I are negotiated in accordance with and under the authority set forth in 2 CFR Part 200. Accordingly, such rates shall be applied to the extent provided in such regulations to grants, contracts, and other agreements to which 2 CFR Part 200 applies, subject to any limitations in part A of this section. Copies of this document may be provided by either party to other federal agencies to provide such agencies with documentary notice of this agreement and its terms and conditions.

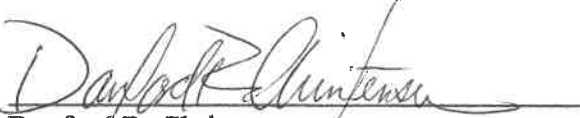
F. DFARS WAIVER: Signature of this agreement by the authorized representative of Utah State University and the Government acknowledges and affirms the University's request to waive the prohibition contained in DFARS 231.303(1) and the Government's exercise of its discretion contained in DFARS 231.303(2) to waive the prohibition in DFARS 231.303(1). The waiver request by Utah State University is made to simplify the University's overall management of DoD cost reimbursements under DoD contracts.

G. SPECIAL REMARKS: The Government's agreement with the rates set forth in Section I is not an acceptance of the Utah State University's accounting practices or methodologies. Any reliance by the Government on cost data or methodologies submitted by Utah State University is on a non-precedence-setting basis and does not imply Government acceptance.

Accepted:

FOR UTAH STATE UNIVERSITY:

FOR THE GOVERNMENT:



Danford R. Christensen
Controller

Betty J. Tingle
Contracting Officer

4/24/2020
Date:

Date:

For information concerning this agreement contact:

Betty Tingle, Contracting Officer
Office of Naval Research

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