

Agenda

AGENDA
REGULAR MEETING OF THE
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
CONFERENCE CALL
CHAMP HALL CONFERENCE ROOM, OLD MAIN 136
NOVEMBER 20, 2009

9:30 a.m. EXECUTIVE SESSION

10:00 a.m. REGULAR MEETING

1. Introductory Items
2. President's Report
3. Chairman's Report
4. Consent Agenda
5. Action Agenda
6. Other

ADJOURN

Chairman

AGENDA
CHAIRMAN'S REPORT
OCTOBER 9, 2009

A. Information Items

1. Report by ASUSU President, Tyler L. Tolson
2. Report by Alumni Association President, Ralph Binns
3. Workshop, January 8, 2010
4. Date of the Next Board of Trustees Meeting, January 8, 2010,
if needed, or March 26, 2010

B. Other

President

AGENDA
PRESIDENT'S REPORT
NOVEMBER 20, 2009

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A. <u>Information Items</u>	
1. Student Resolution for Stephanie Hugie	1
2. Enrollment Update	
3. Comprehensive Campaign Update	
4. Legislative Update	
5. USU/CEU Update	
6. Budget Reduction Progress Report	
7. Commencement, December 11-12, 2009	3
8. Merlin Olsen Event, December 5, 2009, Jim and Carol Laub Athletics-Academic Complex, reception at 3:30 p.m. and dinner at 4:30 p.m.	
B. <u>Recent Events</u>	
1. Equine Center Groundbreaking, October 9, 2009	
2. Signing Ceremony of the Utah Statewide Partnership with Mali, October 12, 2009	
3. Sunrise Session, October 16, 2009	
4. Korean Far East University Agreement of Cooperation Signing, October 20, 2009	
5. Old Main Society, October 23, 2009	
6. Foundation Board Meeting, October 23-24, 2009	
7. NWCCU Focused Interim Evaluation, October 26-27, 2009	
8. Meeting with Regents/Legislators/Commissioner, November 4, 2009	
9. USU Research Foundation Board Dinner, November 5, 2009	
10. SDL 50 th Anniversary Celebration, November 6, 2009	
11. Special Board of Regents (Legislative Focus), November 13, 2009	
12. APLU Annual Meetings, November 15-17, 2009	
C. <u>Upcoming Events</u>	
1. Development event with Craig Jessop, home of Greg Prince, November 21-23, 2009	
2. South Korean Signing and Luncheon, December 3, 2009	
3. Board of Regents at the University of Utah, December 11, 2009	
4. Fall Commencement, December 11-12, 2009	
5. USU Board of Trustees Half-Day Workshop, January 8, 2010	
6. NWCCU Commission Meetings, January 10-13, 2010	
7. Board of Regents, Salt Lake Community College, January 15, 2010	
8. Sunrise Session, January 29, 2010	



RESOLUTION OF COMMENDATION

To

Stephanie Hugie

Stephanie Hugie is no stranger to running marathons. Not only does she physically run them for fun and exercise, she is also constantly running them in her academic and extracurricular life. It is evident that her pace has never wavered as she maintained a 4.0 grade point average her entire time at Utah State University.

Stephanie is a native of Taylorsville, Utah. She graduated from high school and then, in her own words, made the "easiest decision ever," in choosing Utah State. She is a senior dual-majoring in International Studies and Economics. Along with her grueling coursework, she has accomplished many things outside the classroom.

Stephanie started Utah State University as an Honors Fellow and continued with great experiences in student involvement and leadership. She is a Huntsman Scholar, was on Service Center Council, served two years on the Government Relations Committee, Best Buddies, and devoted many hours volunteering. Stephanie's service has also reached an international level. She lived in Ecuador for six months as a part of OSSO working with their orphanages and has also spent time studying in Europe.

One of her memorable moments at Utah State University was playing the piano in President Albrecht's home as a freshman. As she crosses the finish line of this fine institution in December, we will be pleased to send another great representative of Utah State University into the world.

Stan L. Albrecht

President

November 20, 2009

One Hundred and Twenty-second Commencement
Utah State University
December 11 and 12, 2009

Schedule of Events
Board of Trustees

Friday, December 11, 2009

Graduate Hooding and Commencement Ceremony

- 12:30 p.m. Graduate students, faculty, and platform party assemble in the Nelson Field House (academic regalia available in Room 225, Taggart Student Center)
- 1:00 p.m. Academic procession begins from the Nelson Field House and proceeds to the Dee Glen Smith Spectrum
- 1:30 p.m. Graduate Commencement and Hooding Ceremony, Dee Glen Smith Spectrum

Recognition Dinner

- 6:00 p.m. Recognition Dinner for Valedictorians, Alumni Center

Saturday, December 12, 2009

- 8:30 a.m. Continental Breakfast, Walnut Room, Taggart Student Center
- 9:30 a.m. Undergraduate students, faculty, and platform party assemble in the Nelson Field House (academic regalia available in Room 225, Taggart Student Center)
- 10:00 a.m. Academic procession begins from the Nelson Field House and proceeds to the Dee Glen Smith Spectrum
- 10:30 a.m. Commencement Ceremony, Dee Glen Smith Spectrum

Note: Please let me know what commencement events you plan to attend.

Consent Agenda

**CONSENT AGENDA
NOVEMBER 20, 2009**

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12. Delegation/Administration Reports for September 30, 2009 to November 13, 2009	103
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14. Executive Session, January 8, 2010 or March 26, 2010	

EXECUTIVE SESSION
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
OCTOBER 9, 2009

Minutes of the Executive Session of the Utah State University Board of Trustees held in the Sonne Board Room of the University Inn, Utah State University, Logan, Utah, at 9:05 a.m.

MEMBERS PRESENT

Suzanne Pierce-Moore	Chair
Ronald W. Jibson	Vice Chair (by telephone)
Ralph W. Binns	
David P. Cook	
Robert L. Foley	
Douglas S. Foxley	
Susan D. Johnson	(by telephone)
Richard L. Shipley	
Tyler L. Tolson	
Scott R. Watterson	

UNIVERSITY REPRESENTATIVES PRESENT

Stan L. Albrecht	President
Raymond T. Coward	Executive Vice President and Provost
F. Ross Peterson	Vice President for University Advancement
Sydney M. Peterson	Chief of Staff and Board of Trustees Secretary
Craig J. Simper	University Counsel

Chair Pierce-Moore conducted the meeting and welcomed those present.

Personnel and legal matters were discussed.

The Executive Session adjourned at 9:42 a.m.

Suzanne Pierce-Moore, Chair

Sydney M. Peterson, Secretary

Date Approved

REGULAR MEETING
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
OCTOBER 9, 2009

Minutes of the Regular Meeting of the Utah State University Board of Trustees held in the Alma Sonne Board Room of the University Inn at 9:45 a.m.

MEMBERS PRESENT

Suzanne Pierce-Moore	Chair
Ralph W. Binns	
David P. Cook	
Robert L. Foley	
Douglas S. Foxley	
Susan D. Johnson	(By telephone)
Richard L. Shipley	
Tyler L. Tolson	
Scott R. Watterson	

MEMBER EXCUSED

Ronald W. Jibson	Vice Chair
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UNIVERSITY REPRESENTATIVES PRESENT

Stan L. Albrecht	President
Raymond T. Coward	Executive Vice President and Provost
Noelle E. Cockett	Vice President and Dean for Extension and Agriculture
Fred R. Hunsaker	Interim Vice President for Business and Finance
M. Kay Jeppesen	Vice President and CIO for Information Technology
Michael J. Kennedy	Special Assistant to the President for Federal and State Relations
James D. Morales	Vice President for Student Services
F. Ross Peterson	Vice President for University Advancement
Ned M. Weinshenker	Vice President for Strategic Ventures and Economic Development
John C. Allen	Associate Dean of the College of HASS
Janet B. Anderson	Associate Dean of the College of Agriculture
Jodi Bailey	Chief Audit Executive
Scott F. Boyer	Executive Director of Marketing, Extension Administration
David T. Cowley	Associate Vice President for Business and Finance

John DeVilbiss	Executive Director of Public Relations and Marketing
Beth E. Foley	Head of the Department of Communicative Disorders and Deaf Education
Nat Frazer	Dean of the College of Natural Resources
Doug Garrett	President of the Professional Employees Association
Edward M. Heath	President of Faculty Senate
Michelle B. Larson	Assistant Provost
James A. MacMahon	Dean of the College of Science
Craig Miller	President of the Classified Employees Association
Sydney M. Peterson	Chief of Staff and Board of Trustees Secretary
Ann Roemer	Director of the Intensive English Language Institute
Eugene W. Schupp	Associate Professor in the Department of Wildland Resources
Clifford R. Skousen	Ernst and Young Professor, School of Accountancy
Craig J. Simper	General Counsel
Laurens Smith	Vice Provost
Ken Snyder	Executive Director, MBA Office, Jon Huntsman School of Business
Carol J. Strong	Dean of the College of Education and Human Services
Mira G. Thatcher	Secretary
Jeannie B. Thomas	Head of the Department of English
Larry M. Walther	Head of the School of Accountancy

OTHERS PRESENT

John Gilmore	Student, recipient of the First Norman Mailer College Writing Award
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MEMBERS OF THE MEDIA PRESENT

Kim Burgess	<i>The Herald Journal</i>
Rachel Christensen	<i>The Statesman</i>

Chair Pierce-Moore conducted the meeting and welcomed those present. She excused Vice Chair Jibson, who was out of the country. She announced that Winter Commencement will be held on December 11-12.

Trustee Cook was absent the first part of the meeting.

I. Chairman's Report

A. Report by ASUSU President, Tyler L. Tolson

Trustees Tolson reported on the following (see Appendix KK)

- Homecoming events were a success. Approximately 2,000 students attended the Mr. USU pageant and almost 4,000 attended the Homecoming dance. In previous years, 500 to 1,000 attended the dance. This year it was casual dress.
- More than 120 student leaders received training at the Aggie BLUE Fall Leadership seminar.
- Most members of the President's Cabinet have been selected and have several projects planned.
- Ag Week was a success.
- Online coverage of high profile Aggie Athletics teams will soon be available.
- The annual Aggies for Africa shoe drive is scheduled.

B. Report by Alumni Association President, Ralph W. Binns

Trustee Binns reported on the following Alumni Relations activities (see Appendix JJ)

- Homecoming was a success. Those who received awards were Gary and Karen Black – Alumni of the Year; Charisse Bremond Weaver – Distinguished Young Alumna; Eric Hipple – Grand Marshal. Trustee Binns emphasized the importance of staying connected with these individuals.
- The 2009 Hall of Honor will be held on November 13. Those receiving awards will be Bill and Sharon Larkin, David and Kris Stanger, Jim Sorenson, and Dee Jones.
- The 2009 Aggie Family Legacy Award will be presented in December to the Cranney Family.
- A pre-game party was held in Provo prior to the BYU football game, and approximately 150 attended.
- Pre-game parties will be held in Boston, Massachusetts; Rockville, Maryland; Long Beach, California; Boise Idaho; and San Jose, California.
- Travel plans include Hawaii in November and "Cathedrals of Great Britain" in June 2010. They are also working on other travel plans.
- Aggie licence plates would make good Christmas gifts.

C. Committee Structure and Assignments

Trustees were given a list of committee assignments for their information (Appendix A). Members of the newly formed committees are as follows:

Executive Committee: Suzanne Pierce-Moore, Chair; Ronald W. Jibson, Vice Chair; David P. Cook; Douglas S. Foxley; and Richard L. Shipley.

Athletics Committee: Scott R. Watterson, Chair; Ralph W. Binns; and Tyler L. Tolson (staff support – Scott Barnes)

Audit Committee: David P. Cook, Chair; Robert L. Foley, Vice Chair, and Ronald W. Jibson (staff support – Fred R. Hunsaker and Jodi Bailey)

Honorary Degrees and Awards Committee (University Committee): Suzanne Pierce-Moore (expires 6/30/10); Ralph W. Binns (expires 6/30/11); Douglas S. Foxley (expires 6/30/11); and Richard L. Shipley (expires 6/30/11) (staff support – Sydney Peterson)

Marketing/Public Relations Committee: Susan D. Johnson, Chair; Richard L. Shipley; and Tyler L. Tolson (staff support – John DeVilbiss, F. Ross Peterson, and Sydney M. Peterson)

Regional Campus System: Robert L. Foley, Chair and Ronald W. Jibson (staff support – Raymond T. Coward and Ronda Menlove)

D. Proposed Schedule of Board of Trustees Meetings for 2010

Trustees were given the proposed schedule of Board of Trustees Meetings for 2010 (Appendix B) for their consideration.

Action: Trustee Foley made a motion to approve the proposed schedule of Board of Trustees meetings for 2010, and Trustee Shipley seconded the motion. The voting was unanimous in the affirmative.

E. Report on the Athletics Committee Meeting held October 9, 2009

Trustee Watterson, Chair of the Athletics Committee, reported that Director of Athletics, Scott Barnes, presented a budget update and five-year financial plan to the Athletics Committee. He said Director Barnes is doing an excellent job in administering the budget. The additional student fee charge has been helpful to the budget. He said the football program is improving.

F. Report on the Audit Committee Meeting held October 9, 2009

Trustee Foley, Vice Chair of the Audit Committee, reported that the Audit Committee approved the minutes of the Audit Committee meeting held March 6, 2009 (Appendix C), received an update on the current internal audit reports and

ongoing work, the status update for the annual financial statements' external audit which is nearing completion, and the current internal audit plan. He indicated that Jodi Bailey, the Chief Audit Executive, and her staff do a great job.

G. Date of the Next Board of Trustees Meetings

The next Board of Trustees meeting will be held on Friday, November 20, 2009, as a telephone conference call.

II. President's Report

A. Enrollment Update

Provost Coward distributed a report of the Enrollment History of USU (Appendix D) and reported the largest enrollment in the history of the University at more than 25,000. He indicated that most of the increase occurred at the Regional Campuses. He stated that the number of students at Regional Campuses will some day outnumber students at the Logan campus. Currently, the Logan campus has approximately 15,000 students enrolled, and Regional Campuses and Distance Education have approximately 11,000 students enrolled. There are approximately 14,000 full-time students at the Logan campus, and approximately 5,000 full-time students at the Regional Campuses.

Provost Coward said the quality of students is remaining high, and the Freshman class this year is the second best prepared in the history of USU.

President Albrecht mentioned that when the College of Eastern Utah joins with USU, the Regional Campus enrollment will increase even more. After a two- to three-year decline in enrollment at the Logan Campus, there have been four years of growth. The increases include in-state, out-of-state, and transfer students. This is the first year of the Legacy program, which has brought 240 students from 34 states and 2 from out of the country. President Albrecht said that the prospects of this program continuing are good. The program is doing what was hoped. USU is also getting more transfer students from BYU and BYU-Idaho.

B. Comprehensive Campaign Report

Vice President Peterson reported that compared to last year, giving decreased considerably, but they are optimistic that they will receive more large gifts to the University (see Appendix EE). Endowments are increasing.

Vice President Peterson stated that the Legacy program has had a positive effect on University Advancement. It gives entry back to people living away from Utah whose children wish to enroll at USU.

Vice President Peterson invited Trustees to attend the Old Main Society event which will be held on October 23. There will be an induction ceremony for 31 individuals and couples that have given at least \$25,000 during their lifetime. Preston and Betty Nibley will be honored for the Seeley/Hinckley scholarship which is endowed at \$5.6 million, and has provided scholarships for hundreds of students in the last 20 years. Also being honored are Natalie and Brian Broadbent, a young couple who started an endowment which is at approximately \$100,000 for scholarships. Because of budget restraints this year, there will not be a dinner, but a student concert will be held titled, "*A Gift of Music.*"

C. Legislative Update

President Albrecht reported that he and Michael Kennedy have met with the majority of legislative leaders, including the Senate President and Speaker of the House. They are focusing discussions on the following:

- Budget-related issues to help legislators understand the consequences of the budget reductions.
- Need for support for separating federal and state funding for the College of Agriculture/Agriculture Research Service (ARS) building project. Leadership of both the Senate and the House have been supportive, and a bill will be sponsored which will allow USU to move forward using \$43 million state funding on the USU portion of the building. If this receives the needed legislative support, groundbreaking could take place next spring.
- Need for support from the legislature for the College of Eastern Utah (CEU) affiliation with USU. There will be sponsorship from both the House and the Senate for legislation that will allow the affiliation to move forward.

D. USU-CEU Update

Provost Coward reported that six different committees have been meeting for the last two months to determine how to proceed with the USU-CEU affiliation. The Memorandum of Understanding is being drafted. Opportunities continue to be discussed, and work is moving forward. The leaders of the six committees will meet in Price on October 21.

E. Budget Reduction Progress Report

Vice President Hunsaker reported that USU will be required to take another \$13 million budget reduction by June 30, 2010. The Budget Reduction Committee is being reinstated, which is co-chaired by Vice President Hunsaker and Provost Coward. The committee will meet next week to determine how to proceed. Deans and vice presidents will submit their suggestions for reductions by October 19. By December 1, the committee will have a recommendation to President Albrecht.

President Albrecht said this reduction will result in a total reduction of 17 percent. Half of the \$13 million will be offset with new revenue, and will occur over a two-year period. This will help significantly to ease the impact on campus.

F. Utah State GREATS (in brief)

Trustees were given the *Utah State GREATS (in brief)* (Appendix E).

G. Recent Events

1. Legislative/USU/Idaho National Laboratory (INL) Field Trip, Vernal, August 3-4, 2009
2. UBATC Building Ribbon Cutting, Vernal, August 7, 2009
3. Board of Regents, USU Campus, August 28, 2009
4. Daniel Heifetz Visit, September 2, 2009
5. Aggie Auction, September 12, 2009
6. State of the University Address, September 15, 2009
7. Janet Quinney Lawson Statue Unveiling, September 18, 2009
8. Homecoming, September 25-26, 2009
9. NCAA Peer Review, Western Virginia University, October 4-7, 2009

H. Upcoming Events

1. Equine Center Groundbreaking, October 9, 2009
2. Sunrise Sessions, October 16, 2009 – President Albrecht indicated that the Sunrise Sessions are attracting many individuals from the Wasatch Front which helps the business community become aware of what USU is doing. He invited Trustees to attend.
3. Old Main Society, October 23, 2009
4. Foundation Board Meeting, October 23-24, 2009
5. Northwest Commission of Colleges and Universities (NWCCU) Focused Interim Evaluation, October 26-27, 2009

6. Space Dynamics Laboratory 50th Anniversary Celebration, November 6-7, 2009
 - Anniversary Gala, November 6, 2009, 7:00 p.m. to 9:00 p.m.
7. Fall Commencement, December 11-12, 2009

III. Consent Agenda

Trustees were given the following consent agenda material for their consideration:

Minutes of the Executive Session Held on July 10, 2009
Minutes of the Regular Meeting Held on July 10, 2009
Minutes of the Special Meeting Held on August 21, 2009
Resolution 09-10-1 Faculty and Staff Adjustments (Appendix F)
Resolution 09-10-2 Certificate of the Treasurer for May 2009 (Appendix G)
Resolution 09-10-3 Certificate of the Treasurer for June 2009 (Appendix H)
Resolution 09-10-4 Report of Investments for May (Appendix I)
Resolution 09-10-5 Report of Investments for June (Appendix J)
Resolution 09-10-6 Real Property Acquisition (Appendix K)
Resolution 09-10-7 Delegation/Administration Reports, June 3, 2009 to July 15, 2009 (Appendix L)
Resolution 09-10-8 Contract/Grant Proposals and Awards for June 2009 (Appendix M)
Resolution 09-10-8 Contract/Grant Proposals and Awards for July 2009 (Appendix N)
Resolution 09-10-9 Contract/Grant Proposals and Awards for August 2009 (Appendix O)
Acceptance of the following written reports:
Academic/Provost (Appendix P)
Faculty and Staff Activities and Achievements (Appendix Q)
Business and Finance (Appendix R)
Annual Leased Facilities Report, July 31, 2009 (Appendix S)
Extension/Continuing Education (Appendix T)
Information Technology (Appendix U)
Research (Appendix V)
Research Performance Dashboard, FY 2008 (Appendix W)
Undergraduate Research Report (Appendix X)
Strategic Ventures and Economic Development (Appendix Y)
Student Services (Appendix Z)
Department Reports
Retention and First-Year Experience
Summary of USU Influenza Plan, September 2009
Enrollment Summary Information
Recruitment Calendar of Events for 2009-2010

University Advancement (Appendix AA)
Campaign Progress by Purpose, July 1, 2003 to September 25, 2009
(Appendix BB)
Monthly Progress Report, September 2009 (Appendix CC)
Monthly Gift Comparison, FY 2010 (Appendix DD)
Major Gift Report for July 1, 2009 through September 23, 2009 (Appendix EE)
Alumni Relations (Appendix FF)
ASUSU (Appendix GG)
Athletics (Appendix HH)
Public Relations and Marketing (Appendix II)
Public Relations and Marketing Performance Dashboard, Year End 2008-2009
(Appendix JJ)
Public Relations and Marketing Performance Dashboard, FY 2010
(Appendix KK)
Faculty Senate (Appendix LL)
Professional Employees Association (Appendix MM)
Executive Session to be held on November 20, 2009, to discuss those items which
are permitted by law to be discussed in Executive Session.

Action: Trustee Foxley moved approval of the Consent Agenda items, and Trustee Watterson seconded the motion. The voting was unanimous in the affirmative.

IV. Action Agenda

A. Faculty and Staff Adjustment – David Cowley, to be New Vice President of Business and Finance

Trustees were given an addendum to the Action Agenda to consider a faculty and staff adjustment to name David Cowley as new Vice President of Business and Finance effective January 1, 2009 (Appendix NN).

Action: Trustee Foxley made a motion to move the addendum to the Action Agenda and moved approval of Resolution 09-10-11, Faculty and Staff Adjustment (Appendix NN) for David Cowley to be appointed as the new Vice President of Business and Finance effective January 1, 2010. Trustee Tolson seconded the motion, and the voting was unanimous in the affirmative.

President Albrecht indicated that David Cowley will assume the responsibility of Vice President of Business and Finance on January 1, 2010. He stated that Vice President Hunsaker has done a wonderful job. He welcomed Mr. Cowley as the new vice president. (See media release, Appendix OO)

B. Follow-up Report from the Department of Wildland Resources in the College of Natural Resources at Utah State University (USU) for the Bachelor of Science in Conservation and Restoration Ecology, which was approved as a New Degree in 2003

Trustees were given the follow-up report from the Department of Wildland Resources in the College of Natural Resources at Utah State University (USU) for the Bachelor of Science in Conservation and Restoration Ecology, which was approved as a new degree in 2003 (Appendix PP) for their consideration.

Provost Coward stated that this new program enrolled its first students in the 2004-2005 academic year. Eugene W. Schupp, Associate Professor in the Department of Wildland Resources, reported that he wrote the proposal for the degree program. He said traditional degrees in Wildland Resources are designed to follow personnel management guidelines to be classified in particular federal employment categories with little flexibility, but there are other opportunities outside of the federal government. This degree focuses more on state and local government organizations, private land owners that manage their natural resources, environmental consulting firms, etc., and has more flexibility. Some students have changed to this major, and it better prepares them for their goals. There have been five Freshmen enter the program specifically for this degree. Most of the students are from out-of-state, and it has been successful.

Provost Coward said enrollment in the program has exceeded estimates. The student-faculty ratio is good. The program has been well received and is viable and active.

(See action below.)

C. Request from Utah State University to Offer a Stand-alone-minor in Climate Change and Energy, Effective Spring 2010

Trustees were given a proposal to offer a stand-alone-minor in Climate Change and Energy, to become effective Spring 2010 (Appendix QQ) for their consideration.

Provost Coward indicated that climate and energy are important issues for USU, the state, the country, and the world. He said there will be a good deal of interest in this minor.

(See action below)

D. Program Review for the Department of Communicative Disorders and Deaf Education (COMD-DE) Graduate Program in the Emma Eccles Jones College of Education and Human Services

Trustees were given the program review for the Department of Communicative Disorders and Deaf Education (COMD-DE) graduate program in the Emma Eccles Jones College of Education and Human Services (Appendix RR) for their consideration.

Provost Coward said the Department of Communicative Disorders and Deaf Education was reviewed by a national external agency. It is one of the strongest programs on campus.

Dean Carol Strong said she graduated from the program in 1971 and taught in the program for thirty years. She said the program provides for research, teaching, and service to the community and the state.

Beth Foley, Head of the Department of Communicative Disorders and Deaf Education, said there have been two accreditations. There were 52 national standards, and the department demonstrated excellence in all 52 standards and received re-accreditation.

Trustee Foxley praised the program at USU. He said a large number of interpreters for the deaf are trained at USU, but there is still a greater demand than interpreters available. Beth Foley said that in addition to the campus program, they have a successful program which provides interpreters in public schools.

The COMD-DE program graduates 25 students every two years. They have started a successful distance education program with 1,500 student across the world. This provides preparation for these students to apply to graduate schools, and many apply at USU.

Dean Strong indicated that they are working to secure additional funding to increase the capacity. Two interactive courses have been developed in American Sign Language with funding from AT&T. There is also a possibility to add the program at CEU.

Beth Foley said the program received a major foundation grant to start a program for deaf and hard-of-hearing children with cochlear implants. USU's program is one of only two programs of this kind in the country. After one year, there are 17 children in the program. Additional staff have been hired. Training grants are available for students participating in the program.

Provost Coward said this program serves not just families from Cache Valley, but individuals from hundreds of miles away. Some families travel to Logan, and many families have moved to Logan to take advantage of the program offered. Beth Foley stated that they received a grant for early intervention services so that the services can be provided for individuals in rural parts of Utah.

Provost Coward stated that it is a wonderful program that is strong in academics, research, and outreach.

(See action below.)

E. Program Review for the Jon M. Huntsman School of Business

Trustees were given the program review for the Jon M. Huntsman School of Business (Appendix SS) for their consideration. They received re-accreditation, and the next review will be due in the 2012-2013 academic year.

Clifford Skousen said a few months after the accreditation visit in 2007, the School of Business received a gift of \$25 million from Jon M. Huntsman. The Huntsman gift and differential tuition for students in the School of Business have provided more financial flexibility.

Larry Walther, Head of the School of Accountancy, was a member of the accreditation team that visited USU in 2007. He said he was impressed by the ethically strong and technically solid students at Utah State. He was delighted to have the opportunity to become Head of the School of Accountancy. He reported that the National Association of State Boards of Accountancy for 2009 listed USU on the Top Ten list for two years for masters students' performance on the CPA exam. Dr. Walther said he is especially proud of the character of students. They are coached on persistence and relationships, as well as technical matters.

(See action below.)

F. Program Review for the Intensive English Language Institute (IELI) in the College of Humanities, Arts and Social Sciences

Trustees were given the program review for the Intensive English Language Institute (IELI) in the College of Humanities, Arts and Social Sciences (Appendix TT) for their consideration.

Ann Roemer, Director of the Intensive English Language Institute, reported that IELI was granted ten-year accreditation and that without accreditation by the Commission of English-language Program Accreditation, USU would not have scholarship students sent by the Saudi Arabian Cultural Mission. Many of the

Saudi students who enroll in Intensive English stay at USU and enroll in different departments on campus.

Provost Coward said there are more than 1,000 international students at USU representing more than 80 countries. Without IELI, we would not be able to fully serve all of those students. The program attracts international students, who take that training and then move into different academic programs.

Trustee Foxley asked if it is possible to have the foreign students help train English-speaking students their languages. Provost Coward said that is part of the vision for globalization at USU. As part of the long-range goal, the new Vice Provost for International Education will focus on USU students going abroad as well as international students attending USU. IELI is essential to USU achieving that goal. Ann Roemer reported that this year, English-speaking students who are taking Chinese are teamed with students from China or Taiwan to be tutored in the language and to help those international students with English.

President Albrecht complimented IELI. He said international students at USU come from Mongolia, Armenia, the Dominican Republic, Saudi Arabia, and other countries. International programs include Aggies in Africa and Engineers Without Borders. He extended congratulations to IELI for its essential program.

Ann Romer reported that 102 students were enrolled in the program this semester, which is a 20% increase from last year.

G. Program Review for the School of Accountancy in the Huntsman School of Business

Trustees were given the program review for the School of Accountancy in the Huntsman School of Business (Appendix UU) for their consideration.

Department Head, Larry Walther, stated that last year more than 90% of students who graduated from the School of Accountancy, were placed in jobs. This year will be a difficult year for job opportunities. Many students will be placed outside of Utah. Some firms are still recruiting, but many firms are considering more internship positions. There are very few permanent job offers.

President Albrecht expressed appreciation for the quality of programs at USU and the good program review reports.

Action: Trustee Watterson moved approval of the following action items:
Resolution 09-10-12 the follow-up report from the Department of Wildland Resources in the College of Natural Resources at Utah State University (USU) for the Bachelor of Science in Conservation and Restoration Ecology, which was approved as a new degree in 2003 (Appendix PP)

Resolution 09-10-13 a proposal to offer a stand-alone-minor in Climate Change and Energy, to become effective Spring 2010 (Appendix QQ)

Resolution 09-10-14 the program review for the Department of Communicative Disorders and Deaf Education (COMD-DE) graduate program in the Emma Eccles Jones College of Education and Human Services (Appendix RR)

Resolution 09-10-15 the program review for the Jon M. Huntsman School of Business (Appendix SS)

Resolution 09-10-16 the program review for the Intensive English Language Institute (IELI) in the College of Humanities, Arts and Social Sciences (Appendix TT) and

Resolution 09-10-19 the program review for the School of Accountancy in the Huntsman School of Business (Appendix UU).

Trustee Binns seconded the motion, and the voting was unanimous in the affirmative.

V. John Gilmore – Awarded the Norman Mailer College Nonfiction Writing Award

President Albrecht introduced John Gilmore, a USU student in the Creative Writing program, who was awarded the first Norman Mailer College Nonfiction Writing Award. He will receive \$10,000 and a summer fellowship to the Norman Mailer Writers Colony in Provincetown, Massachusetts. President Albrecht said 667 students applied for the award from across the country and from some of the best universities with outstanding writing programs.

Mr. Gilmore said he is thrilled to have received the award. He changed his focus of study from Journalism to Creative Writing. He said that everything he has learned he can trace back to his classes at USU.

Jeannie Thomas, Head of the Department of English, said Mr. Gilmore is a talented writer. She said that he was writing the essay during the budget reductions, and it is nice to have something so good happen during difficult times.

VI. Strategic Agenda–Agriculture in the 21st Century

David Cook returned during the Strategic Agenda portion of the meeting.

Vice President Cockett said this is an exciting time for Agriculture. The image of Agriculture today is a challenge because many people think of agriculture as cows, plows, and sows, but it is so much more. The image of Agriculture reflects on student and faculty recruiting. Vice President Cockett reviewed agriculture's impact including the following:

- Agriculture is all about food.
- Consumers want cheap (in the U.S., 10% of income is spent on food – in some countries 75% of income is spent on food), diverse (there are more than 40,000 food products in super markets), and safe food (some labels now list countries of origin).
- There are 963 million undernourished people in the world, primarily in under-developed countries.
- In Utah, food is a \$1.4 billion industry, there are 11 million acres used in the production of food, and there are 1,500 farms.

Vice President Cockett listed the following as agriculture's future:

- Contributing to the world demand for food (there are more corporation farms).
- Economy of scale in production (more technology is used).
- Rural way of life (people enjoy living the rural life style).
- Small acreage hobby farms (the number of 1 to 10 acre farms is increasing affording the opportunity to live in the rural community)

Agriculture applications at USU:

- Food security (providing food for the world).
- Healthy living.
- Rural community sustainability.
- Natural resource sustainability.
- Adaption to climate change.
- International opportunities – U.S. needs to consider the humanitarian aspect.

Overview of the College of Agriculture:

- Department of Animal, Dairy and Veterinary Sciences.
- Department of Applied Economics.
- Department of Ag Systems Technology and Education.
- Department of Nutrition, Dietetics and Food Sciences.
- Department of Plants, Soils and Climate.
- There are 104 faculty members and 134 staff.
- There are two certificates, 3 Associates of Applied Science degrees, 12 Bachelor of Science degrees, 14 master's degrees, and 9 PhD degrees.
- Faculty assignments include 45% research, 35% teaching, and 25% Extension.

Funding for the College of Agriculture comes from different sources including grants:

- In FY2009, 125 grants brought in \$16,257,000.
- Areas funded included genomics, animal reproduction, plant breeding, drought resistant and native plants, soils, climate, economics, and food science.
- Research units include the Institute for Antiviral Research, the Center for Integrated Biosystems, the Utah Climate Center, the Utah Botanical Center, and the Epidemiology Center.

Vice President Cockett introduced Janet Anderson, the new Associate Dean for Academic Programs. Associate Dean Anderson stated that she is excited to be part of the College of Agriculture. She said currently student services for Agriculture are scattered across campus, and they are working to bring them all together. These include:

- Academic Advising.
- Scholarships.
- Internships.
- Recruitment and Retention.

Enrollment in the College of Agriculture has increased some years and decreased other years. Enrollment for 2009 is at 1,145, an increase of 12.1% over enrollment for 2008.

Student Highlights for the College of Agriculture:

- 100% pass rate for Dietetics students.
- 85% acceptance rate for BioVet students.
- 100% placement – Ag Education, FCS Education.
- Participation in national competitions – Equestrian team, Soils team, Academic Quadrathlon.
- 85 undergraduate research projects.
- 86 master's students and 39 doctoral students.

College of Agriculture Extension provides research-based information to Utah primarily through county programs including:

- Utah Partners for Conservation and Development.
- Rancher Relief Efforts for 2007 Utah Wildfire and Drought.

Vice President Cockett stated that Cooperative Extension is successful because it is completely non-regulatory. She indicated the following concerning Extension:

- There are 45 specialists in 14 departments in 6 colleges.
- There are 70 agents in 29 offices in 28 counties.
- Received \$12,630,000 from the state, \$1,824,000 from federal sources, and \$3,351,000 from county sources. One aspect of the land-grant act was to get federal funding for state extension programs with the requirement that the state also provide funding.
- Started in 1907 in Utah.
- Program areas in counties include Agriculture, Families and Communities, Finance and Economics, Food and Nutrition, Horticulture, Natural Resources, Wellness, and 4-H and Youth Development.

Utah Agriculture Experiment Station (UAES):

- Receives \$12,650,000 in state funding and \$2,000,000 federal funding.
- There are 92 faculty in 16 departments in 6 colleges.
- There are 29 staff in 6 facilities.
- There are 170 projects with 125 project leaders.

Vice President Cockett stated that the Utah Botanical Center in Kaysville is a legacy of Paul Rasmussen's who was the former director of the Utah Agriculture Experiment Station. It is located on 80 acres along I15. It integrates research, teaching, and Extension. The Botanical Center provides the following:

- Seed funding for projects.
- Equipment grants.
- Student internships.
- Travel support.
- Grants office.
- Statistical advising.
- New faculty mentoring.
- Annual performance review.

New Agriculture facilities include:

- USU Matthew Hillyard Animal Teaching/Research Center (funded mostly from the State Legislature which was a significant investment in Agriculture at USU).
- USU Equine Education Center (this will expand opportunities for the equine program – groundbreaking October 9, 2009).
- USU College of Agriculture and USDA-ARS Buildings – two separate buildings interconnected (to be located on the Quad on the Logan campus and recognizes the legacy of Agriculture to USU. It will provide opportunities for Agriculture to continue to grow).

Vice President Cockett stated that Agriculture is using a new marketing approach which includes new banners (which were displayed at the meeting). USU Agriculture provides research, teaching, and service. She expressed appreciation to Michael Whitesides for helping with the PowerPoint presentation.

Chair Pierce-Moore expressed appreciation to Vice President Cockett for the presentation.

VII. Introduction of James A. MacMahon, New Dean of the College of Science

President Albrecht introduced James A. MacMahon, the new dean of the College of Science. He said Dean MacMahon is a world-class scientist with an outstanding reputation both nationally and internationally, and an experienced administrator who

has served as dean and vice president at USU as well as Director of the Ecology Center. He has been at USU for more than 30 years, and he understands what USU is and what it is capable of becoming.

Dean MacMahon said he looks forward to working with the administration and the Trustees. His research focus has included plant and animal community ecology, structure and function of deserts and coniferous forests, and the physiological ecology of lower vertebrates and spiders. His other interests include conservation biology of terrestrial vertebrates and studies of alternative future scenarios for land use change in Utah and Nevada using ecological theory as a basis for predicting the future. He was one of the authors of the original proposal for the National Ecological Observatory Network (NEON) proposal to the National Science Foundation (NSF), and currently serves as Chairman of the NEON Board of Directors. When it is established, it will continuously monitor environment measurements throughout the nation. USU is one of three institutions on that project. The NSF awarded \$74 million to operate NEON and \$100 million for construction.

VIII. USU Equine Education Center Groundbreaking

Trustees were invited to attend the USU Equine Education Center groundbreaking that afternoon at 1:30 p.m.

Action: Trustee Foxley made a motion to adjourn.

The Regular Meeting adjourned at 11:35 a.m.

Suzanne Pierce-Moore, Chair

Sydney M. Peterson, Secretary
(Minutes Taken by Mira G. Thatcher)

Date Approved

20 November 2009

ITEM FOR ACTION

RE: Faculty and Staff Adjustments

The attached faculty and staff adjustments are submitted for the Trustees consideration. They have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The faculty and staff adjustments include one (1) New Appointment and two (2) Requests for Emeritus Status.

RECOMMENDATION

The President and Provost recommend that the Board of Trustees approve the attached faculty and staff adjustments and requests for Emeritus status.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The President and the Provost recommend that the Board of Trustees approve one (1) New Appointment and two (2) Requests for Emeritus Status;

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approve the recommendation of the faculty adjustments, and requests for Emeritus status.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

Faculty and Staff Adjustments

a. New Appointment

Office of the Executive Vice President and Provost

Michael Torrens, Director of the Office of Analysis, Assessment and Accreditation (AAA), effective 01 January 2009. Salary will be \$105,000. Replacing H. Craig Petersen.

b. Request for Emeritus Status

College of Natural Resources

Raymond D. Dueser, Department of Wildland Resources, requesting Emeritus Professor status, effective 01 July 2009.

Fred Provenza, Department of Wildland Resources, requesting Emeritus Professor status, effective 01 July 2009.

20 November 2009

ITEM FOR ACTION

RE: Certificate of Treasurer July 2009

The attached Certificate of Treasurer for July 2009 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The State Appropriated Funds budget at 31 July 2009 totaled \$254,756,105 down \$3,290,691 (1.28%) from the same 2008-2009 period. The year-to-date state appropriated funds expenditures totaled \$17,387,561, down \$2,109,551 (10.82%) from the same 2008-2009 period and represented 7% of the total budget. The percent of budget expended, 7%, was 1% less than would be expected to be spent on a strict time of budget year expired basis.

Total expenditures for all funds totaled \$39,386,296, down \$2,744,293 (6.51%) from the same 2008-2009 period.

RECOMMENDATION

The President and Interim Vice President for Business and Finance recommend that the Board of Trustees approve the Certificate of Treasurer for July 2009.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, authorized invoices and supporting papers have been filed pertaining to those expenditures listed on the attached Certificate of Treasurer;

WHEREAS, expenditures listed on the attached Certificate of Treasurer have been reviewed and approved for payment by the USU Controller's Office, Purchasing Department, and other departments;

WHEREAS, the expenditures listed on the attached Certificate of Treasurer are in accordance with the laws and rules of Utah State University and the State of Utah;

WHEREAS, the Chief Financial Officer for Utah State University, Fred R. Hunsaker, Interim Vice President for Business and Finance, has certified to the best of his knowledge and belief that all expenditures listed on the attached Certificate of Treasurer were legitimate claims against Utah State University and funds were available for payment of said claims;

WHEREAS, Interim Vice President Hunsaker requests approval of the listed expenditures by fund for the period 1 July 2009 to 31 July 2009 on the attached Certificate of Treasurer;

WHEREAS, the President of Utah State University has reviewed the attached Certificate of Treasurer and recommends its approval of those expenditures listed thereon by the Utah State University Board of Trustees; and

WHEREAS, the USU Board of Trustees has reviewed and given due consideration, review, and authorization of the expenditures listed on the attached Certificate of Treasurer:

NOW, THEREFORE, BE IT RESOLVED that the USU Board of Trustees hereby approves the attached Certificate of Treasurer as presented and ratifies the expenditures listed on said Certificate of Treasurer for the period of 1 July 2009 to 31 July 2009.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

CERTIFICATE OF TREASURER

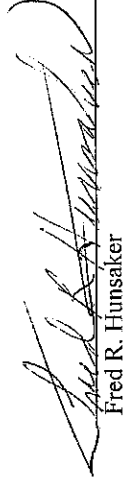
I, Fred R. Hunsaker, Interim Vice President for Business and Finance, of Utah State University, do hereby certify as follows and request approval of the listed expenditures by fund for the period 1 July 2009 to 31 July 2009.

Authorization, invoices, and supporting papers have been filed pertaining to the following enumerated expenditures, which have been reviewed and processed for payment by the Controller's Office, Purchasing Department, and other departments; according to the laws, rules, and regulations of Utah State University and the State of Utah. To the best of my knowledge and belief, all are legitimate claims against Utah State University and funds were available for payment of said claims.

State Appropriated Funds	Budget	Percent of Budget Expended (8% Fiscal Year Expired)	1-Jul 31-Jul-09	Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
Education and General (Except Athletics)	\$170,655,562	7%	\$11,409,767	\$11,409,767	\$14,332,748	(\$2,922,981)	(20.39) %
Athletics	3,067,646	8%	256,198	256,198	255,169	1,029	0.40 %
Agricultural Experiment Station	17,061,678	5%	829,676	829,676	1,074,659	(244,983)	(22.80) %
UWRL Appropriation	2,857,643	5%	131,372	131,372	139,773	(8,401)	(6.01) %
UWRL Apportionment	6,130,484	6%	337,227	337,227	261,170	76,057	29.12 %
Tooele - Cont. Ed. Center	7,503,125	9%	680,516	680,516	625,063	55,453	8.87 %
Educationally Disadvantaged	245,745	5%	12,999	12,999	17,856	(4,857)	(27.20) %
Southeastern Utah - Cont. Ed. Center	1,377,350	9%	121,379	121,379	100,228	21,151	21.10 %
Uintah Basin - Cont. Ed. Center	5,973,912	9%	536,847	536,847	562,340	(25,493)	(4.53) %
Cooperative Extension	15,016,450	6%	952,949	952,949	1,176,612	(223,663)	(19.01) %
Brigham City - Cont. Ed. Center	12,196,885	15%	1,825,136	1,825,136	801,972	1,023,164	127.58 %
USTAR	12,669,625	2%	293,495	293,495	149,522	143,973	96.29 %
Total State Appropriated Funds	\$254,756,105	7%	17,387,561	17,387,561	19,497,112	(2,109,551)	(10.82) %
Total State Appropriated Funds 2008-2009	\$258,046,796						
Decrease from 2008-2009	(\$3,290,691)						
Percent Decrease from 2008-2009	(1.28)%						

Other Unrestricted Funds	1-Jul 31-Jul-09	Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
Overhead Reimbursement for R & D					
Designated	\$569,584	\$569,584	\$603,860	(\$34,276)	(5.68) %
Service Departments	2,080,257	2,080,257	3,066,148	(985,891)	(32.15) %
Auxiliary Enterprises (Except Athletics)	2,515,717	2,515,717	2,893,096	(377,379)	(13.04) %
Athletics	2,175,606	2,175,606	2,332,908	(157,302)	(6.74) %
	1,131,763	1,131,763	600,557	531,206	88.45 %
	8,472,927	8,472,927	9,496,569	(1,023,642)	(10.78) %
Other Restricted Funds					
Instruction	970,922	970,922	1,403,672	(432,750)	(30.83) %
Research	3,711,787	3,711,787	2,968,905	742,882	25.02 %
Public Service	3,295,771	3,295,771	3,039,725	256,046	8.42 %
Academic Support	270,346	270,346	370,997	(100,651)	(27.13) %
Student Services	195,948	195,948	176,347	19,601	11.12 %
Institutional Support	66,824	66,824	56,035	10,789	19.25 %
Operation and Maintenance of Plant	298	298	11,308	(11,010)	(97.36) %
Scholarships and Fellowships	4,343,577	4,343,577	4,394,922	(51,345)	(1.17) %
Service Departments	446	446	738	(292)	(39.57) %
Auxiliary Enterprises	46,436	46,436	763	45,673	5,985.98 %
	12,902,355	12,902,355	12,423,412	478,943	3.86 %
Other Funds					
Plant Funds	564,498	564,498	662,909	(98,411)	(14.85) %
Associated Students	50,131	50,131	52,578	(2,447)	(4.65) %
Other Agency Funds	8,824	8,824	(1,991)	10,815	(543.19) %
	623,453	623,453	713,496	(90,043)	(12.62) %
Total All Funds	\$39,386,296	\$39,386,296	\$42,130,589	(\$2,744,293)	(6.51) %

Nov. 11, 2009
Date


Fred R. Hunsaker

20 November 2009

ITEM FOR ACTION

RE: Certificate of Treasurer August 2009

The attached Certificate of Treasurer for August 2009 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The State Appropriated Funds budget at 31 August 2009 totaled \$248,826,218, down \$9,220,578 (3.57%) from the same 2008-2009 period. The year-to-date state appropriated funds expenditures totaled \$36,899,307, down \$1,827,119 (4.72%) from the same 2008-2009 period and represented 15% of the total budget. The percent of budget expended, 15%, was 2% less than would be expected to be spent on a strict time of budget year expired basis.

Total expenditures for all funds totaled \$93,453,332, down \$2,802,924 (2.91%) from the same 2008-2009 period.

RECOMMENDATION

The President and Interim Vice President for Business and Finance recommend that the Board of Trustees approve the Certificate of Treasurer for August 2009.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, authorized invoices and supporting papers have been filed pertaining to those expenditures listed on the attached Certificate of Treasurer;

WHEREAS, expenditures listed on the attached Certificate of Treasurer have been reviewed and approved for payment by the USU Controller's Office, Purchasing Department, and other departments;

WHEREAS, the expenditures listed on the attached Certificate of Treasurer are in accordance with the laws and rules of Utah State University and the State of Utah;

WHEREAS, the Chief Financial Officer for Utah State University, Fred R. Hunsaker, Interim Vice President for Business and Finance, has certified to the best of his knowledge and belief that all expenditures listed on the attached Certificate of Treasurer were legitimate claims against Utah State University and funds were available for payment of said claims;

WHEREAS, Interim Vice President Hunsaker requests approval of the listed expenditures by fund for the period 1 July 2009 to 31 August 2009 on the attached Certificate of Treasurer;

WHEREAS, the President of Utah State University has reviewed the attached Certificate of Treasurer and recommends its approval of those expenditures listed thereon by the Utah State University Board of Trustees; and

WHEREAS, the USU Board of Trustees has reviewed and given due consideration, review, and authorization of the expenditures listed on the attached Certificate of Treasurer:

NOW, THEREFORE, BE IT RESOLVED that the USU Board of Trustees hereby approves the attached Certificate of Treasurer as presented and ratifies the expenditures listed on said Certificate of Treasurer for the period of 1 July 2009 to 31 August 2009.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

CERTIFICATE OF TREASURER

I, Fred R. Hunsaker, Interim Vice President for Business and Finance, of Utah State University, do hereby certify as follows and request approval of the listed expenditures by fund for the period 1 July 2009 to 31 August 2009.

Authorization, invoices, and supporting papers have been filed pertaining to the following enumerated expenditures, which have been reviewed and processed for payment by the Controller's Office, Purchasing Department, and other departments; according to the laws, rules, and regulations of Utah State University and the State of Utah. To the best of my knowledge and belief, all are legitimate claims against Utah State University and funds were available for payment of said claims.

State Appropriated Funds	Budget	Percent of Budget Expended (17% Fiscal Year Expired)	1-Aug 31-Aug-09	Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
Education and General (Except Athletics)	\$169,816,015	15%	\$14,714,438	\$26,124,205	\$28,104,545	(\$1,980,340)	(7.05) %
Athletics	3,307,975	16%	273,123	529,321	507,565	21,756	4.29 %
Agricultural Experiment Station	14,843,924	11%	834,081	1,663,757	2,429,407	(765,650)	(31.52) %
UWRL Appropriation	2,884,559	9%	124,208	255,580	269,256	(13,676)	(5.08) %
UWRL Apportionment	6,133,105	10%	292,471	629,699	567,439	62,260	10.97 %
Tooele - Cont. Ed. Center	7,658,970	19%	767,276	1,447,792	1,109,625	338,167	30.48 %
Educationally Disadvantaged	259,145	11%	14,900	27,899	37,652	(9,753)	(25.90) %
Southeastern Utah - Cont. Ed. Center	1,463,327	19%	159,461	280,840	225,856	54,984	24.34 %
Uintah Basin - Cont. Ed. Center	6,013,913	15%	362,905	899,752	995,918	(96,166)	(9.66) %
Cooperative Extension	13,141,499	14%	876,197	1,829,146	2,402,730	(573,584)	(23.87) %
Brigham City - Cont. Ed. Center	11,218,448	24%	811,696	2,636,831	1,422,705	1,214,126	85.34 %
USTAR	12,085,338	5%	280,990	574,485	653,728	(79,243)	(12.12) %
Total State Appropriated Funds	\$248,826,218	15%	19,511,746	36,899,307	38,726,426	(1,827,119)	(4.72) %
Total State Appropriated Funds 2008-2009	\$258,046,796						
Decrease from 2008-2009	(\$9,220,578)						
Percent Decrease from 2008-2009	(3.57)%						

Other Unrestricted Funds	1-Aug 31-Aug-09	Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
Overhead Reimbursement for R & D Designated	\$649,843	\$1,219,426	\$1,294,672	(\$75,246)	(5.81) %
Service Departments	2,043,462	4,123,718	5,482,568	(1,358,850)	(24.78) %
Auxiliary Enterprises (Except Athletics)	3,516,787	6,032,504	6,743,089	(710,585)	(10.54) %
Athletics	4,847,901	7,023,507	7,389,222	(365,715)	(4.95) %
	1,066,170	2,197,933	1,624,833	573,100	35.27 %
	12,124,163	20,597,088	22,534,384	(1,937,296)	(8.60) %
Other Restricted Funds					
Instruction	977,358	1,948,280	2,883,830	(935,550)	(32.44) %
Research	4,155,443	7,867,230	7,460,630	406,600	5.45 %
Public Service	2,969,445	6,265,217	6,032,376	232,841	3.86 %
Academic Support	316,260	586,606	715,264	(128,658)	(17.99) %
Student Services	251,241	447,190	413,551	33,639	8.13 %
Institutional Support	36,654	103,478	211,083	(107,605)	(50.98) %
Operation and Maintenance of Plant	2,849	3,147	14,101	(10,954)	(77.68) %
Scholarships and Fellowships	12,245,890	16,589,467	13,860,896	2,728,571	19.69 %
Service Departments	1,383	1,829	1,591	238	14.96 %
Auxiliary Enterprises	46,697	93,132	8,909	84,223	945.37 %
	21,003,220	33,905,576	31,602,231	2,303,345	7.29 %
Other Funds					
Plant Funds	1,293,987	1,858,485	3,268,006	(1,409,521)	(43.13) %
Associated Students	118,916	169,047	118,669	50,378	42.45 %
Other Agency Funds	15,005	23,829	6,540	17,289	264.36 %
	1,427,908	2,051,361	3,393,215	(1,341,854)	(39.55) %
Total All Funds	\$54,067,037	\$93,453,332	\$96,256,256	(\$2,802,924)	(2.91) %

Nov. 11, 2009
Date


Fred R. Hunsaker

ITEM FOR ACTION

RE: Report of Investments for July 2009

The attached Report of Investments for July 2009 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

This set of investment reports presents investment activity for July 2009 and comparative year-to-date totals for FY 2009-2010 and FY 2008-2009.

CASH MANAGEMENT INVESTMENT POOL

The average daily fair value invested during July 2009 was \$200,366,552, up \$1,433,254 over June 2009. Total investment income was \$1,097,970, up \$52,311 from June 2009, reflecting the increase in the amount available for investing and an increase in total investment return. The annualized total investment return was 6.36%, up 0.05% over June 2009.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$200,366,552, down \$5,947,021 (2.88%) from FY 2008-2009. Total interest income for FY 2009-2010 amounted to \$880,550, up \$86,591 (10.91%) over FY 2008-2009, reflecting a decrease in the amount available for investing and an increase in interest rates.

The total amount invested at 31 July 2009 was \$205,256,822, down \$2,279,717 (1.10%) from 31 July 2008.

ENDOWMENT POOL

The average daily fair value invested during July 2009 was \$70,984,005, up \$433,704 over June 2009. Interest and dividend income of \$182,503 plus net realized gains of \$3,902 totaled \$186,405 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$70,984,005, down \$2,277,822 (3.11%) from FY 2008-2009. Total realized income for FY 2009-2010 was \$186,405, up \$20,900 (12.63%) over FY 2008-2009. This increase resulted from \$20,921 more in interest and dividends and \$21 less net realized gains during FY 2009-2010.

The total amount invested at 31 July 2009 was \$72,402,813, down \$416,642 (0.57%) from 31 July 2008.

OTHER INVESTMENTS

The average daily fair value invested during July 2009 was \$1,920,417, up \$19,799 over June 2009. Interest and dividends totaled \$1,719 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was

\$1,920,417, down \$124,118 (6.07%) from FY 2008-2009. Total realized income for FY 2009-2010 was \$1,719, up \$2,966 (237.85%) over FY 2008-2009. This increase in total realized income resulted from \$1,867 less in interest and dividend income and \$4,833 less net realized losses during FY 2009-2010.

The total amount invested at 31 July 2009 was \$1,940,614, down \$95,024 (4.67%) from 31 July 2008.

ENDOWMENT TRUSTS

The average daily fair value invested during July 2009 was \$3,938,842, up \$96,003 over June 2009. Interest and dividends totaled \$12,811 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$3,938,842, down \$1,295,397 (24.75%) from FY 2008-2009. Total realized income for FY 2009-2010 was \$12,811, down \$11,238 (46.73%) from FY 2008-2009. This decrease resulted from \$11,693 less interest and dividend income and \$455 less net realized losses during FY 2009-2010.

The total amount invested at 31 July 2009 was \$4,079,701, down \$1,224,899 (23.09%) from 31 July 2008.

PLANT FUND TRUSTS

The average daily fair value invested during July 2009 was \$22,831,878, down \$130,433 from June 2009. Interest income totaled \$13,585 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$22,831,878, up \$20,525,927 (890.13%) over FY 2008-2009. Total realized income for FY 2009-2010 was \$13,585, up \$7,651 (128.93%) over FY 2008-2009. This increase reflects the increased amount available for investing and a decrease in the rate of return.

The total amount invested at 31 July 2009 was \$22,610,890, up \$20,304,783 (800.48%) over 31 July 2008.

SUMMARY OF INVESTMENT BALANCES AND TRANSACTIONS

The University's average daily fair value invested for the month of July was \$299,987,721. Purchases totaled \$15,940,512 and sales totaled \$11,345,240. From this activity the University realized net gains of \$3,902 and earnings of \$983,222.

RECOMMENDATION

The President and Interim Vice President for Business and Finance recommend that the Board of Trustees approve the Report of Investments for July 2009.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, the attached Report of Investments containing authorized transactions, documentation, and supporting papers has been filed for review by the Board of Trustees pertaining to the investment activities;

WHEREAS, the investment transactions listed on the attached Report of Investments have been approved by the USU Controller's Office;

WHEREAS, the investment activities listed on the attached Report of Investments are in accordance with the Utah State Money Management Act, the rules of the Utah State Money Management Council, the Utah State Uniform Prudent Management of Institutional Funds Act, and the laws and rules of Utah State University and the State of Utah;

WHEREAS, the Chief Financial Officer for Utah State University, Fred R. Hunsaker, Interim Vice President for Business and Finance, has certified to the best of his knowledge and belief all investment transactions listed on the attached Report of Investments were made in accordance with the guidelines, rules, and laws;

WHEREAS, Interim Vice President Hunsaker requests approval of the attached Report of Investments for the period 1 July 2009 to 31 July 2009 and comparative year-to-date totals for the periods 1 July 2009 to 31 July 2009 and 1 July 2008 to 31 July 2008, and

WHEREAS, the President of Utah State University has reviewed the attached report and recommends its approval by the Utah State University Board of Trustees; and

WHEREAS, the USU Board of Trustees has reviewed and given due consideration, review, and authorization of the investment transactions listed on the attached Report of Investments for the period 1 July 2009 to 31 July 2009 and comparative year-to-date totals for the periods 1 July 2009 to 31 July 2009 and 1 July 2008 to 31 July 2008:

NOW, THEREFORE, BE IT RESOLVED that the USU Board of Trustees hereby approves the attached Report of Investments as presented and ratifies the transactions listed on said Report of Investments for 1 July 2009 to 31 July 2009.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date



OFFICE OF THE VICE PRESIDENT
FOR FINANCE AND BUSINESS
1445 Old Main Hill
Logan, UT 84322-1445
(435) 797-1146
FAX: (435) 797-0710

UTAH STATE UNIVERSITY
REPORT OF INVESTMENTS
JULY 2009

The following schedules (A through H) provide a report of the University's Investments. To the best of my knowledge, Utah State University is in compliance with the Utah State Money Management Act and the rules of the Utah State Money Management Council and the Utah State Uniform Prudent Management of Institutional Funds Act.

A handwritten signature in black ink, appearing to read "D. Cowley", written over a horizontal line.

David T. Cowley
Senior Associate Vice President for
Business and Finance

11-10-09

Date

A handwritten signature in black ink, appearing to read "Fred R. Hunsaker", written over a horizontal line.

Fred R. Hunsaker
Interim Vice President for
Business and Finance

Nov. 11, 2009

Date

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule A-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Less Service Charges	Net Interest Income
Jul 2009	\$199,880,452	\$14,840,200	\$9,681,250	\$217,420	\$205,256,822	\$200,366,552	\$880,550	\$24	\$880,526
Aug 2009									
Sep 2009									
Oct 2009									
Nov 2009									
Dec 2009									
Jan 2010									
Feb 2010									
Mar 2010									
Apr 2010									
May 2010									
Jun 2010									

Comparative Totals:

Year-to-date									
FY 2009-10	\$199,880,452	\$14,840,200	\$9,681,250	\$217,420	\$205,256,822	\$200,366,552	\$880,550	\$24	\$880,526
FY 2008-09	202,876,822	125,558,537	120,675,129	(223,691)	207,536,539	206,313,573	793,959	4,073	789,886
Amt Change					(2,279,717)	(5,947,021)	86,591	(4,049)	90,640
% Change					-1.10%	-2.88%	10.91%	-99.41%	11.48%

Note: The Cash Management Investment Pool includes cash of all funds over estimated daily operating requirements.

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL
SUMMARY OF INVESTMENT TRANSACTIONS AND PERFORMANCE
For the Month of July 2009

Schedule A-2

	Purchases	Sales		Earnings	Change in Fair Value	Total Investment Income	Average Daily Fair Value	Annualized Total Investment Return
		Cost	Receipts					
Wells Fargo - Sweep Account				\$176		\$176	\$0	0.00%
Money Market Account	\$5,000,000	\$5,000,000	\$5,000,000	7,145		7,145	7,780,645	1.07%
Utah Public Treasurers' Investment Fund				79		79	100,019	0.92%
Time Certificates of Deposit				413,144		413,144	98,000,000	4.90%
Commercial Paper and Corporate Notes	9,840,200	4,681,250	4,681,250	460,006	\$217,420	677,426	94,485,888	8.33%
Total	\$14,840,200	\$9,681,250	\$9,681,250	\$880,550	\$217,420	\$1,097,970	\$200,366,552	6.36%

UTAH STATE UNIVERSITY
SUMMARY OF CASH MANAGEMENT INVESTMENT POOL TRANSACTIONS
For the Month of July 2009

Schedule A3

	Purchases		Sales		Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost		
Cash Management Investment Pool						
Sweep Account						\$176
Money Market Account					\$0	7,145
Utah Public Treasurers' Investment Fund		\$5,000,000				79
Time Certificates of Deposit						413,144
Commercial Paper and Corporate Notes		9,840,200		4,681,250	0	460,006
Total Cash Management Investment Pool		<u>\$14,840,200</u>		<u>\$9,681,250</u>	<u>\$0</u>	<u>\$880,550</u>

UTAH STATE UNIVERSITY
ENDOWMENT POOL
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule B-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
*Jul 2009	\$69,522,394	\$1,335,604	\$1,261,002	\$2,805,817	\$72,402,813	\$70,984,005	\$182,503	\$3,902	\$186,405	\$26,985	\$159,420
Aug 2009											
Sep 2009											
Oct 2009											
Nov 2009											
Dec 2009											
Jan 2010											
Feb 2010											
Mar 2010											
Apr 2010											
May 2010											
Jun 2010											

Comparative Totals:

Year-to-date											
FY 2009-10	\$69,522,394	\$1,335,604	\$1,261,002	\$2,805,817	\$72,402,813	\$70,984,005	\$182,503	\$3,902	\$186,405	\$26,985	\$159,420
FY 2008-09	73,605,460	313,386	244,516	(854,875)	72,819,455	73,261,827	161,582	3,923	165,505	8,595	156,910
Amt Change					(416,642)	(2,277,822)	20,921	(21)	20,900	18,390	2,510
% Change					-0.57%	-3.11%	12.95%	-0.54%	12.63%	213.96%	1.60%

Note: The Endowment Pool includes endowment funds designated for long-term investment. Included in this pool are endowment funds invested in the University's Cash

Management Investment Pool (CMIP) consisting of \$24,451,902 principal beginning balance, a \$24,738,583 ending balance, and a \$24,616,643 average daily balance for the current month. Current month interest and dividends from the CMIP were \$107,946 bringing the total to \$107,946 year to date. These amounts have also been reported in schedules A-1 and A-2.

*The July beginning fair value has been adjusted to reflect the amount distributed to expendable accounts at fiscal year end.

UTAH STATE UNIVERSITY
SUMMARY OF ENDOWMENT POOL TRANSACTIONS
For the Month of July 2009

Schedule B2

	Purchases		Shares	Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost		Cost	Gain/(Loss)			
Endowment Pool Transactions								
Cash Management Investment Pool		\$296,681		\$10,000		\$10,000	\$0	\$107,946
Obligations of U.S. Government								
GNMA			611.110	616	(5)	611	(5)	2,551
Interest								1,302
Corporate Bonds and Notes								5,622
Bond Interest								76
Equities								16,059
UTS SPDR Trust Ser 1 (SPY)								45,002
Money Market Funds								
Institutional Cash #451				4		4	0	
Mutual Funds								
Multi-Strategy Bond			71.583	829		919	90	
Multi-Strategy Equity			40.054	2,249		6,066	3,817	
Marketable Alternatives								
CEP VII		10,000						
Private Equity								
TIAA-CREF Core Property Fund	0.526							3,945
Cash		3,945		11,149		11,149	0	
Morgan Stanley								
Morgan Stanley TALF Fund (Cayman) LP		1,000,000						
Cash				1,020,000		1,020,000	0	
Cash								
Morgan Stanley - Citi Smith Barney		5,622						
Wells Fargo Advantage Cash #250		658		658		658	0	
Wells Fargo Advantage Cash #250		18,698						
Receivable / Payable								
Wells Fargo - Receivable				28,411		28,411	0	
Multi-Strategy Bond - Receivable				48,178		48,178	0	
Multi-Strategy Equity - Receivable				135,006		135,006	0	
Total Endowment Pool Transactions		\$1,335,604		\$1,257,100		\$1,261,002	\$3,902	\$182,503

UTAH STATE UNIVERSITY
OTHER INVESTMENTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule C-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income
Jul 2009	\$1,900,219	\$873	\$757	\$40,279	\$1,940,614	\$1,920,417	\$1,719	\$0	\$1,719
Aug 2009									
Sep 2009									
Oct 2009									
Nov 2009									
Dec 2009									
Jan 2010									
Feb 2010									
Mar 2010									
Apr 2010									
May 2010									
Jun 2010									

Comparative Totals:

Year-to-date									
FY 2009-10	\$1,900,219	\$873	\$757	\$40,279	\$1,940,614	\$1,920,417	\$1,719	\$0	\$1,719
FY 2008-09	2,053,431	1,324,529	1,317,594	(24,728)	2,035,638	2,044,535	3,586	(4,833)	(1,247)
Amt Change					(95,024)	(124,118)	(1,867)	4,833	2,966
% Change					-4.67%	-6.07%	-52.06%	100.00%	237.85%

Note: Other Investments include donor designated and other specified investments.

UTAH STATE UNIVERSITY
SUMMARY OF OTHER INVESTMENT TRANSACTIONS
For the Month of July 2009

Schedule C2

Other Investments	Purchases		Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost			
Corporate Bonds and Notes							
U.S. Treasury Bond							\$666
U.S. West Communications							90
Common and Preferred Stock							
Smith Barney - Citi Group							90
Technology Index Fund (XLK)							
Utah Public Treasurers' Investment Fund		\$873					873
Other							
U.S. Treasury Bond - Receivable				\$667	\$667	\$0	
U.S. West Communications - Receivable				90	90	0	
Total Other Investments		\$873		\$757	\$757	\$0	\$1,719

UTAH STATE UNIVERSITY
ENDOWMENT TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule D-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2009	\$3,797,982	\$55,430	\$51,853	\$278,142	\$4,079,701	\$3,938,842	\$12,811	\$0	\$12,811	\$447	\$12,364
Aug 2009											
Sep 2009											
Oct 2009											
Nov 2009											
Dec 2009											
Jan 2010											
Feb 2010											
Mar 2010											
Apr 2010											
May 2010											
Jun 2010											

45

Comparative Totals:

Year-to-date											
FY 2009-10	\$3,797,982	\$55,430	\$51,853	\$278,142	\$4,079,701	\$3,938,842	\$12,811	\$0	\$12,811	\$447	\$12,364
FY 2008-09	5,163,877	249,618	237,258	128,363	5,304,600	5,234,239	24,504	(455)	24,049	1,425	22,624
Amt Change					(1,224,899)	(1,295,397)	(11,693)	455	(11,238)	(978)	(10,260)
% Change					-23.09%	-24.75%	-47.72%	100.00%	-46.73%	-68.63%	-45.35%

Note: Endowment Trusts include externally managed endowment trusts.

UTAH STATE UNIVERSITY

SUMMARY OF ENDOWMENT TRUST INVESTMENT TRANSACTIONS

For the Month of July 2009

Schedule D2

Endowment Trusts	Purchases		Sales		Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost		
Common and Preferred Stock						
Dividends						\$8,540
Mutual Funds						
Wells Fargo Strategic Inc #89						546
Wells Fargo Strategic Inc #89						546
Money Market Funds						
Wells Fargo Advantage #454		\$3,171				3,171
Morgan Stanley - Money Market		52,257				6
Wells Fargo #451		1		\$250	\$0	1
Wells Fargo #451		1		250	0	1
Other						
In Transit from Wells Fargo to Morgan Stanley				43,710	0	
Wells Fargo Receivable				3,822	0	
Wells Fargo Receivable				3,821	0	
46						
Total Endowment Trusts		\$55,430		\$51,853	\$0	\$12,811

UTAH STATE UNIVERSITY
PLANT FUND TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule E-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2009	\$22,951,558	\$5,086	\$360,378	\$14,624	\$22,610,890	\$22,831,878	\$13,585	\$0	\$13,585	\$0	\$13,585
Aug 2009											
Sep 2009											
Oct 2009											
Nov 2009											
Dec 2009											
Jan 2010											
Feb 2010											
Mar 2010											
Apr 2010											
May 2010											
Jun 2010											

Comparative Totals:

Year-to-date											
FY 2009-10	\$22,951,558	\$5,086	\$360,378	\$14,624	\$22,610,890	\$22,831,878	\$13,585	\$0	\$13,585	\$0	\$13,585
FY 2008-09	2,310,361	5,934	10,188	0	2,306,107	2,305,951	5,934	0	5,934	0	5,934
Amt Change					20,304,783	20,525,927	7,651	0	7,651	0	7,651
% Change					880.48%	890.13%	128.93%	0.00%	128.93%	0.00%	128.93%

Note: Plant Fund Trusts include all debt service reserve accounts in compliance with bond issue covenants.

UTAH STATE UNIVERSITY
SUMMARY OF PLANT TRUST INVESTMENT TRANSACTIONS
For the Month of July 2009

Schedule E2

Plant Trusts	Purchases		Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost			
Wells Fargo							
Heritage Money Market		\$3,763		\$6,000	\$6,000	\$0	\$3,763
Build America Bonds							8,499
Utah Public Treasurers' Investment Fund		1,323		354,378	354,378	0	1,323
Total Plant Trusts		\$5,086		\$360,378	\$360,378	\$0	\$13,585

20 November 2009

ITEM FOR ACTION

RE: Report of Investments for August 2009

The attached Report of Investments for August 2009 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

This set of investment reports presents investment activity for August 2009 and comparative year-to-date totals for FY 2009-2010 and FY 2008-2009.

CASH MANAGEMENT INVESTMENT POOL

The average daily fair value invested during August 2009 was \$214,936,180, up \$14,569,628 over July 2009. Total investment income was \$1,171,366, up \$73,396 over July 2009, reflecting the increase in the amount available for investing and a decrease in total investment return. The annualized total investment return was 6.33%, down 0.03% from July 2009.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$207,651,366, down \$5,616,788 (2.63%) from FY 2008-2009. Total interest income for FY 2009-2010 amounted to \$1,591,461, down \$55,091 (3.35%) from FY 2008-2009, reflecting a decrease in the amount available for investing and a decrease in interest rates.

The total amount invested at 31 August 2009 was \$234,937,687, down \$662,277 (0.28%) from 31 August 2008.

ENDOWMENT POOL

The average daily fair value invested during August 2009 was \$73,164,004, up \$2,179,999 over July 2009. Interest and dividend income of \$152,425 plus net realized gains of \$4,053 totaled \$156,478 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$72,074,005, down \$988,177 (1.35%) from FY 2008-2009. Total realized income for FY 2009-2010 was \$342,883, up \$11,224 (3.38%) over FY 2008-2009. This increase resulted from \$11,123 more in interest and dividends and \$101 more net realized gains during FY 2009-2010.

The total amount invested at 31 August 2009 was \$73,925,195, up \$1,019,723 (1.40%) over 31 August 2008.

OTHER INVESTMENTS

The average daily fair value invested during August 2009 was \$1,946,261, up \$25,844 over July 2009. Interest and dividend income totaled \$1,493 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$1,933,339, down \$105,398 (5.17%) from FY 2008-2009. Total realized income for FY 2009-2010 was \$3,212, up \$788 (32.51%) over FY 2008-2009. This increase resulted from \$4,045 less in interest and dividend income and \$4,833 less net realized losses during FY 2009-2010.

The total amount invested at 31 August 2009 was \$1,951,907, down \$78,335 (3.86%) from 31 August 2008.

ENDOWMENT TRUSTS

The average daily fair value invested during August 2009 was \$4,174,093, up \$235,251 over July 2009. Interest and dividend income of \$10,299 less \$1,729 in realized loss totaled \$8,570 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$4,056,467, down \$1,211,404 (23.00%) from FY 2008-2009. Total realized income for FY 2009-2010 was \$21,381, down \$9,832 (31.50%) from FY 2008-2009. This decrease resulted from \$8,558 less interest and dividend income and \$1,274 more net realized losses during FY 2009-2010.

The total amount invested at 31 August 2009 was \$4,268,484, down \$1,029,924 (19.44%) from 31 August 2008.

PLANT FUND TRUSTS

The average daily fair value invested during August 2009 was \$22,344,112, down \$487,766 from July 2009. Interest income totaled \$12,022 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$22,587,995, up \$20,296,088 (885.55%) over FY 2008-2009. Total realized income for FY 2009-2010 was \$25,607, up \$13,730 (115.60%) over FY 2008-2009. This increase reflects the increased amount available for investing and a decrease in the rate of return.

The total amount invested at 31 August 2009 was \$21,486,877, up \$19,203,263 (840.92%) over 31 August 2008.

SUMMARY OF INVESTMENT BALANCES AND TRANSACTIONS

The University's average daily fair value invested for the month of August was \$316,415,800. Purchases totaled \$59,864,222 and sales totaled \$31,830,554. From this activity the University realized net gains of \$2,324 and earnings of \$805,343.

RECOMMENDATION

The President and Interim President for Business and Finance recommend that the Board of Trustees approve the Report of Investments for August 2009.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, the attached Report of Investments containing authorized transactions, documentation, and supporting papers has been filed for review by the Board of Trustees pertaining to the investment activities;

WHEREAS, the investment transactions listed on the attached Report of Investments have been approved by the USU Controller's Office;

WHEREAS, the investment activities listed on the attached Report of Investments are in accordance with the Utah State Money Management Act, the rules of the Utah State Money Management Council, the Utah State Uniform Prudent Management of Institutional Funds Act, and the laws and rules of Utah State University and the State of Utah;

WHEREAS, the Chief Financial Officer for Utah State University, Fred R. Hunsaker, Interim Vice President for Business and Finance, has certified to the best of his knowledge and belief all investment transactions listed on the attached Report of Investments were made in accordance with the guidelines, rules, and laws;

WHEREAS, Interim Vice President Hunsaker requests approval of the attached Report of Investments for the period 1 August 2009 to 31 August 2009 and comparative year-to-date totals for the periods 1 July 2009 to 31 August 2009 and 1 July 2008 to 31 August 2008, and

WHEREAS, the President of Utah State University has reviewed the attached report and recommends its approval by the Utah State University Board of Trustees; and

WHEREAS, the USU Board of Trustees has reviewed and given due consideration, review, and authorization of the investment transactions listed on the attached Report of Investments for the period 1 August 2009 to 31 August 2009 and comparative year-to-date totals for the periods 1 July 2009 to 31 August 2009 and 1 July 2008 to 31 August 2008:

NOW, THEREFORE, BE IT RESOLVED that the USU Board of Trustees hereby approves the attached Report of Investments as presented and ratifies the transactions listed on said Report of Investments for 1 August 2009 to 31 August 2009.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date



OFFICE OF THE VICE PRESIDENT
FOR FINANCE AND BUSINESS
1445 Old Main Hill
Logan, UT 84322-1445
(435) 797-1146
FAX: (435) 797-0710

UTAH STATE UNIVERSITY
REPORT OF INVESTMENTS
AUGUST 2009

The following schedules (A through H) provide a report of the University's Investments. To the best of my knowledge, Utah State University is in compliance with the Utah State Money Management Act and the rules of the Utah State Money Management Council and the Utah State Uniform Prudent Management of Institutional Funds Act.

A handwritten signature in black ink, appearing to read "David T. Cowley", written over a horizontal line.

David T. Cowley
Senior Associate Vice President for
Business and Finance

11-10-09

Date

A handwritten signature in black ink, appearing to read "Fred R. Hunsaker", written over a horizontal line.

Fred R. Hunsaker
Interim Vice President for
Business and Finance

Nov. 11, 2009

Date

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule A-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Less Service Charges	Net Interest Income
Jul 2009	\$199,880,452	\$14,840,200	\$9,681,250	\$217,420	\$205,256,822	\$200,366,552	\$880,550	\$24	\$880,526
Aug 2009	205,256,822	57,990,000	28,769,590	460,455	234,937,687	214,936,180	710,911	907	710,004
Sep 2009									
Oct 2009									
Nov 2009									
Dec 2009									
Jan 2010									
Feb 2010									
Mar 2010									
Apr 2010									
May 2010									
Jun 2010									

Comparative Totals:

Year-to-date									
FY 2009-10	\$199,880,452	\$72,830,200	\$38,450,840	\$677,875	\$234,937,687	\$207,651,366	\$1,591,461	\$931	\$1,590,530
FY 2008-09	202,876,822	326,092,695	293,067,896	(301,657)	235,599,964	213,268,154	1,646,552	7,936	1,638,616
Amt Change					(662,277)	(5,616,788)	(55,091)	(7,005)	(48,086)
% Change					-0.28%	-2.63%	-3.35%	-88.27%	-2.93%

Note: The Cash Management Investment Pool includes cash of all funds over estimated daily operating requirements.

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL
SUMMARY OF INVESTMENT TRANSACTIONS AND PERFORMANCE
For the Month of August 2009

Schedule A-2

	Purchases	Sales		Earnings	Change in Fair Value	Total Investment Income	Average Daily Fair Value	Annualized Total Investment Return
		Cost	Receipts					
Money Market Account	\$25,000,000	\$20,000,000	\$20,000,000	10,937		10,937	13,103,226	0.97%
Utah Public Treasurers' Investment Fund				72		72	100,013	0.84%
Time Certificates of Deposit	10,000,000			425,666		425,666	105,258,065	4.70%
Commercial Paper and Corporate Notes		8,769,590	8,769,590	252,281	\$331,952	584,233	88,532,618	7.66%
Obligations of U. S. Government	22,990,000			21,955	128,503	150,458	7,942,258	22.00%
Total	\$57,990,000	\$28,769,590	\$28,769,590	\$710,911	\$460,455	\$1,171,366	\$214,936,180	6.33%

UTAH STATE UNIVERSITY
SUMMARY OF CASH MANAGEMENT INVESTMENT POOL TRANSACTIONS
For the Month of August 2009

Schedule A3

Cash Management Investment Pool	Purchases		Shares	Sales		Gain/(Loss)	Earnings
	Shares	Cost		Cost	Receipts		
Money Market Account		\$25,000,000		\$20,000,000	\$20,000,000	\$0	\$10,937
Utah Public Treasurers' Investment Fund		10,000,000					72 425,666
Time Certificates of Deposit							
Commercial Paper and Corporate Notes		22,990,000		8,769,590	8,769,590	0	252,281 21,955
Obligations of U. S. Government							
Total Cash Management Investment Pool		\$57,990,000		\$28,769,590	\$28,769,590	\$0	\$710,911

UTAH STATE UNIVERSITY
ENDOWMENT POOL
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule B-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
*Jul 2009	\$69,522,394	\$1,335,604	\$1,261,002	\$2,805,817	\$72,402,813	\$70,984,005	\$182,503	\$3,902	\$186,405	\$26,985	\$159,420
Aug 2009	72,402,813	1,152,580	1,061,612	1,431,414	73,925,195	73,164,004	152,425	4,053	156,478	8,335	148,143
Sep 2009											
Oct 2009											
Nov 2009											
Dec 2009											
Jan 2010											
Feb 2010											
Mar 2010											
Apr 2010											
May 2010											
Jun 2010											

Comparative Totals:

Year-to-date											
FY 2009-10	\$69,522,394	\$2,488,184	\$2,322,614	\$4,237,231	\$73,925,195	\$72,074,005	\$334,928	\$7,955	\$342,883	\$35,320	\$307,563
FY 2008-09	73,605,460	407,260	253,128	(854,120)	72,905,472	73,062,181	323,805	7,854	331,659	17,193	314,466
Amt Change					1,019,723	(988,177)	11,123	101	11,224	18,127	(6,903)
% Change					1.40%	-1.35%	3.44%	1.29%	3.38%	105.43%	-2.20%

Note: The Endowment Pool includes endowment funds designated for long-term investment. Included in this pool are endowment funds invested in the University's Cash Management Investment Pool (CMIP) consisting of \$24,738,583 principal beginning balance, a \$24,822,322 ending balance, and a \$24,778,705 average daily balance for the current month. Current month interest and dividends from the CMIP were \$81,807 bringing the total to \$189,753 year to date. These amounts have also been reported in schedules A-1 and A-2.

*The July beginning fair value has been adjusted to reflect the amount distributed to expendable accounts at fiscal year end.

UTAH STATE UNIVERSITY
SUMMARY OF ENDOWMENT POOL TRANSACTIONS
For the Month of August 2009

Schedule B2

Endowment Pool Transactions	Purchases		Shares	Sales		Gain/(Loss)	Earnings
	Shares	Cost		Cost	Receipts		
Cash Management Investment Pool		\$101,238		\$17,500	\$17,500	\$0	\$81,807
Obligations of U.S. Government							
GNMA			39,360	40	39	(1)	2,541
Interest							
Corporate Bonds and Notes							
Bond Interest							1,302
Equities							
UTS SPDR Trust Ser 1 (SPY)	10,962.860	1,011,166	10,906.860	1,005,628	1,005,629	1	90
Cash & Money Market Funds							
Institutional Cash #451		1,076					1,138
Morgan Stanley - Citi Smith Barney		175		5,622	5,622	0	
Wells Fargo Advantage Cash #250		6,332		6,332	6,332	0	
Wells Fargo Advantage Cash #250		76		18,774	18,774	0	
Mutual Funds							
Multi-Strategy Bond			71,565	829	944	115	16,059
Multi-Strategy Equity			39,372	2,211	6,149	3,938	45,002
Marketable Alternatives							
CEP VII		17,500					
Private Equity							
TIAA-CREF Core Property Fund	25.168	10,531	0.223	623	623	0	4,486
Cash		4,486					
Total Endowment Pool Transactions		\$1,152,580		\$1,057,559	\$1,061,612	\$4,053	\$152,425

UTAH STATE UNIVERSITY
OTHER INVESTMENTS

SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule C-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income
Jul 2009	\$1,900,219	\$873	\$757	\$40,279	\$1,940,614	\$1,920,417	\$1,719	\$0	\$1,719
Aug 2009	1,940,614	796	6,500	16,997	1,951,907	1,946,261	1,493	0	1,493
Sep 2009									
Oct 2009									
Nov 2009									
Dec 2009									
Jan 2010									
Feb 2010									
Mar 2010									
Apr 2010									
May 2010									
Jun 2010									

Comparative Totals:

Year-to-date									
FY 2009-10	\$1,900,219	\$1,669	\$7,257	\$57,276	\$1,951,907	\$1,933,339	\$3,212	\$0	\$3,212
FY 2008-09	2,053,431	1,327,384	1,326,647	(23,926)	2,030,242	2,038,737	7,257	(4,833)	2,424
Amt Change					(78,335)	(105,398)	(4,045)	4,833	788
% Change					-3.86%	-5.17%	-55.74%	100.00%	32.51%

Note: Other Investments include donor designated and other specified investments.

UTAH STATE UNIVERSITY
SUMMARY OF OTHER INVESTMENT TRANSACTIONS
For the Month of August 2009

Schedule C2

Other Investments	Purchases		Sales		Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost		
Corporate Bonds and Notes						
U.S. Treasury Bond						\$667
U.S. West Communications						30
Utah Public Treasurers' Investment Fund		\$796		\$6,500	\$0	796
Total Other Investments		<u>\$796</u>		<u>\$6,500</u>	<u>\$0</u>	<u>\$1,493</u>

UTAH STATE UNIVERSITY
ENDOWMENT TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule D-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2009	\$3,797,982	\$55,430	\$51,853	\$278,142	\$4,079,701	\$3,938,842	\$12,811	\$0	\$12,811	\$447	\$12,364
Aug 2009	4,079,701	18,749	20,192	190,226	4,268,484	4,174,093	10,299	(1,729)	8,570	150	8,420
Sep 2009											
Oct 2009											
Nov 2009											
Dec 2009											
Jan 2010											
Feb 2010											
Mar 2010											
Apr 2010											
May 2010											
Jun 2010											
61											

Comparative Totals:

Year-to-date											
FY 2009-10	\$3,797,982	\$74,179	\$72,045	\$468,368	\$4,268,484	\$4,056,467	\$23,110	(\$1,729)	\$21,381	\$597	\$20,784
FY 2008-09	5,163,877	255,731	240,929	119,729	5,298,408	5,267,871	31,668	(455)	31,213	1,425	29,788
Amt Change					(1,029,924)	(1,211,404)	(8,558)	(1,274)	(9,832)	(828)	(9,004)
% Change					-19.44%	-23.00%	-27.02%	-280.00%	-31.50%	-58.11%	-30.23%

Note: Endowment Trusts include externally managed endowment trusts.

UTAH STATE UNIVERSITY
SUMMARY OF ENDOWMENT TRUST INVESTMENT TRANSACTIONS
For the Month of August 2009

Schedule D2

Endowment Trusts	Purchases		Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost			
Common and Preferred Stock							\$9,604
Dividends							
Mutual Funds							
Wells Fargo Strategic Inc #89			376,677	\$7,212	\$6,347	(\$865)	342
Wells Fargo Strategic Inc #89			376,617	7,210	6,346	(864)	341
Money Market Funds							
Wells Fargo Advantage #454				3,171	3,171	0	
Morgan Stanley - Money Market		\$12,785		150	150	0	10
Wells Fargo #451		2,982		2,089	2,089	0	1
Wells Fargo #451		2,982		2,089	2,089	0	1
Total Endowment Trusts		\$18,749		\$21,921	\$20,192	(\$1,729)	\$10,299

UTAH STATE UNIVERSITY
PLANT FUND TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule E-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2009	\$22,951,558	\$5,086	\$360,378	\$14,624	\$22,610,890	\$22,831,878	\$13,585	\$0	\$13,585	\$0	\$13,585
Aug 2009	22,610,890	803,335	1,990,160	62,812	21,486,877	22,344,112	12,022	0	12,022	0	12,022
Sep 2009											
Oct 2009											
Nov 2009											
Dec 2009											
Jan 2010											
Feb 2010											
Mar 2010											
Apr 2010											
May 2010											
Jun 2010											
63											

Comparative Totals:

Year-to-date											
FY 2009-10	\$22,951,558	\$808,421	\$2,350,538	\$77,436	\$21,486,877	\$22,587,995	\$25,607	\$0	\$25,607	\$0	\$25,607
FY 2008-09	2,310,361	11,877	38,624	0	2,283,614	2,291,907	11,877	0	11,877	0	11,877
Amt Change					19,203,263	20,296,088	13,730	0	13,730	0	13,730
% Change					840.92%	885.55%	115.60%	0.00%	115.60%	0.00%	115.60%

Note: Plant Fund Trusts include all debt service reserve accounts in compliance with bond issue covenants.

UTAH STATE UNIVERSITY
SUMMARY OF PLANT TRUST INVESTMENT TRANSACTIONS
For the Month of August 2009

Schedule E2

Plant Trusts	Purchases		Sales		Earnings
	Shares	Cost	Shares	Cost	
Wells Fargo					
Heritage Money Market		\$2,487		\$800,312	\$2,487
Build America Bonds					8,499
U.S. Treasury Bills		799,812			
Utah Public Treasurers' Investment Fund		1,036		1,189,848	1,036
Total Plant Trusts		\$803,335		\$1,990,160	\$12,022

ITEM FOR ACTION

RE: Report of Institutional Discretionary Funds for 2008-2009 (Actual), 2009-2010 (Estimate), and 2010-2011 (Estimate) and Institutional Discretionary Funds Supplemental Report of Budget Variances for the Fiscal Year Ended 30 June 2009

The attached Report of Institutional Discretionary Funds and Institutional Discretionary Funds Supplemental Report of Budget Variances are submitted for the Trustees' consideration. The reports have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

Institutional discretionary funds are funds available for expenditure or transfer at the direction of the President of the University, generated from one or both of the following sources:

- A. Investment Income – Earnings resulting from the investment of cash balances in the Education and General Current Funds, and earnings resulting from the investment of other funds.
- B. Unrestricted Gifts and Grants – Gift and grant funds which are not restricted by the source to specific purposes, and are deposited in the Education and General Current Fund for expenditure or transfer.

Board of Regents Policy R548 requires that a three-year report of discretionary funds be submitted as part of the annual appropriated operating budget process. The report includes the actual expenditures of discretionary funds for the most recent fiscal year (2008-2009), estimates for the current fiscal year (2009-2010), and preliminary estimates of receipts and uses of funds for the request year (2010-2011).

The Supplemental Report of Budget Variances includes the actual sources of funds available; and the expenditures/transfers of these discretionary funds for the most recent fiscal year (2008-2009), the original budget for the year, and the actual variance from the budget.

RECOMMENDATION

The President and Interim Vice President for Business and Finance recommend that the Board of Trustees approve the Report of Institutional Discretionary Funds and the Institutional Discretionary Funds Supplemental Report of Budget Variances for the Fiscal Year Ended 30 June 2009 (2008-2009).

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, State Board of Regents policy R548 requires an annual Report of Institutional Discretionary Funds, and

WHEREAS, Utah State University is required to submit the Report of Institutional Discretionary Funds to the Utah State University Board of Trustees for approval, and

WHEREAS, The Interim Vice President for Business and Finance has reviewed the Report of Institutional Discretionary Funds and the Institutional Discretionary Funds Supplemental Report of Budget Variances for the Fiscal Year Ended 30 June 2009 and recommends approval to the Board of Trustees, and

WHEREAS, The President of Utah State University has reviewed the Report of Institutional Discretionary Funds and the Institutional Discretionary Funds Supplemental Report of Budget Variances for the Fiscal Year Ended 30 June 2009 and recommends approval to the Board of Trustees.

NOW, THEREFORE, BE IT RESOLVED, That the Utah State University Board of Trustees hereby approves the Report of Institutional Discretionary Funds and the Institutional Discretionary Funds Supplemental Report of Budget Variances for the Fiscal Year Ended 30 June 2009 as presented.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date



Date: 10/28/09
Initials: DC/WP

REPORT OF INSTITUTIONAL DISCRETIONARY FUNDS

	2008-09 Actual (1) \$	2009-10 Estimate (2) \$	2010-11 Estimate (3) \$
I SOURCES OF INSTITUTIONAL DISCRETIONARY FUNDS			
A. Carry forward	\$ 1,164,548	\$ 1,024,656	\$ 1,024,656
B. Current Funds Interest	5,571,371	4,800,000	4,800,000
C. Unrestricted Gifts and Grants	86,793	200,000	200,000
D. Total Amount Available	6,822,712	6,024,656	6,024,656
II. EXPENDITURES & TRANSFERS BY CATEGORY AND PROJECT			
A. Academic Program Enrichment	70,000		
B. Cultural Enrichment	3,687,962	2,675,000	2,675,000
C. Scholarships, Fellowships and Student Aid	20,000		
D. Faculty Development and Recognition	324,800	325,000	325,000
E. Campus Development			
F. Fund Raising and Institutional Development			
G. Equipment Acquisitions			
H. Supplemental Library Support			
I. Other E&G Current Operating Support	469,467	300,000	300,000
J. Transfers To/From Other Funds			
1. Support of Athletic Department	1,058,229	1,500,000	1,500,000
2. Quasi-Endowment Funds	167,598	200,000	200,000
3. Other Funds	-	-	-
K. TOTAL EXPENDITURES & TRANSFERS	5,798,056	5,000,000	5,000,000
III. CARRY FORWARD			
	\$ 1,024,656	\$ 1,024,656	\$ 1,024,656

Utah State University
Institutional Discretionary Funds
Supplemental Report of Budget Variances
For The Fiscal Year Ended June 30, 2009

	2008-09 Actual	2008-09 Budgeted	Dollar Variance
I. Sources of institutional discretionary funds			
A. Carryforward	\$1,164,548	\$1,164,548	\$ -
B. Current funds interest	5,571,371	4,800,000	771,371
C. Unrestricted gifts and grants	86,793	200,000	(113,207)
D. Total available	<u>6,822,712</u>	<u>6,164,548</u>	<u>658,164</u>
II. Expenditures by category and project			
A. Academic program enrichment	-	-	-
B. Cultural enrichment	70,000	-	70,000
C. Scholarships, fellowships & student aid	3,687,962	3,000,000	687,962
D. Faculty development and recognition	20,000	-	20,000
E. Campus development	-	-	-
F. Fund raising and institutional development	324,800	-	324,800
G. Equipment acquisitions	-	-	-
H. Supplemental library support	-	-	-
I. Other E&G current operating support	469,467	300,000	169,467
J. Transfers to/from other funds			
(1) Support of Athletic department	1,058,229	1,500,000	(441,771)
(2) Net transfers to quasi-endowment funds	167,598	200,000	(32,402)
(3) Net transfers from other funds	-	-	-
K. Total expenditures/transfers	<u>5,798,056</u>	<u>5,000,000</u>	<u>798,056</u>
III. Carryforward	<u>\$1,024,656</u>	<u>\$1,164,548</u>	<u>\$ (139,892)</u>

ITEM FOR ACTION

RE: Utah State University 2009-10 Athletics Budget

Information related to the Utah State University 2009-10 Athletics budget is submitted to the Board of Trustees for consideration. The budget information has received administrative review and approval.

EXECUTIVE SUMMARY

The total for the Utah State University 2009-10 Athletics budget is attached. Detailed schedules are provided for projected revenues and expenses.

RECOMMENDATION

The President and Interim Vice President for Business and Finance recommend that the USU Board of Trustees approve the Utah State University 2009-10 Athletics budget as presented.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, the Utah State University 2009-10 Athletics budget has been prepared by the Department of Athletics; and

WHEREAS, the total of the 2009-10 Athletics budget for Utah State University is attached; and

WHEREAS, the Utah State University 2009-10 Athletics budget has been duly considered and approved by the central administration; and

WHEREAS, the President and Interim Vice President for Business and Finance recommend approval of the Utah State University 2009-10 Athletics budget by the USU Board of Trustees:

NOW, THEREFORE, BE IT RESOLVED that the USU Board of Trustees hereby approves the Utah State University 2009-10 Athletics budget as presented.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

UTAH STATE UNIVERSITY
Athletics Department
Revenue Summary Report

Revenues	Actual FY09	For Approval		Projected		
		FY10	FY11	FY12	FY13	FY14
E&G	3,320,878	3,211,013	3,275,233	3,340,738	3,407,553	3,475,704
Inst. Support	2,937,702	2,675,000	2,778,350	2,886,691	3,000,266	3,119,332
Student Fees	1,772,698	3,700,000	3,737,000	3,774,370	3,963,089	4,002,719
Football Home Gate	884,324	600,000	800,000	720,000	1,008,000	1,058,400
Football Guarantees	335,000	725,000	750,000	950,000	500,000	950,000
Football Excellence	486,397	428,370	428,370	428,370	428,370	428,370
Men's Basketball	806,155	803,400	827,502	852,327	877,897	904,234
BBSF Donations	1,039,515	1,198,250	1,377,988	1,584,686	1,822,388	1,925,000
BBSF Events	105,349	100,000	103,000	106,090	109,273	112,551
Aggie Auction		100,000	100,000	107,500	115,563	119,029
TV Rights	50,000	97,500	290,000	290,000	290,000	290,000
ASP - Sponsorship	841,399	610,000	635,000	655,000	690,000	715,000
Athletic Fund	525,867	425,350	438,105	451,558	465,776	480,831
NCAA/WAC	1,533,642	1,122,222	1,294,222	1,294,222	1,294,222	1,294,222
Endowment Earnings	20,225	100,000	104,000	108,160	112,486	116,986
Sport Specific	757,507	150,000	150,000	150,000	150,000	150,000
REVENUE	15,416,658	16,046,105	17,088,769	17,699,712	18,234,882	19,142,378
TOTAL EXPENSE	16,264,830	16,469,361	17,042,411	17,640,936	18,266,311	18,920,000
Surplus/(Deficit)	(848,172)	(423,256)	46,358	58,776	(31,429)	222,378

**UTAH STATE UNIVERSITY
Athletics Department
Expenditure Summary Report**

Projected FY 2010

Account	Salaries & Benefits	Operating	Scholarship	Total
Administration	\$763,443	\$1,102,376	\$107,747	\$1,973,566
Big Blue Scholarship Fund	\$99,459	\$127,800		\$227,259
Development		\$36,775		\$36,775
Student Athlete Services	\$219,631	\$84,580	\$12,000	\$316,211
Weight Room	\$125,282	\$35,580	\$31,500	\$192,362
Event Management	\$56,443	\$380,500		\$436,943
Media Relations	\$177,143	\$203,120		\$380,263
Advertising and Promotions	\$56,355	\$209,540	\$27,000	\$292,895
Video Room	\$53,393	\$58,760	\$14,000	\$126,153
Medical/Training Room	\$226,520	\$363,670	\$9,500	\$599,690
Ticket Office	\$143,257	\$155,630		\$298,887
Sports	\$3,693,221	\$4,038,117	\$3,707,019	\$11,438,358
Sport Specific		\$150,000		\$150,000
TOTAL BUDGET	\$5,614,147	\$6,946,448	\$3,908,766	\$16,469,361
FY11	\$5,753,536	\$7,150,341	\$4,138,534	\$17,042,411
FY12	\$5,897,612	\$7,360,351	\$4,382,973	\$17,640,937
FY13	\$6,046,598	\$7,576,662	\$4,643,051	\$18,266,311
FY14	\$6,200,737	\$7,799,462	\$4,919,801	\$18,920,000

ITEM FOR ACTION

RE: Auxiliary and Service Enterprises Annual Reports

The attached Report of Auxiliary Enterprises Operations & Bond Reserve Change and Analysis of Service Enterprises are submitted for the Trustees consideration. These two reports are required by the Board of Regents on an annual basis. The format of the Regents forms only requires reporting of actual totals for the fiscal year just ended and the budget for the current year for the Auxiliary Enterprises report and only actual totals for the fiscal year just ended for the Service Enterprises report. Additional information, budget for the fiscal year just ended, is presented to the Board of Trustees for comparative purposes. The reports have received the appropriate administrative review and approval and will be submitted to the Board of Regents as required.

EXECUTIVE SUMMARY

Auxiliary Enterprises - Board of Regents Policy R550 requires Utah State University to submit an annual report of auxiliary enterprise operations. Auxiliary enterprises are business enterprises or other support activities (as distinguished from primary programs of instruction, research, and public service) operated on an essentially self-supporting basis. The primary purpose of such operations is to provide specified services to students, faculty, staff, or guests of the institution. The report of auxiliary enterprises operations, summarizing actual totals for the fiscal year just ended and the current year's budget, is part of the Board of Regents budget process. The Board of Trustees is responsible to review and approve the auxiliary enterprises annual report.

Individual reports are presented for the Bookstore (including branch campuses), Dining Services, Housing Services, Parking Operations, Student Center, Student Health Center, and the University Inn. The total of these seven operations is summarized and presented in Sections I - III of Page 1. The aggregate auxiliary operations for fiscal year 2008-09 resulted in a net operating income of \$2,996,967 before debt service. This amount does not include Student Building Fees of \$1,014,647 plus Land Grant and other interest of \$289,595 that is also available for debt service. Five of the seven operations reported net operating income. The Bookstore and Dining Services reported negative net operating income.

The Bookstore had a significant loss due to the downturn in the economy affecting textbook sales, sluggish regional campus store sales, and an error in the accounts payable ledgers. Other university bookstores in the region have also experienced a decline in sales. The USU regional campus stores have consolidated staffing, closed one of the stores, and adjusted operating hours. In addition, there was an error related to the accounts payable ledgers dating back to the prior fiscal year that reduced net income by \$373,000. Proactive measures have been taken to not only correct this issue but to improve operations through the installation of a new point-of-sale and inventory system, implementation of additional procedures for reconciling accounts, and reducing overall operating costs commensurate with the economic downturn.

Dining Services also incurred a negative net operating income while another closely linked auxiliary unit, Housing Services, had a positive net operating income. Dining Services is closely tied to Housing since many students who live on campus are required to purchase meal plans. As a member of the Auxiliary team, Dining Services has kept meal plan pricing lower than

comparable universities in order to meet the demand of price sensitive customers and to help improve Housing occupancy and Auxiliary revenue. Dining Services has relatively large variable costs compared to Housing. Each additional student in Housing areas requiring a mean plan increases net income for Housing by \$1,000, but only \$150 for Dining Services.

Dining revenues are significantly improved in the first quarter of FY10 given a substantial increase in Housing occupancy. In addition, Dining Services has taken proactive steps to significantly reduce operating expenses, increase meal plan sales (both traditional and block meal plans), and maintain a high level of service and quality.

One other important note regarding Dining Services is that while revenues are improving overall, Catering is an area that continues to struggle financially. This department requires the highest degree of skill and expertise within Dining Services and carries the greatest fixed costs. Dining Services has invested significantly over the past several years to ensure its product and service is second to none, yet USU departments spend over \$300,000 annually with off-campus caterers which provides an opportunity for additional revenue in USU Catering.

Sections IV - VI of Page 1 reports the activity of the Student Housing System bonds. Sufficient revenue was available from operations, Student Building Fees, and Land Grant/other interest to meet debt service payments of \$3,784,747.

The auxiliary services as a whole are healthy. The auxiliaries generated net income of \$2,996,967 on total revenue of \$33,899,897 in fiscal year 2008-09. Bond covenants require that a 1.1 debt ratio be maintained on bonded debt. The ratio for fiscal year 2008-09 was 1.14.

Service Enterprises - Board of Regents Policy R220 delegates review and approval authority to the Board of Trustees for service enterprise reports, subject to being reported annually to the Board of Regents. Service enterprises provide a specific type of service to various institutional departments rather than to individuals and is supported by internal charges to the user department's operating budget. Services Enterprises include Printing Services, Motor Pool, Mailing Bureau (Distribution Center), Surplus Property, and Information Technology Services.

The Analysis of Services Enterprises report on Page 9 presents a one-line summary for the service enterprises. All have positive fund balances. The Service Enterprises as a whole are also healthy ending the 2008-09 year with an ending fund balance of \$9,653,033.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Auxiliary and Service Enterprises Annual Reports as presented.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, Board of Regents policy requires that Utah State University annually submit a Report of Auxiliary Enterprises Operations & Bond Reserve Change and an Analysis of Services Enterprises; and

WHEREAS, The Board of Trustees is to review and approve the referenced auxiliary and service enterprises reports; and

WHEREAS, In accordance with the format required by the Board of Regents, the Report of Auxiliary Enterprises Operations & Bond Reserve Change is to summarize the actual revenues, expenditures, transfers, and beginning/ending fund balances for the fiscal year just ended, summarize the budget for the current year, and report on bond reserve changes; and

WHEREAS, Auxiliary enterprises included in the report are the Bookstore (including branch campuses), Dining Services, Housing Services, Parking Operations, Student Center, Student Health Center, and the University Inn; and

WHEREAS, In accordance with the format required by the Board of Regents, the Analysis of Services Enterprises is to summarize the actual revenues, expenditures, transfers, and beginning/ending fund balances for the fiscal year just ended; and

WHEREAS, Service enterprises included in the report are Printing Services, Motor Pool, Mailing Bureau (Distribution Center), Surplus Property, and Information Technology Services; and

WHEREAS, The President and Vice President for Business and Finance recommend approval of the attached Report of Auxiliary Enterprises Operations & Bond Reserve Change and the Analysis of Service Enterprises;

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves the attached Report of Auxiliary Enterprises Operations & Bond Reserve Change summarizing 2008-09 actuals and 2009-10 budget and the Analysis of Services Enterprises summarizing 2008-09 actuals.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

UTAH SYSTEM OF HIGHER EDUCATION

Date: 23-Oct-09

FORM S-8

Initials: BF - JM

REPORT OF AUXILIARY ENTERPRISES OPERATIONS & BOND RESERVE CHANGE

INSTITUTION: UTAH STATE UNIVERSITY

AUXILIARY ENTERPRISES CATEGORY: Total

<i>Enter data in green cells only</i>		2008-09 Budget	2008-09 Actual (1)	2009-10 Budget (2)
I	BEGINNING AUXILIARY BALANCE	\$626,490	\$626,490	\$589,184
II.	SUMMARY OF AUXILIARY OPERATIONS			
	A. Revenues	\$34,412,665	\$33,899,897	\$34,753,000
	B. Expenditures	\$30,245,448	\$30,902,930	\$30,310,000
	C. Net Operating Income	\$4,167,217	\$2,996,967	\$4,443,000
	D. Transfers			
	1. Mandatory	(\$3,437,770)	(\$2,528,562)	(\$3,704,200)
	2. Net Non-Mandatory	(\$729,447)	(\$505,711)	(\$738,800)
	E. Net Change in Fund Balance	\$0	(\$37,306)	\$0
III.	ENDING AUXILIARY BALANCE	\$626,490	\$589,184	\$589,184
IV.	BEGINNING BOND SYSTEM RESERVES		\$1,666,947	\$1,675,333
V.	SUMMARY OF BOND SYSTEM RESERVE CHANGES			
	A. Revenues			
	1. Student Building Fees		\$1,014,647	\$860,000
	2. Auxiliary Mandatory Transfers		\$2,528,562	\$3,704,200
	3. Other		\$289,595	\$100,000
	4. Total Revenues		\$3,832,804	\$4,664,200
	B. Debt Service Payments		\$3,784,747	\$3,773,300
	C. Transfers Out		\$39,671	\$890,900
	D. Net Change in Bond System Reserves		\$8,386	\$0
VI.	ENDING BOND SYSTEM RESERVES			
	A. Reserve Minimum per Covenants		\$500,000	\$500,000
	B. Held for Retirement of Bonds		\$1,175,333	\$1,175,333
	C. Available for Other Purposes			
VII.	NOTES AND COMMENTS			
	A: Net Non-Mandatory Transfers were used for:			
	B: Transfers of Bond Reserves were used for capital improvements.			

REPORT OF AUXILIARY ENTERPRISES OPERATIONS & BOND RESERVE CHANGE

INSTITUTION: UTAH STATE UNIVERSITY

AUXILIARY ENTERPRISES CATEGORY: Bookstore (Including branch campuses)

<i>Enter data in green cells only</i>		2008-09 Budget	2008-09 Actual (1)	2009-10 Budget (2)
I	BEGINNING AUXILIARY BALANCE	\$75,506	\$75,506	(\$71,496)
II.	SUMMARY OF AUXILIARY OPERATIONS			
	A. Revenues	\$12,100,347	\$11,480,345	\$12,175,600
	B. Expenditures	\$11,973,545	\$12,150,985	\$12,058,900
	C. Net Operating Income	\$126,802	(\$670,640)	\$116,700
	D. Transfers			
	1. Mandatory	(\$79,447)	\$471,175	(\$62,300)
	2. Net Non-Mandatory	(\$47,355)	\$52,463	(\$54,400)
	E. Net Change in Fund Balance	\$0	(\$147,002)	\$0
III.	ENDING AUXILIARY BALANCE	\$75,506	(\$71,496)	(\$71,496)
IV.	BEGINNING BOND SYSTEM RESERVES			
V.	SUMMARY OF BOND SYSTEM RESERVE CHANGES			
	A. Revenues			
	1. Student Building Fees			
	2. Auxiliary Mandatory Transfers			
	3. Other			
	4. Total Revenues			
	B. Debt Service Payments			
	C. Transfers Out			
	D. Net Change in Bond System Reserves			
VI.	ENDING BOND SYSTEM RESERVES			
	A. Reserve Minimum per Covenants			
	B. Held for Retirement of Bonds			
	C. Available for Other Purposes			
VII.	NOTES AND COMMENTS			
	A: Net Non-Mandatory Transfers were used for an administrative fee, E&G subsidy, and support received from Regional Campuses/Distance Education.			
	B: Transfers of Bond Reserves were used for:			

REPORT OF AUXILIARY ENTERPRISES OPERATIONS & BOND RESERVE CHANGE

INSTITUTION: UTAH STATE UNIVERSITY

AUXILIARY ENTERPRISES CATEGORY: Dining Services

<i>Enter data in green cells only</i>		2008-09 Budget	2008-09 Actual (1)	2009-10 Budget (2)
I	BEGINNING AUXILIARY BALANCE	\$284,283	\$284,283	\$284,283
II.	SUMMARY OF AUXILIARY OPERATIONS			
	A. Revenues	\$6,805,147	\$6,737,161	\$6,814,600
	B. Expenditures	\$6,528,570	\$7,031,391	\$6,604,067
	C. Net Operating Income	\$276,577	(\$294,230)	\$210,533
	D. Transfers			
	1. Mandatory	(\$222,724)	\$379,746	(\$154,400)
	2. Net Non-Mandatory	(\$53,853)	(\$85,516)	(\$56,133)
	E. Net Change in Fund Balance	\$0	\$0	\$0
III.	ENDING AUXILIARY BALANCE	\$284,283	\$284,283	\$284,283
IV.	BEGINNING BOND SYSTEM RESERVES			
V.	SUMMARY OF BOND SYSTEM RESERVE CHANGES			
	A. Revenues			
	1. Student Building Fees			
	2. Auxiliary Mandatory Transfers			
	3. Other			
	4. Total Revenues			
	B. Debt Service Payments			
	C. Transfers Out			
	D. Net Change in Bond System Reserves			
VI.	ENDING BOND SYSTEM RESERVES			
	A. Reserve Minimum per Covenants			
	B. Held for Retirement of Bonds			
	C. Available for Other Purposes			
VII.	NOTES AND COMMENTS			
	A: Net Non-Mandatory Transfers were used for an administrative fee, E&G subsidy, and voluntary separation incentive payments.			
	B: Transfers of Bond Reserves were used for:			

REPORT OF AUXILIARY ENTERPRISES OPERATIONS & BOND RESERVE CHANGE

INSTITUTION: UTAH STATE UNIVERSITY

AUXILIARY ENTERPRISES CATEGORY: Housing Services

<i>Enter data in green cells only</i>		2008-09 Budget	2008-09 Actual (1)	2009-10 Budget (2)
I	BEGINNING AUXILIARY BALANCE	\$17,480	\$17,480	\$17,480
II.	SUMMARY OF AUXILIARY OPERATIONS			
	A. Revenues	\$9,728,744	\$9,808,876	\$9,932,200
	B. Expenditures	\$6,650,238	\$6,652,410	\$6,621,883
	C. Net Operating Income	\$3,078,506	\$3,156,466	\$3,310,317
	D. Transfers			
	1. Mandatory	(\$2,911,953)	(\$3,033,346)	(\$3,221,500)
	2. Net Non-Mandatory	(\$166,553)	(\$123,120)	(\$88,817)
	E. Net Change in Fund Balance	\$0	\$0	\$0
III.	ENDING AUXILIARY BALANCE	\$17,480	\$17,480	\$17,480
IV.	BEGINNING BOND SYSTEM RESERVES			
V.	SUMMARY OF BOND SYSTEM RESERVE CHANGES			
	A. Revenues			
	1. Student Building Fees			
	2. Auxiliary Mandatory Transfers			
	3. Other			
	4. Total Revenues			
	B. Debt Service Payments			
	C. Transfers Out			
	D. Net Change in Bond System Reserves			
VI.	ENDING BOND SYSTEM RESERVES			
	A. Reserve Minimum per Covenants			
	B. Held for Retirement of Bonds			
	C. Available for Other Purposes			
VII.	NOTES AND COMMENTS			
	A: Net Non-Mandatory Transfers were used for an administrative fee, E&G subsidy, Student Living Center debt service (non pledged), and capital improvements.			
	B: Transfers of Bond Reserves were used for:			

REPORT OF AUXILIARY ENTERPRISES OPERATIONS & BOND RESERVE CHANGE

INSTITUTION: UTAH STATE UNIVERSITY

AUXILIARY ENTERPRISES CATEGORY: Parking Operations

<i>Enter data in green cells only</i>			
	2008-09 Actual	2008-09 Actual (1)	2009-10 Budget (2)
I BEGINNING AUXILIARY BALANCE	\$17,169	\$17,169	\$17,169
II. SUMMARY OF AUXILIARY OPERATIONS			
A. Revenues	\$1,368,850	\$1,455,949	\$1,357,500
B. Expenditures	\$999,345	\$967,221	\$1,003,100
C. Net Operating Income	\$369,505	\$488,728	\$354,400
D. Transfers			
1. Mandatory	(\$336,337)	(\$485,271)	(\$319,400)
2. Net Non-Mandatory	(\$33,168)	(\$3,457)	(\$35,000)
E. Net Change in Fund Balance	\$0	\$0	\$0
III. ENDING AUXILIARY BALANCE	\$17,169	\$17,169	\$17,169
IV. BEGINNING BOND SYSTEM RESERVES			
V. SUMMARY OF BOND SYSTEM RESERVE CHANGES			
A. Revenues			
1. Student Building Fees			
2. Auxiliary Mandatory Transfers			
3. Other			
4. Total Revenues			
B. Debt Service Payments			
C. Transfers Out			
D. Net Change in Bond System Reserves			
VI. ENDING BOND SYSTEM RESERVES			
A. Reserve Minimum per Covenants			
B. Held for Retirement of Bonds			
C. Available for Other Purposes			
VII. NOTES AND COMMENTS			
A: Net Non-Mandatory Transfers were used for an administrative fee, software upgrade, and voluntary separation incentive payments.			
B: Transfers of Bond Reserves were used for:			

REPORT OF AUXILIARY ENTERPRISES OPERATIONS & BOND RESERVE CHANGE

INSTITUTION: UTAH STATE UNIVERSITY

AUXILIARY ENTERPRISES CATEGORY: Student Center

<i>Enter data in green cells only</i>			
	2008-09 Budget	2008-09 Actual (1)	2009-10 Budget (2)
I BEGINNING AUXILIARY BALANCE	\$0	\$0	\$0
II. SUMMARY OF AUXILIARY OPERATIONS			
A. Revenues	\$2,100,359	\$2,133,759	\$2,105,500
B. Expenditures	\$1,952,163	\$2,016,098	\$1,849,000
C. Net Operating Income	\$148,196	\$117,661	\$256,500
D. Transfers			
1. Mandatory	\$112,691	\$139,134	\$53,400
2. Net Non-Mandatory	(\$260,887)	(\$256,795)	(\$309,900)
E. Net Change in Fund Balance	\$0	\$0	\$0
III. ENDING AUXILIARY BALANCE	\$0	\$0	\$0
IV. BEGINNING BOND SYSTEM RESERVES			
V. SUMMARY OF BOND SYSTEM RESERVE CHANGES			
A. Revenues			
1. Student Building Fees			
2. Auxiliary Mandatory Transfers			
3. Other			
4. Total Revenues			
B. Debt Service Payments			
C. Transfers Out			
D. Net Change in Bond System Reserves			
VI. ENDING BOND SYSTEM RESERVES			
A. Reserve Minimum per Covenants			
B. Held for Retirement of Bonds			
C. Available for Other Purposes			
VII. NOTES AND COMMENTS			
A: Net Non-Mandatory Transfers were used for an administrative fee, E&G subsidy, voluntary separation incentive payments, and support for operating expenses.			
B: Transfers of Bond Reserves were used for:			

REPORT OF AUXILIARY ENTERPRISES OPERATIONS & BOND RESERVE CHANGE

INSTITUTION: UTAH STATE UNIVERSITY

AUXILIARY ENTERPRISES CATEGORY: Student Health Center

Enter data in green cells only		2008-09 Budget	2008-09 Actual (1)	2009-10 Budget (2)
I	BEGINNING AUXILIARY BALANCE	\$232,003	\$232,003	\$341,699
II.	SUMMARY OF AUXILIARY OPERATIONS			
	A. Revenues	\$1,293,070	\$1,300,978	\$1,385,200
	B. Expenditures	\$1,292,997	\$1,191,902	\$1,359,500
	C. Net Operating Income	\$73	\$109,076	\$25,700
	D. Transfers			
	1. Mandatory			
	2. Net Non-Mandatory	(\$73)	\$620	(\$25,700)
	E. Net Change in Fund Balance	\$0	\$109,696	\$0
III.	ENDING AUXILIARY BALANCE	\$232,003	\$341,699	\$341,699
IV.	BEGINNING BOND SYSTEM RESERVES			
V.	SUMMARY OF BOND SYSTEM RESERVE CHANGES			
	A. Revenues			
	1. Student Building Fees			
	2. Auxiliary Mandatory Transfers			
	3. Other			
	4. Total Revenues			
	B. Debt Service Payments			
	C. Transfers Out			
	D. Net Change in Bond System Reserves			
VI.	ENDING BOND SYSTEM RESERVES			
	A. Reserve Minimum per Covenants			
	B. Held for Retirement of Bonds			
	C. Available for Other Purposes			
VII.	NOTES AND COMMENTS			
	A: Net Non-Mandatory Transfers were used for capital improvements.			
	B: Transfers of Bond Reserves were used for:			

UTAH SYSTEM OF HIGHER EDUCATION

Date: 23-Oct-09

FORM S-8

Initials: BF - JM

REPORT OF AUXILIARY ENTERPRISES OPERATIONS & BOND RESERVE CHANGE

INSTITUTION: UTAH STATE UNIVERSITY

AUXILIARY ENTERPRISES CATEGORY: University Inn

Enter data in green cells only		2008-09 Actual	2008-09 Actual (1)	2009-10 Budget (2)
I	BEGINNING AUXILIARY BALANCE	\$49	\$49	\$49
II.	SUMMARY OF AUXILIARY OPERATIONS			
	A. Revenues	\$1,016,148	\$982,829	\$982,400
	B. Expenditures	\$848,590	\$892,923	\$813,550
	C. Net Operating Income	\$167,558	\$89,906	\$168,850
	D. Transfers			
	1. Mandatory			
	2. Net Non-Mandatory	(\$167,558)	(\$89,906)	(\$168,850)
	E. Net Change in Fund Balance	\$0	\$0	\$0
III.	ENDING AUXILIARY BALANCE	\$49	\$49	\$49
IV.	BEGINNING BOND SYSTEM RESERVES			
V.	SUMMARY OF BOND SYSTEM RESERVE CHANGES			
	A. Revenues			
	1. Student Building Fees			
	2. Auxiliary Mandatory Transfers			
	3. Other			
	4. Total Revenues			
	B. Debt Service Payments			
	C. Transfers Out			
	D. Net Change in Bond System Reserves			
VI.	ENDING BOND SYSTEM RESERVES			
	A. Reserve Minimum per Covenants			
	B. Held for Retirement of Bonds			
	C. Available for Other Purposes			
VII.	NOTES AND COMMENTS			
	A: Net Non-Mandatory Transfers were used for an administrative fee, E&G subsidy, capital improvements, and voluntary separation incentive payments.			
	B: Transfers of Bond Reserves were used for:			

UTAH SYSTEM OF HIGHER EDUCATION

FORM S-7

ANALYSIS OF SERVICE ENTERPRISES

Date: 23-Oct-09

Initials: BF - JM

INSTITUTION: UTAH STATE UNIVERSITY

SERVICE ENTERPRISES	2008-09 Actuals					2008-09 Budget	
	Beginning Balance	Revenues	Total Available	Expenditures	Transfers	Ending Balance	Revenue Expenditures
Printing Services	\$168,393	\$1,370,914	\$1,539,307	\$1,490,312		\$48,995	\$1,425,200 \$1,332,100
Motor Pool	\$814,009	\$1,588,092	\$2,402,101	\$1,513,718	(\$20,621)	\$867,762	\$1,460,700 \$1,365,900
Stores and Receiving			\$0			\$0	
Mailing Bureau (Distribution Center)	\$414,731	\$892,549	\$1,307,280	\$884,680	\$11,513	\$434,113	\$1,244,500 \$1,242,800
Administrative Data Processing			\$0			\$0	
Academic Computing			\$0			\$0	
Parking Services			\$0			\$0	
Other:							
Surplus Property	\$137,222	\$76,451	\$213,673	\$68,364	\$9,978	\$155,287	\$79,200 \$71,700
Information Technology Srv *	\$9,063,596	\$5,703,387	\$14,766,983	\$6,985,103	\$364,996	\$8,146,876	\$6,088,000 \$6,008,000
			\$0			\$0	
			\$0			\$0	
			\$0			\$0	
			\$0			\$0	
Total	\$10,597,951	\$9,631,393	\$20,229,344	\$10,942,177	\$365,866	\$9,653,033	\$10,297,600 \$10,020,500

* Information Technology Services consists of Telephone Services, Network & Computing Services, Classroom & Multimedia Services, and the FACT Center.

ITEM FOR ACTION

RE: Delegation/Administrative Reports - 07/15/09 to 09/30/09

Utah State University has been given authority by the Division of Facilities Construction and Management (DFCM) to exercise direct supervision of the design and construction of all alterations, repairs, and improvements to existing facilities on individual projects with budgets of less than \$4 million. The supervision of new construction can also be delegated to USU on a project-by-project basis and can exceed the \$4 million amount. As part of the delegation authority, administrative reports are prepared by USU and presented to the Utah State Building Board at each of its meetings. The monthly format of USU's reports is similar to that of the DFCM's reports presenting professional and construction contracts issued during the reporting period, contingency and project reserves status, and a delegated projects list showing budget and status. On a quarterly basis, additional reports are prepared showing contingency fund cumulative transfers, a summary of the statewide (paving) account, and a construction contract status. The Board of Regents has transferred its authority to review the delegation/ administrative reports to the Board of Trustees.

The reports have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The following is a summary of the administrative reports for USU for the period 07/15/09 to 09/30/09. Quarterly reports on the Contingency Fund Cumulative Transfers, Summary of the Statewide Accounts, and Construction Contract Status are also included.

Professional Contracts, 11 contracts issued (Page 1)

Comments are provided on the report.

Construction Contracts, 12 contracts issued (Page 2)

Comments are provided on the report.

Report of Contingency Reserve Fund (Page 3)

No significant items. Two project closed returning dollars to the Contingency Reserve Fund that were previously transferred and not expended as anticipated.

Contingency Fund Cumulative Transfers Quarterly Report (Pages 4-5)

The Contingency Fund is in good order. Fifteen projects have closed since the last quarterly report, and the new FY10 projects have been added.

Report of Project Reserve Fund Activity (Page 6)

Three project closed adding \$95,324.24 to the Project Reserve Fund.

Summary of the Statewide Accounts Quarterly Report (Page 7)

All appropriated statewide paving projects are completed, and savings have been generated from those projects. A portion of the savings remaining is being used to complete another high priority paving project.

Construction Contract Status Quarterly Report (Pages 8-9)

Fifteen contracts have closed, 22 are in progress, and 14 new contracts have been issued since the last quarterly report. The contracts that are over in time are due to minor contractor issues, unknown conditions surfacing during the course of construction, and a lag time in paperwork being processed to extend days.

Current Delegated Projects List (Pages 10-11)

Of USU's 66 projects, 13 are now Complete, 17 Substantially Complete, 27 in Construction, 6 in the Design/Study phase, and 3 Pending. The completed projects for the quarter are Campus Safety Lighting, HPER Upgrades, Veterinary Science Fume Hood/Mechanical Upgrades, Carousel Square Remodel, Bus Turnaround, SER Mechanical Upgrade/UPS Replacement, Old Main Hill Landscaping, Engineering Lab Emergency Lighting Upgrades, Building Commissioning, NFS 248 Remodel, Spectrum Outside Air Intake Dampers, DDC Controls for VAV Boxes, and Emergency Generators FY09.

RECOMMENDATION

The President and Interim Vice President for Business and Finance recommend that the delegation/administrative reports for the current reporting period be approved as presented.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, the Utah Legislature appropriates state funds through the Division of Facilities Construction and Management to Utah State University for the purpose of capital improvements;

WHEREAS, the Division of Facilities Construction and Management has delegated its authority to exercise direct supervision of the design and construction of such capital improvements to Utah State University;

WHEREAS, non-state funded projects with budgets of less than \$4 million are also delegated to Utah State University;

WHEREAS, projects in excess of \$4 million can also be delegated to Utah State University with specific approval of the Utah State Building Board;

WHEREAS, Utah State University presents reports on the status of all its delegated projects to the Utah State Building Board on a regular basis;

WHEREAS, the State Board of Regents has transferred its authority to review the delegation/ administrative reports to the Board of Trustees;

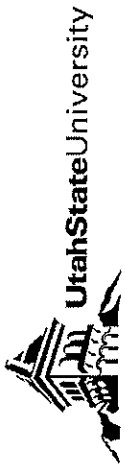
WHEREAS, the President and Interim Vice President for Business and Finance have reviewed the delegation/administrative reports for the current reporting period and recommend approval of the reports to the Board of Trustees; and

WHEREAS, the Board of Trustees has reviewed and given due consideration to the delegation/ administrative reports:

NOW, THEREFORE, BE IT RESOLVED that the USU Board of Trustees hereby approves the delegation/administrative reports as presented.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES

Date



Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Professional Contracts Awarded From 07/15/09 to 09/30/09

Contract Name	Firm Name	A/E Budget	Fee Amount	Comments
1 Fine Arts Complex Safety Repairs	Eaton Architecture	\$18,187.00	\$28,550.00	Balance needed from other line item budgets
2 Classroom Upgrades FY10	David Procter Architect	\$18,026.00	\$9,200.00	Design fee for Family Life 118/120
3 Utah Botanical Center Wetland Lab ARRA Improvements	AJC Architects	\$4,500.00	\$4,590.00	Construction administration services for the boardwalk construction
MISCELLANEOUS CONTRACTS				
4 Planning & Design Fund FY08 91	Robert Jacoby Architect & Associates	\$100,000.00	\$20,000.00	Master plan for future growth from South Farm to Caine Dairy
5 Planning & Design Fund FY10	Tetra Tech, Inc.	\$100,000.00	\$17,911.00	Critical analysis of proposed Wind Energy Project
6 Roosevelt Child Lab/Bookstore Remodel	Ivie Code Group	\$3,995.00	\$3,880.00	Inspections
7 Planning & Design Fund FY09	Abstract Masonry Restoration	\$100,000.00	\$2,750.00	Old Main masonry study
8 USTAR Biofuels Outdoor Facility (Innovation Campus)	CMT Engineering Labs	\$4,572.00	\$2,730.03	Testing
9 Utah Botanical Center Wetland Lab ARRA Improvements	Ivie Code Group	\$2,159.00	\$2,391.00	Balance needed from other line item budgets
10 Building 86/Wind Tunnel Replacement (Structures Lab)	Eric M. Kankainen Consulting	\$7,394.00	\$2,080.00	Testing
11 NE Campus (NFS) Steam Line Replacement	Professional Services Industries	\$10,000.00	\$1,000.00	X-ray welds on steam line

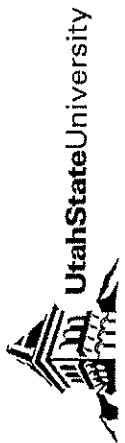


Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Construction Contracts

Awarded From 07/15/09 to 09/30/09

Project	Firm Name	Design Firm	Const Budget	Contract Amt	Comments
1 Spectrum Locker Rooms Remodel	Raymond Construction	Architectural Design West	\$250,000.00	\$250,000.00	
2 Roosevelt Child Lab/Bookstore Remodel	Jacobsen Construction	AJC Architects	\$199,750.00	\$199,750.00	
3 Utah Botanical Center Wetland Lab ARRA Improvements	Jacobsen Construction	AJC Architects	\$241,250.00	\$141,250.00	Boardwalks portion of project
4 HPER Office/Classroom Upgrade	Bailey Construction	Architectural Design West	\$168,696.00	\$98,280.00	Classroom portion of project
5 CPD Fire Alarm FY09	Tec Electric	USU Facilities Planning and Design	\$133,036.00	\$71,339.00	Conduit/junction box portion of project
6 Business MCC and E-Panel	USU Facilities	USU Facilities Planning and Design	\$90,910.00	\$28,254.00	
7 Water Lab Fume Hood Upgrade	Advanced Heating & Air Conditioning	Sine Source Engineering/Structural Solutions	\$1,262,979.00	\$18,120.00	CM/GC contract pre-construction fee
8 Ray B. West Window Replacement	USU Facilities	USU Facilities Planning and Design	\$640,000.00	\$3,435.00	Replace windows in doors and hallways with tempered glass
9 Campus-wide Sidewalk Replacements	USU Facilities	USU Facilities Planning and Design	\$301,000.00	\$3,392.00	BNR east courtyard concrete pad with curb
MISCELLANEOUS CONTRACTS					
10 Campus Wide Medium Voltage Upgrade FY10	G&W Electric Company	Intermountain Consumer Professional Engineers	\$599,056.00	\$110,386.00	Equipment only (Switches)
11 Miscellaneous Critical Improvements FY10	Eagle Environmental	USU Facilities Planning and Design	\$357,143.00	\$3,798.00	Asbestos abatement in Military Science and Business Buildings
12 Roosevelt Child Lab/Bookstore Remodel	IHI Environmental		\$2,285.10	\$2,285.10	Asbestos/lead paint inspections



Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Report of Contingency Reserve Fund

From 07/15/09 to 09/30/09

Project Title	Current Transfers	Total Transfers To (From) Contingency	% to Construction Budget	Project Status	% Completed (Paid)
BEGINNING BALANCE	\$719,913.49				
INCREASES TO CONTINGENCY RESERVE FUND					
Bus Turnaround (Close project)	31,489.73	(103,259.00)	8.00%	Complete	100%
SER Mechanical Upgrade/UPS Replacement (Close project)	8,517.58	(50,471.98)	14.84%	Complete	100%
DECREASES TO CONTINGENCY RESERVE FUND					
Tippetts Gallery Lighting/HVAC (Change order)	(38,300.00)	(53,904.50)	9.54%	Construction	71%
NE Campus (NFS) Steam Line Replacement (Change order)	(25,639.00)	(25,639.00)	6.00%	Substantial Completion	96%
Fume Hood Upgrades FY08 (Change order)	(13,350.00)	(28,060.00)	7.00%	Substantial Completion	90%
Water Lab Fire Lane Access/Bridges (Change orders)	(3,922.00)	(35,037.54)	4.31%	Substantial Completion	98%
A/C & Controls Upgrade FY08 (Change Order)	(3,365.00)	(3,365.00)	0.88%	Substantial Completion	90%
Ray B. West Window Replacement (Change Order)	(1,540.00)	(1,540.00)	0.24%	Construction	37%
Campus Wide Medium Voltage Upgrade FY10 (Contractor support)	(514.92)	(2,090.57)	0.35%	Construction	7%
ENDING BALANCE	\$673,289.88				



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Contingency Fund Cumulative Transfers Quarterly Report (As of 09/30/09)

Description	% of Const. Budget to Contingency Fund	06/03/09		09/30/09		Project Status
		Transfers To (From) Contingency	% To Construction Budget	Transfers To (From) Contingency	% To Construction Budget	
Tennis Courts Relocation	8.00%	(\$69,080.00)	31.30%	(\$69,080.00)	31.30%	Substantial Completion
Emergency Generators FY09	6.00%	(\$10,707.24)	23.79%	(\$10,707.24)	23.79%	Complete
Old Main Hill Landscaping	8.00%	(\$44,612.57)	20.59%	(\$44,612.57)	20.59%	Complete
SER Mechanical Upgrade/UPS Replacement	7.94%	(\$58,989.56)	17.35%	(\$50,471.98)	14.84%	Complete
Building Commissioning	7.00%	(\$21,536.87)	11.20%	(\$28,101.26)	14.61%	Complete
DDC Controls for VAV Boxes	4.17%	(\$12,073.59)	10.48%	(\$12,073.59)	10.48%	Complete
Tippett's Gallery Lighting/HVAC	7.00%	\$0.00	0.00%	(\$53,904.50)	9.54%	Construction
Campus Safety Lighting 2	9.05%	(\$4,150.00)	9.05%	(\$4,150.00)	9.05%	Complete
Campus Safety Lighting (Science)	9.00%	(\$25,600.00)	9.00%	(\$25,600.00)	9.00%	Substantial Completion
New Well (Industrial Science)	8.00%	(\$134,748.73)	10.44%	(\$103,259.00)	8.00%	Complete
Bus Turnaround	8.00%	(\$20,074.00)	8.00%	(\$20,074.00)	8.00%	Complete
Fume Hood Upgrades FY07	8.00%	(\$32,815.00)	8.00%	(\$32,815.00)	8.00%	Complete
Veterinary Science Fume Hood Upgrades	8.00%	(\$16,625.00)	8.00%	(\$16,625.00)	8.00%	Substantial Completion
Well Pump House and Controls	8.00%	(\$6,800.00)	8.00%	(\$6,800.00)	8.00%	Complete
Spectrum Outside Air Intake Dampers	7.00%	(\$24,989.28)	7.31%	(\$24,989.28)	7.31%	Substantial Completion
Campus-wide Medium Voltage Upgrades	7.00%	(\$14,710.00)	3.67%	(\$28,060.00)	7.00%	Substantial Completion
Fume Hood Upgrades FY08	7.00%	(\$44,660.71)	6.53%	(\$44,660.71)	6.53%	Construction
Classroom Upgrades FY08	8.14%	(\$34,861.02)	6.16%	(\$34,861.02)	6.16%	Substantial Completion
Pump House and Equipment	6.00%	(\$40,952.00)	5.00%	(\$25,639.00)	6.00%	Substantial Completion
NE Campus (NFS) Steam Line Replacement	5.00%	(\$57,142.82)	4.54%	(\$40,952.00)	5.00%	Complete
CEP Chillers	7.00%	(\$29,637.53)	3.64%	(\$57,142.82)	4.54%	Construction
Water Lab Fire Lane Access/Bridges	8.00%	(\$9,131.01)	3.64%	(\$35,037.54)	4.31%	Substantial Completion
Vet Science Mechanical Upgrades	7.00%	(\$8,554.43)	3.18%	(\$9,131.01)	3.64%	Complete
Tanner Fountain	5.36%	(\$4,429.20)	2.03%	(\$8,554.43)	3.18%	Substantial Completion
Campus Safety Lighting 3	7.00%	\$0.00	0.00%	(\$4,429.20)	2.03%	Complete
A/C & Controls Upgrade FY08	6.00%	(\$294.63)	0.18%	(\$3,365.00)	0.88%	Substantial Completion
Campus Wide Medium Voltage Upgrade FY10	7.00%			(\$2,090.57)	0.35%	Construction
Engineering Lab Emergency Lighting Upgrades	6.00%			(\$498.03)	0.30%	Complete
Ray B. West Window Replacement	6.00%	\$0.00	0.00%	(\$1,540.00)	0.24%	Construction
Campus Safety Lighting FY07	8.00%	\$0.00	0.00%	\$0.00	0.00%	Complete
Fire Alarm Upgrades FY08	7.00%	\$0.00	0.00%	\$0.00	0.00%	Partial Completion
Access Control/Security Systems Upgrades	7.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
CEP Electrical Feed	7.00%	\$0.00	0.00%	\$0.00	0.00%	Equipment order
Campus-wide Ventilation	7.00%	\$0.00	0.00%	\$0.00	0.00%	Substantial Completion

Description	% of Const. Budget to Contingency Fund	06/03/09		09/30/09		Project Status
		Transfers To (From) Contingency	% To Construction Budget	Transfers To (From) Contingency	% To Construction Budget	
Health, Life Safety & Code Compliance Projects FY09	4.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Campus-wide Sidewalk Replacements	10.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Business Building Cladding	7.00%	\$0.00	0.00%	\$0.00	0.00%	Substantial Completion
Water Lab Fume Hood Upgrade	8.50%	\$0.00	0.00%	\$0.00	0.00%	Construction
CPD Fire Alarm FY09	8.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Steam Line Replacement to NFS	7.98%	\$0.00	0.00%	\$0.00	0.00%	Substantial Completion
Natural Resources Siding	6.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Campus-wide Benches/Trash Receptacles/Urns	0.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Eccles Conference Center/Business Walkways	6.00%	\$0.00	0.00%	\$0.00	0.00%	Pending
Miscellaneous Critical Improvements FY09	6.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Health, Life Safety & Code Compliance Projects FY10	6.00%			\$0.00	0.00%	Construction
Classroom Upgrades FY10	6.00%			\$0.00	0.00%	Construction
HPER Office/Classroom Upgrade	6.00%			\$0.00	0.00%	Construction
Fine Arts Complex Safety Repairs	6.00%			\$0.00	0.00%	Construction
Building Recommissioning FY10	6.00%			\$0.00	0.00%	Design
Campus Wide Bike Racks	6.00%			\$0.00	0.00%	Pending
Business MCC and E-Panel	6.00%			\$0.00	0.00%	Pending
Miscellaneous Critical Improvements FY10	6.00%			\$0.00	0.00%	Construction



Office of the Vice President for Business and Finance
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Report of Project Reserve Fund Activity

From 07/15/09 to 09/30/09

Project Title	Transfer Amount	Description	% of Construction Budget
BEGINNING BALANCE	\$282,941.68		
INCREASES TO PROJECT RESERVE FUND			
Bus Turnaround	58,857.15	Close project	4.56%
HPER Upgrades	19,680.72	Close project	2.40%
Engineering Lab Emergency Lighting Upgrade	16,786.37	Close project	10.20%
DECREASES TO PROJECT RESERVE FUND			
None			
ENDING BALANCE	\$378,265.92		



Office of the Vice President for Business and Finance
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Summary of the Statewide Accounts Quarterly Report (As of 09/30/09)

DFCM Number	Project	Key	DFCM Statewide Funds	USU/ Other Funds	Total Project Budget	Previous Encumb.	Current Encumb.	Total Encumb.	Project Status
PAVING									
n/a	Miscellaneous Paving		63,774		63,774	0	51,822	51,822	Substantial Completion
TOTALS			\$63,774	\$0	\$63,774	\$0	\$51,822	\$51,822	



Office of the Vice President for Business and Finance
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Construction Contract Status Quarterly Report (As of 09/30/09)

Project Manager	Project Number	Contract Name	Vendor	Current Contract Amount	Current Contract Days	Days Left	% Paid	Sub Comp
CLOSED CONTRACTS								
Graham	A14526	Utah Botanical Center Wetland Discovery Lab	Big D Construction	\$1,504,384	256	-67	100.0%	01/19/09
Fitch	A13216	Agricultural Buildings Relocation (Feed Mill/Sheep Barn)	Copper Mountain Electric	\$126,735	120	-56	100.0%	08/05/09
Carillo	A19843	TSC 3rd Floor HVAC Remodel	Raymond Construction	\$530,929	192	-18	100.0%	06/01/09
Whitaker	A07961	Building 86C/Wind Tunnel Replace (Structures Lab)	Spindler Construction	\$760,374	238	-16	100.0%	04/17/09
Fitch	A15262	Bus Turnaround	Bailey Construction	\$26,907	94	0	100.0%	04/10/09
Holt	A20557	Campus-wide Medium Voltage Upgrades FY10	Tec Electric	\$31,910	10	0	100.0%	04/30/09
Carillo	A16968	Campus-wide Ventilation	Advanced Heating & Air Conditioning	\$34,231	45	0	100.0%	08/23/09
Holt	A16878	Campus-wide Medium Voltage Upgrades & High Voltage Upgrades FY07 (Combined project)	Tec Electric	\$253,853	126	0	100.0%	12/15/08
Fitch	A15303	Old Main Hill Landscaping	RBI, Inc.	\$284,795	194	0	100.0%	10/15/07
Graham	A17575	Brigham City Science Lab Addition	Bailey Construction	\$1,964,439	232	7	100.0%	03/16/09
Beck	A18974	Business Building Gladding	Spindler Construction	\$368,270	84	16	100.0%	08/15/09
Kane	A08073	HPER Upgrades	USU Facilities (In-house construction)	\$5,620	n/a	n/a	100.0%	n/a
Whitaker	A18975	Water Lab Fire Lane Access/Bridges	USU Facilities (In-house construction)	\$11,019	n/a	n/a	100.0%	n/a
Mortensen	A16881	CEP Chillers	USU Facilities (In-house construction)	\$29,706	n/a	n/a	100.0%	n/a
Carillo	A16966	Building Commissioning	USU Facilities (In-house construction)	\$85,159	n/a	n/a	100.0%	n/a
OPEN CONTRACTS								
Whitaker	A18975	Water Lab Fire Lane Access/Bridges	Spindler Construction	\$878,019	240	-84	99.6%	09/22/09
Mortensen	A16881	CEP Chillers	Spindler Construction	\$756,780	150	-63	90.3%	
Whitaker	A20520	USTAR Biofuels Outdoor Facility (Innovation Camp)	Bailey Construction	\$510,500	90	-16	19.8%	
Whitaker	A16783	UWRL Hydraulics Laboratory	Spindler Construction	\$1,195,966	238	-16	99.6%	04/17/09
Fitch	A18594	Tennis Courts Relocation	Spindler Construction	\$594,680	165	-12	99.4%	12/12/08
Graham	A20794	Ray B. West Window Replacement	Darrell W. Anderson Construction	\$667,140	137	2	41.9%	
Whitaker	A16967	Fume Hood, A/C & Controls Upgrade - Geology (Combined project)	Gramoll Construction	\$775,748	240	9	97.8%	01/27/09
Graham	A18978	Tippett's Gallery Lighting/HVAC	Gramoll Construction	\$754,345	309	14	70.3%	
Fitch	A18363	Tooele Classrooms Addition	Jacobsen Construction	\$2,931,060	343	48	92.8%	07/31/09
Holt	A16878	Campus-wide Medium Voltage Upgrades & High Voltage Upgrades FY07 (Combined project)	USU Facilities (In-house construction)	\$7,325	n/a	n/a	52.9%	
Holt	A20557	Campus-wide Medium Voltage Upgrades FY10	USU Facilities (In-house construction)	\$29,112	n/a	n/a	24.7%	
Berrett	A18981	Natural Resources Siding	USU Facilities (In-house construction)	\$29,632	n/a	n/a	48.2%	
Holt	A18980	CPD Fire Alarm FY09	USU Facilities (In-house construction)	\$54,441	n/a	n/a	49.2%	
Carillo	A20856	Miscellaneous Critical Improvements FY10	USU Facilities (In-house construction)	\$76,798	n/a	n/a	16.7%	

Project Manager	Project Number	Contract Name	Vendor	Current Contract Amount	Current Contract Days	Days Left	% Paid
OPEN CONTRACTS (CONTINUED)							
Carrillo	A20091	Military Science Renovation (2nd Floor)	USU Facilities (In-house construction)	\$78,255	n/a	n/a	76.0%
Berrett	A18982	Campus-wide Benches/Trash Receptacles/Ums	USU Facilities (In-house construction)	\$110,848	n/a	n/a	85.2%
Berrett	A18985	Miscellaneous Critical Improvements FY09	USU Facilities (In-house construction)	\$116,302	n/a	n/a	97.3%
Berrett	A18973	Health, Life Safety & Code Compliance FY09	USU Facilities (In-house construction)	\$117,527	n/a	n/a	99.0%
Whitaker	A16876	Access Control/Security Systems Upgrade	USU Facilities (In-house construction)	\$158,984	n/a	n/a	98.1%
Kane	A20143	SER Observatory	USU Facilities (In-house construction)	\$212,487	n/a	n/a	59.6%
Beck	A16874	Classroom Upgrades FY08	USU Facilities (In-house construction)	\$227,067	n/a	n/a	98.0%
Fitch	A13216	Agricultural Buildings Relocation (Equine Center)	Darrell W. Anderson Construction	\$337,848	n/a	n/a	95.0%
NEW CONTRACTS							
Graham	A20736	Spectrum Locker Rooms Remodel	Raymond Construction	\$336,076	46	5	19.8%
Holt	A18980	CPD Fire Alarm FY09	Tec Electric	\$73,124	59	23	0.0%
Graham	A21088	Utah Botanical Center Wetland Lab ARRA Imps	Jacobsen Construction	\$254,918	75	23	8.1%
Mortensen	A08076	Paving (NE Campus Steam Line Replacement)	Spindler Construction	\$55,233	90	38	92.0%
Mortensen	A20865	NE Campus (NFS) Steam Line Replacement	Spindler Construction	\$457,006	90	38	91.7%
Beck	A20860	HPER Office/Classroom Upgrade	Bailey Construction	\$98,280	90	49	0.0%
Graham	A19028	Roosevelt Child Lab/Bookstore Remodel	Jacobsen Construction	\$199,750	70	49	0.0%
Goodliffe	A18728	Campus-wide Sidewalk Replacement	Sorensen & Gnehm Construction	\$10,890			0.0%
Carrillo	A18976	Water Lab Fume Hood Upgrade	Advanced Heating & Air Conditioning	\$18,120			0.0%
Goodliffe	A18728	Campus-wide Sidewalk Replacement	USU Facilities (In-house construction)	\$3,392	n/a	n/a	0.0%
Graham	A20794	Ray B. West Window Replacement	USU Facilities (In-house construction)	\$3,435	n/a	n/a	0.0%
Berrett	A20858	Health, Life Safety & Code Compliance FY10	USU Facilities (In-house construction)	\$23,112	n/a	n/a	0.3%
Holt	A20864	Business MCC and E-Panel	USU Facilities (In-house construction)	\$28,254	n/a	n/a	0.0%
Beck	A20859	Classroom Upgrades FY10	USU Facilities (In-house construction)	\$177,282	n/a	n/a	19.1%



UtahStateUniversity

Office of the Vice President for Business and Finance
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Current Delegated Projects List

09/30/09

Project Number	Project Name	Phase	Project Budget
CAPITAL DEVELOPMENT/IMPROVEMENT			
A12309	Campus Safety Lighting 2-3	Complete	\$589,727
	Campus Safety Lighting FY07		129,007
A08087	New Well (Industrial Science)	Substantial Completion	342,425
	Well Pump House and Controls		250,000
	Pump House and Equipment		588,776
A08073	HPER Upgrades (Floors, A/C, Locks, Fire Alarms)	Complete	1,037,903
A12820	Veterinary Science Fume Hood Upgrades	Complete	500,000
	Fume Hood Upgrades FY07		300,000
	Veterinary Science Mechanical Upgrades		271,718
A08070	Carousel Square Remodel	Complete	1,956,486
A13216	Agricultural Buildings Relocation	Construction	10,748,411 *
A14526	Utah Botanical Center Wetland Discovery Lab	Substantial Completion	1,752,422
A15262	Bus Turnaround	Complete	1,345,121
A15867	SER Mechanical Upgrade/UPS Replacement	Complete	936,767
A15303	Old Main Hill Landscaping	Complete	311,613
A07961	Building 86C/Wind Tunnel Replacement (Structures Lab)	Substantial Completion	889,656
A16874	Engineering Lab Emergency Lighting Upgrades	Complete	166,250
A16967	Fume Hood Upgrades FY08	Substantial Completion	500,000
	A/C & Controls Upgrade FY08		426,740
A16878	Campus-wide Medium Voltage Upgrades	Substantial Completion	682,808
A16873	Fire Alarm Upgrades FY08	Partial Completion	282,051
A16881	CEP Chillers	Construction	1,469,035
A16876	Access Control/Security Systems Upgrades	Construction	188,800
A16871	Classroom Upgrades FY08	Construction	806,798
A16966	Building Commissioning	Complete	239,640
A16877	CEP Electrical Feed	Equipment order	188,685
A16968	Campus-wide Ventilation	Substantial Completion	188,932
A16872	Tanner Fountain	Substantial Completion	314,752
A16971	Planning & Design Fund FY08	Design/Study	100,000
A17575	Brigham City Science Lab Addition	Substantial Completion	2,286,297
A16783	UWRL Hydraulics Laboratory	Substantial Completion	1,831,953
A18156	NFS 248 Remodel	Complete	440,201
A18615	Steam Line Replacement to NFS	Substantial Completion	933,000
A18972	Planning & Design Fund FY09	Design/Study	100,000

A18973	Health, Life Safety & Code Compliance Projects FY09	Construction	192,727
A18728	Campus-wide Sidewalk Replacements	Construction	319,900
A18974	Business Building Cladding	Substantial Completion	422,158
A18975	Water Lab Fire Lane Access/Bridges	Substantial Completion	919,947
A18976	Water Lab Fume Hood Upgrade	Construction	1,392,647
A18977	Spectrum Outside Air Intake Dampers	Complete	98,911
A18978	Tippett's Gallery Lighting/HVAC	Construction	840,950
A18979	DDC Controls for VAV Boxes	Complete	127,274
A18980	CPD Fire Alarm FY09	Construction	139,357
A18981	Natural Resources Siding	Construction	94,453
A18982	Campus-wide Benches/Trash Receptacles/Urns	Construction	118,038
A18983	Emergency Generators FY09	Complete	58,007
A18984	Eccles Conference Center/Business Walkways	Pending	189,393
A18985	Miscellaneous Critical Improvements FY09	Construction	196,193
A18594	Tennis Courts Relocation	Substantial Completion	656,921
A18363	Tooele Classrooms Addition	Substantial Completion	3,608,399
A19843	TSC 3rd Floor HVAC Remodel	Substantial Completion	636,812
A20091	Military Science Renovation (Psychology Teaching Lab/1st & 2nd Floors)	Construction	197,207
A19028	Roosevelt Child Lab/Bookstore Remodel	Construction	293,935
A20143	SER Observatory	Construction	265,347
A20520	USTAR Biofuels Outdoor Facility (Innovation Campus)	Construction	709,662
A20557	Campus-wide Medium Voltage Upgrade FY10	Construction	616,147
A20794	Ray B. West Window Replacement	Construction	763,140
A20856	Miscellaneous Critical Improvements FY10	Construction	378,571
A20857	Planning & Design Fund FY10	Design/Study	100,000
A20858	Health, Life Safety & Code Compliance Projects FY10	Construction	94,642
A20859	Classroom Upgrades FY10	Construction	284,548
A20860	HPER Office/Classroom Upgrade	Construction	189,878
A20861	Fine Arts Complex Safety Repairs	Construction	331,812
A20862	Building Recommissioning	Design	236,607
A20863	Campus Wide Bike Racks	Pending	47,273
A20864	Business MCC and E-Panel	Pending	94,546
A20865	NE Campus (NFS) Steam Line Replacement	Construction	500,000
A20736	Spectrum Locker Rooms Remodel	Substantial Completion	468,165
A20471	Anthropology Museum Relocation	Construction	20,000
A20974	FAC 214 (Choir Room) Remodel	Conceptual Design/Feasibility only	10,000
A21088	Utah Botanical Center Wetland Lab ARRA Improvements (NEW PROJECT)	Design only	275,000
		Construction	
PAVING (STATEWIDE)			
A08076	Miscellaneous Paving	Substantial Completion	63,773
TOTAL (66)			<u>\$49,048,314</u>

* Project management delegated to USU.

ITEM FOR ACTION

RE: Delegation/Administrative Reports - 09/30/09 to 11/13/09

Utah State University has been given authority by the Division of Facilities Construction and Management (DFCM) to exercise direct supervision of the design and construction of all alterations, repairs, and improvements to existing facilities on individual projects with budgets of less than \$4 million. The supervision of new construction can also be delegated to USU on a project-by-project basis and can exceed the \$4 million amount. As part of the delegation authority, administrative reports are prepared by USU and presented to the Utah State Building Board at each of its meetings. The monthly format of USU's reports is similar to that of the DFCM's reports presenting professional and construction contracts issued during the reporting period, contingency and project reserves status, and a delegated projects list showing budget and status. On a quarterly basis, additional reports are prepared showing contingency fund cumulative transfers, a summary of the statewide (paving) account, and a construction contract status. The Board of Regents has transferred its authority to review the delegation/administrative reports to the Board of Trustees.

The reports have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The following is a summary of the administrative reports for USU for the period 09/30/09 to 11/13/09. Quarterly reports on the Contingency Fund Cumulative Transfers, Summary of the Statewide Accounts, and Construction Contract Status are also included.

Professional Contracts, 3 contracts issued (Page 1)

Comments are provided on the report.

Construction Contracts, 2 contracts issued (Page 2)

Comments are provided on the report.

Report of Contingency Reserve Fund (Page 3)

No significant items.

Contingency Fund Cumulative Transfers Quarterly Report (Page 4)

The Contingency Fund is in good order. No projects have closed since the last quarterly report.

Report of Project Reserve Fund Activity (Page 5)

No activity has occurred in the Project Reserve Fund for this reporting period.

Summary of the Statewide Accounts Quarterly Report (Page 6)

No significant items.

Construction Contract Status Quarterly Report (Pages 7-8)

Since the last quarterly, four contracts have closed, 29 are in progress, and 1 new contract has been issued. The contracts that are over in time are due to minor contractor issues, unknown conditions surfacing during the course of construction, and a lag time in paperwork being processed to extend days.

Current Delegated Projects List (Pages 9-10)

Of USU's 54 projects, 1 is now Complete, 20 Substantially Complete, 25 in Construction, 6 in the Design/Study phase, and 2 Pending. The completed project for the quarter is the Agricultural Buildings Relocation. This project relocated a number of facilities from the north campus area to the South Farm. All buildings are completed with the exception of the Equine Education Center. This facility is under construction and is now listed separately as a stand-alone project.

RECOMMENDATION

The President and Interim Vice President for Business and Finance recommend that the delegation/administrative reports for the current reporting period be approved as presented.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, the Utah Legislature appropriates state funds through the Division of Facilities Construction and Management to Utah State University for the purpose of capital improvements;

WHEREAS, the Division of Facilities Construction and Management has delegated its authority to exercise direct supervision of the design and construction of such capital improvements to Utah State University;

WHEREAS, non-state funded projects with budgets of less than \$4 million are also delegated to Utah State University;

WHEREAS, projects in excess of \$4 million can also be delegated to Utah State University with specific approval of the Utah State Building Board;

WHEREAS, Utah State University presents reports on the status of all its delegated projects to the Utah State Building Board on a regular basis;

WHEREAS, the State Board of Regents has transferred its authority to review the delegation/administrative reports to the Board of Trustees;

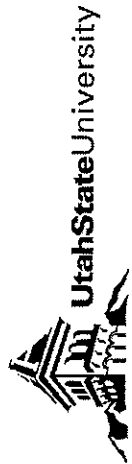
WHEREAS, the President and Interim Vice President for Business and Finance have reviewed the delegation/administrative reports for the current reporting period and recommend approval of the reports to the Board of Trustees; and

WHEREAS, the Board of Trustees has reviewed and given due consideration to the delegation/administrative reports:

NOW, THEREFORE, BE IT RESOLVED that the USU Board of Trustees hereby approves the delegation/administrative reports as presented.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES

Date



Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Professional Contracts Awarded From 09/30/09 to 11/13/09

Contract Name	Firm Name	A/E Budget	Fee Amount	Comments
1 Planning & Design Fund FY09	Urbanization, LLC	\$100,000.00	\$9,950.00	Design west campus pedestrian entrance landscaping
MISCELLANEOUS CONTRACTS				
2 Planning & Design Fund FY09	Method, Studio, Inc.	\$100,000.00	\$50,000.00	Fine Arts Complex facility study
3 Equine Education Center	Cache Landmark Engineering	\$15,000.00	\$11,408.00	Testing services



Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Construction Contracts Awarded From 09/30/09 to 11/13/09

Project	Firm Name	Design Firm	Const Budget	Contract Amt	Comments
1 Building Recommissioning	USU Facilities	USU Facilities Planning and Design	\$223,214.00	\$40,630.00	Engineering Building recommissioning
MISCELLANEOUS CONTRACTS					
2 Miscellaneous Critical Improvements FY10	Johnson Controls	USU Facilities Planning and Design	\$357,143.00	\$27,691.00	Equipment only



Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Report of Contingency Reserve Fund

From 09/30/09 to 11/13/09

Project Title	Current Transfers	Total Transfers To (From) Contingency	% to Construction Budget	Project Status	% Completed (Paid)
BEGINNING BALANCE	\$673,289.88				
INCREASES TO CONTINGENCY RESERVE FUND					
None					
DECREASES TO CONTINGENCY RESERVE FUND					
CEP Chillers (Change orders)	(23,329.00)	(80,471.82)	6.39%	Substantial Completion	95%
Tippetts Gallery Lighting/HVAC (Change order)	(22,487.00)	(76,391.50)	13.52%	Construction	85%
ENDING BALANCE	\$627,473.88				

Contingency Fund Cumulative Transfers Quarterly Report (As of 11/13/09)

Description	% of Const. Budget to Contingency Fund	09/30/09		11/13/09		Project Status
		Transfers To (From) Contingency	% To Construction Budget	Transfers To (From) Contingency	% To Construction Budget	
Tennis Courts Relocation	8.00%	(\$69,080.00)	31.30%	(\$69,080.00)	31.30%	Substantial Completion
Tippetts Gallery Lighting/HVAC	7.00%	(\$53,904.50)	9.54%	(\$76,391.50)	13.52%	Substantial Completion
New Well (Industrial Science)	9.00%	(\$25,600.00)	9.00%	(\$25,600.00)	9.00%	Substantial Completion
Well Pump House and Controls	8.00%	(\$16,625.00)	8.00%	(\$16,625.00)	8.00%	Substantial Completion
Campus-wide Medium Voltage Upgrades	7.00%	(\$24,989.28)	7.31%	(\$24,989.28)	7.31%	Substantial Completion
Fume Hood Upgrades FY08	7.00%	(\$28,060.00)	7.00%	(\$28,060.00)	7.00%	Substantial Completion
Classroom Upgrades FY08	7.00%	(\$44,660.71)	6.53%	(\$44,660.71)	6.53%	Construction
CEP Chillers	7.00%	(\$57,142.82)	4.54%	(\$80,471.82)	6.39%	Substantial Completion
Pump House and Equipment	8.14%	(\$34,861.02)	6.16%	(\$34,861.02)	6.16%	Substantial Completion
NE Campus (NFS) Steam Line Replacement	6.00%	(\$25,639.00)	6.00%	(\$25,639.00)	6.00%	Substantial Completion
Water Lab Fire Lane Access/Bridges	8.00%	(\$35,037.54)	4.31%	(\$35,037.54)	4.31%	Substantial Completion
Tanner Fountain	7.00%	(\$8,554.43)	3.18%	(\$8,554.43)	3.18%	Substantial Completion
A/C & Controls Upgrade FY08	7.00%	(\$3,365.00)	0.88%	(\$3,365.00)	0.88%	Substantial Completion
Campus Wide Medium Voltage Upgrade FY10	6.00%	(\$2,090.57)	0.35%	(\$2,090.57)	0.35%	Construction
Ray B. West Window Replacement	6.00%	(\$1,540.00)	0.24%	(\$1,540.00)	0.24%	Construction
Fire Alarm Upgrades FY08	7.00%	\$0.00	0.00%	\$0.00	0.00%	Partial Completion
Access Control/Security Systems Upgrades	7.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
CEP Electrical Feed	7.00%	\$0.00	0.00%	\$0.00	0.00%	Equipment order
Campus-wide Ventilation	7.00%	\$0.00	0.00%	\$0.00	0.00%	Substantial Completion
Health, Life Safety & Code Compliance Projects FY09	4.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Campus-wide Sidewalk Replacements	10.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Business Building Cladding	7.00%	\$0.00	0.00%	\$0.00	0.00%	Substantial Completion
Water Lab Fume Hood Upgrade	8.50%	\$0.00	0.00%	\$0.00	0.00%	Construction
CPD Fire Alarm FY09	8.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Steam Line Replacement to NFS	7.98%	\$0.00	0.00%	\$0.00	0.00%	Construction
Natural Resources Siding	6.00%	\$0.00	0.00%	\$0.00	0.00%	Substantial Completion
Campus-wide Benches/Trash Receptacles/Urns	0.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Eccles Conference Center/Business Walkways	6.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Miscellaneous Critical Improvements FY09	6.00%	\$0.00	0.00%	\$0.00	0.00%	Design
Health, Life Safety & Code Compliance Projects FY10	6.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Classroom Upgrades FY10	6.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
HPER Office/Classroom Upgrade	6.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Fine Arts Complex Safety Repairs	6.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Building Recommissioning FY10	6.00%	\$0.00	0.00%	\$0.00	0.00%	Design
Campus Wide Bike Racks	6.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Business MCC and E-Panel	6.00%	\$0.00	0.00%	\$0.00	0.00%	Pending
Miscellaneous Critical Improvements FY10	6.00%	\$0.00	0.00%	\$0.00	0.00%	Construction



Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Report of Project Reserve Fund Activity From 09/30/09 to 11/13/09

Project Title	Transfer Amount	Description	% of Construction Budget
BEGINNING BALANCE	\$378,265.92		
INCREASES TO PROJECT RESERVE FUND None			
DECREASES TO PROJECT RESERVE FUND None			
ENDING BALANCE	\$378,265.92		



Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Summary of the Statewide Accounts Quarterly Report (As of 11/13/09)

DFCM Number	Project	Key	DFCM Statewide Funds	USU/ Other Funds	Total Project Budget	Previous Encumb.	Current Encumb.	Total Encumb.	Project Status
PAVING									
n/a	Miscellaneous Paving		63,774		63,774	51,822	3,411	55,233	Substantial Completion
TOTALS			\$63,774	\$0	\$63,774	\$51,822	\$3,411	\$55,233	



Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Construction Contract Status Quarterly Report (As of 11/13/09)

Project Manager	Project Number	Contract Name	Vendor	Current Contract Amount	Current Contract Days	Days Left	% Paid	Sub Comp
CLOSED CONTRACTS								
Mortensen	A16881	CEP Chillers	Spindler Construction	\$780,109	150	-62	100.0%	09/29/09
Fitch	A18594	Tennis Courts Relocation	Spindler Construction	\$594,680	165	-12	100.0%	12/12/08
Whitaker	A16967	Fume Hood, A/C & Controls Upgrade - Geology (Combined project)	Gramoll Construction	\$775,748	240	9	100.0%	01/27/09
Graham	A20794	Ray B. West Window Replacement	USU Facilities (In-house construction)	\$3,435	n/a	n/a	100.0%	n/a
OPEN CONTRACTS								
Whitaker	A18975	Water Lab Fire Lane Access/Bridges	Spindler Construction	\$878,019	240	-84	99.6%	09/22/09
Graham	A20736	Spectrum Locker Rooms Remodel	Raymond Construction	\$336,076	46	-39	80.7%	
Goodliffe	A18728	Campus-wide Sidewalk Replacement	Sorensen & Gnehm Construction	\$133,262	75	-21	54.0%	
Whitaker	A16783	UWRL Hydraulics Laboratory	Spindler Construction	\$1,195,966	238	-16	99.6%	04/17/09
Graham	A18978	Tippett's Gallery Lighting/HVAC	Gramoll Construction	\$781,832	309	-7	85.3%	10/21/09
Graham	A21088	Utah Botanical Center Wetland Lab ARRA Imps	Jacobsen Construction	\$249,373	96	0	25.0%	
Beck	A20860	HPER Office/Classroom Upgrade	Bailey Construction	\$123,560	90	5	23.6%	
Graham	A19028	Roosevelt Child Lab/Bookstore Remodel	Jacobsen Construction	\$199,750	70	5	29.1%	
Whitaker	A20520	USTAR Biofuels Outdoor Facility (Innovation Camp)	Bailey Construction	\$674,529	112	12	82.3%	
Holt	A18980	CPD Fire Alarm FY09	Tec Electric	\$73,124	97	17	75.2%	
Mortensen	A08076	Paving (NE Campus Steam Line Replacement)	Spindler Construction	\$55,233	90	38	92.0%	09/09/09
Mortensen	A20865	NE Campus (NFS) Steam Line Replacement	Spindler Construction	\$457,006	90	38	91.7%	09/09/09
Fitch	A18363	Tooele Classrooms Addition	Jacobsen Construction	\$2,931,060	343	48	93.4%	07/31/09
Graham	A20794	Ray B. West Window Replacement	Darrell W. Anderson Construction	\$667,140	408	229	45.3%	
Fitch	A21736	Equine Education Center	Darrell W. Anderson Construction	\$3,124,015	304	260	10.3%	
Carrillo	A18976	Water Lab Fume Hood Upgrade	Advanced Heating & Air Conditioning	\$101,015	n/a	n/a	0.0%	Pre-const
Goodliffe	A18728	Campus-wide Sidewalk Replacement	USU Facilities (In-house construction)	\$3,392	n/a	n/a	98.2%	
Holt	A16878	Campus-wide Medium Voltage Upgrades & High Voltage Upgrades FY07 (Combined project)	USU Facilities (In-house construction)	\$7,325	n/a	n/a	52.9%	
Holt	A20864	Business MCC and E-Panel	USU Facilities (In-house construction)	\$28,254	n/a	n/a	0.0%	
Berrett	A18981	Natural Resources Siding	USU Facilities (In-house construction)	\$29,632	n/a	n/a	60.0%	
Berrett	A20858	Health, Life Safety & Code Compliance FY10	USU Facilities (In-house construction)	\$33,879	n/a	n/a	0.2%	
Holt	A20557	Campus-wide Medium Voltage Upgrades FY10	USU Facilities (In-house construction)	\$37,699	n/a	n/a	74.6%	
Holt	A18980	CPD Fire Alarm FY09	USU Facilities (In-house construction)	\$54,441	n/a	n/a	61.3%	
Carrillo	A20091	Military Science Renovation (2nd Floor)	USU Facilities (In-house construction)	\$83,343	n/a	n/a	95.6%	
Carrillo	A20856	Miscellaneous Critical Improvements FY10	USU Facilities (In-house construction)	\$106,901	n/a	n/a	18.7%	

Project Manager	Project Number	Contract Name	Vendor	Current Contract Amount	Current Contract Days	Days Left	% Paid	Sub Comp
OPEN CONTRACTS (CONTINUED)								
Whitaker	A16876	Access Control/Security Systems Upgrade	USU Facilities (In-house construction)	\$158,984	n/a	n/a	99.1%	
Beck	A20859	Classroom Upgrades FY10	USU Facilities (In-house construction)	\$183,959	n/a	n/a	71.7%	
Kane	A20143	SER Observatory	USU Facilities (In-house construction)	\$206,843	n/a	n/a	72.0%	
Beck	A16874	Classroom Upgrades FY08	USU Facilities (In-house construction)	\$226,717	n/a	n/a	99.9%	
NEW CONTRACTS								
Carillo	A20862	Building Recommissioning (Engineering)	USU Facilities (In-house construction)	\$40,630	n/a	n/a	0.0%	



Utah State University

Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Current Delegated Projects List 11/13/09

Project Number	Project Name	Phase	Project Budget
CAPITAL DEVELOPMENT/IMPROVEMENT			
A08087	New Well (Industrial Science) Well Pump House and Controls Pump House and Equipment	Substantial Completion	\$342,425 250,000 588,776
A13216	Agricultural Buildings Relocation (excluding Equine Education Center)	Complete	9,815,966 *
A21736	Equine Education Center	Construction	3,280,235 *
A14526	Utah Botanical Center Wetland Discovery Lab	Substantial Completion	1,752,422
A07961	Building 86C/Wind Tunnel Replacement (Structures Lab)	Substantial Completion	889,656
A16967	Fume Hood Upgrades FY08	Substantial Completion	500,000
	A/C & Controls Upgrade FY08		426,740
A16878	Campus-wide Medium Voltage Upgrades	Substantial Completion	682,808
A16873	Fire Alarm Upgrades FY08	Partial Completion	282,051
A16881	CEP Chillers	Substantial Completion	1,492,364
A16876	Access Control/Security Systems Upgrades	Construction	188,800
A16871	Classroom Upgrades FY08	Construction	806,798
A16877	CEP Electrical Feed	Equipment order	188,685
A16968	Campus-wide Ventilation	Substantial Completion	188,932
A16872	Tanner Fountain	Substantial Completion	314,752
A16971	Planning & Design Fund FY08	Design/Study	100,000
A17575	Brigham City Science Lab Addition	Substantial Completion	2,286,297
A16783	UWRL Hydraulics Laboratory	Substantial Completion	1,831,989
A18615	Steam Line Replacement to NFS	Substantial Completion	933,000
A18972	Planning & Design Fund FY09	Design/Study	100,000
A18973	Health, Life Safety & Code Compliance Projects FY09	Construction	192,727
A18728	Campus-wide Sidewalk Replacements	Construction	319,900
A18974	Business Building Cladding	Substantial Completion	422,158
A18975	Water Lab Fire Lane Access/Bridges	Substantial Completion	919,947
A18976	Water Lab Fume Hood Upgrade	Construction	1,392,647
A18978	Tippett's Gallery Lighting/HVAC	Substantial Completion	863,437
A18980	CPD Fire Alarm FY09	Construction	139,357
A18981	Natural Resources Siding	Construction	94,453
A18982	Campus-wide Benches/Trash Receptacles/Urns	Construction	118,038

A18984	Eccles Conference Center/Business Walkways	Pending	189,393
A18985	Miscellaneous Critical Improvements FY09	Construction	196,193
A18594	Tennis Courts Relocation	Substantial Completion	656,921
A18363	Tooele Classrooms Addition	Substantial Completion	3,608,399
A19843	TSC 3rd Floor HVAC Remodel	Substantial Completion	636,812
A20091	Military Science Renovation (Psychology Teaching Lab/1st & 2nd Floors)	Substantial Completion	197,207
A19028	Roosevelt Child Lab/Bookstore Remodel	Construction	293,935
A20143	SER Observatory	Construction	265,347
A20520	USTAR Biofuels Outdoor Facility (Innovation Campus)	Construction	863,151
A20557	Campus-wide Medium Voltage Upgrade FY10	Construction	616,147
A20794	Ray B. West Window Replacement	Construction	763,140
A20856	Miscellaneous Critical Improvements FY10	Construction	378,571
A20857	Planning & Design Fund FY10	Design/Study	100,000
A20858	Health, Life Safety & Code Compliance Projects FY10	Construction	94,642
A20859	Classroom Upgrades FY10	Construction	284,548
A20860	HPER Office/Classroom Upgrade	Construction	189,878
A20861	Fine Arts Complex Safety Repairs	Design	331,812
A20862	Building Recommissioning	Construction	236,607
A20863	Campus Wide Bike Racks	Pending	47,273
A20864	Business MCC and E-Panel	Construction	94,546
A20865	NE Campus (NFS) Steam Line Replacement	Substantial Completion	500,000
A20736	Spectrum Locker Rooms Remodel	Construction	468,165
A20471	Anthropology Museum Relocation	Conceptual Design/Feasibility only	20,000
A20974	FAC 214 (Choir Room) Remodel	Design only	10,000
A21088	Utah Botanical Center Wetland Lab ARRA Improvements	Construction	275,000
PAVING (STATEWIDE)			
A08076	Miscellaneous Paving	Substantial Completion	63,773
TOTAL (54)			<u>\$43,086,820</u>

* Project management delegated to USU.

ITEM FOR ACTION

RE: Contract/Grant Proposals and Awards (September, 2009)

The summary of the Status of Sponsored Program Awards, prepared by our Sponsored Programs Office for September, 2009 is submitted for the Trustees' consideration. They have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The awards for the month of September, 2009 amounted to \$22,411,292 versus \$14,018,281 for September, 2008. The current year's September awards figure was (59.9%) more than the September, 2008 figure.

The comparative graph, "Utah State University Sponsored Program Awards" indicates that September, 2009 cumulative awards were (18%) more than last year for the same time period. Scholarships, fellowships, and state appropriations for research are not included in either figure.

The value of proposals submitted by faculty increased from \$18,271,890 in September, 2008 to \$55,069,387 in September, 2009 (169.4%). The number of current year proposals (468) is more than last year (370) for the same time period.

RECOMMENDATION

The President and Vice President for Research recommend that the Board of Trustees approve the contract and grant status report for September, 2009.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The attached lists of contract/grant proposals and awards (September, 2009) are recommended by the President and the Vice President for Research to the Board of Trustees:

NOW THEREFORE, BE IT NOW RESOLVED, That the USU Board of Trustees hereby approves the recommendation of the President and the Vice President for Research.

RESOLUTION APPROVED BY BOARD OF TRUSTEES:

Date

UTAH STATE UNIVERSITY
SPONSORED PROGRAMS OFFICE
AWARDS BY COLLEGE
FOR PERIOD: SEPTEMBER 2009

FY 2009/2010

COLLEGE	CURRENT MONTH						CUMULATIVE TOTALS					
	AWARDS FY 2008/2009	AWARDS FY 2009/2010	TOTAL \$ CHANGE	# 08/09	# 09/10	TOT CHG	AWARDS FY 2008/2009	AWARDS FY 2009/2010	TOTAL \$ CHANGE	# 08/09	# 09/10	TOT CHG
AGRICULTURE	\$ 1,573,857.10	\$ 3,235,299.17	\$ 1,661,442.07	19	21	2	\$ 9,186,609.40	\$ 9,874,273.87	\$ 687,664.47	48	51	3
BUSINESS	\$ -	\$ 10,000.00	\$ 10,000.00	-	1	1	\$ 984,000.00	\$ 204,848.00	\$ (779,152.00)	1	2	1
EDUCATION	\$ 4,117,044.30	\$ 2,748,515.00	\$ (1,368,529.30)	25	24	(1)	\$ 7,692,487.96	\$ 10,558,532.97	\$ 2,866,045.01	67	60	(7)
ENGINEERING	\$ 886,809.00	\$ 3,671,645.00	\$ 2,784,836.00	20	21	1	\$ 4,044,100.51	\$ 7,729,581.22	\$ 3,685,480.71	63	66	3
HASS	\$ 142,445.00	\$ 133,772.60	\$ (8,672.40)	4	7	3	\$ 1,927,286.00	\$ 204,451.60	\$ (1,722,834.40)	12	13	1
NAT. RESOURCES	\$ 730,293.41	\$ 2,466,831.05	\$ 1,736,537.64	20	28	8	\$ 1,733,919.05	\$ 4,099,002.88	\$ 2,365,083.83	46	56	10
SCIENCE	\$ 368,925.48	\$ 893,653.00	\$ 524,727.52	9	9	-	\$ 2,623,655.82	\$ 3,509,511.38	\$ 885,855.56	31	27	(4)
MISCELLANEOUS	\$ 535,249.00	\$ 729,157.00	\$ 193,908.00	13	19	6	\$ 2,465,436.58	\$ 2,993,010.16	\$ 527,573.58	60	67	7
USURF	\$ 5,663,658.15	\$ 8,522,419.44	\$ 2,858,761.29	20	25	5	\$ 15,260,255.41	\$ 15,033,193.60	\$ (227,061.81)	51	60	9
GRAND TOTAL	\$ 14,018,281.44	\$ 22,411,292.26	\$ 8,393,010.82	130	155	25	\$ 45,917,750.73	\$ 54,206,405.68	\$ 8,288,654.95	379	402	23

PERCENTAGE
CHANGE :
DOLLARS
SEPTEMBER 2008 to 2009
59.87%

NUMBERS
SEPTEMBER 2008 to 2009
19.23%

TOTAL DOLLARS
FY 08/09 to FY 09/10
18.05%

TOTAL NUMBERS
FY 08/09 to 09/10
6.07%

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/IOT funds.

: The Miscellaneous line includes the Cooperative Extension, Information Technology, Learning Resources, Life Span Learning, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community Relations, VP for Administrative Affairs, VP for Research.

Selected List of Awards Greater than \$1,000,000 from 09-01-2009 to 09-30-2009

Filters Applied*: No Filter

Award # 1

Control Number	080080	Agency	1,157,137.00
Funding Agency	Southern Research Institute	USU	0.00
Flow Through Agency	None	Total	1,157,137.00
Department	ANIMAL, DAIRY & VETERINARY SCIENCES		
College	College of Agriculture		
Admin. Center	Ag Experiment Station		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	John MORREY;		
Co-PI(s)	Donald SMEE; JUSTIN JULANDER; Dale BARNARD; Bart TARBET;		
Period of Performance	05-01-2008 -- 09-30-2010		
Original Award Date	01-14-2008		
Change/Mod Effective Date	09-30-2009		
Program Name	DISCOVERY FOR INFLUENZA		
Statement	DISCOVERY OF THERAPIES FOR SEASONAL INFLUENZA.		

Award # 2

Control Number	090998	Agency	868,660.00
Funding Agency	Department of Energy	USU	333,025.00
Flow Through Agency	None	Total	1,201,685.00
Department	Utah Water Research Laboratory		
College	College of Engineering		
Admin. Center	University Research and Training		
Research Function	Sponsored Research-Applied		
Principal Investigator(s)	JEFF MUHS;		
Co-PI(s)	Byard WOOD; Lance SEEFELDT;		
Period of Performance	09-30-2009 -- 10-31-2010		
Original Award Date	09-08-2009		
Change/Mod Effective Date	09-30-2009		
Program Name	MULTIFUNCTIONAL SOLAR ENERGY SYSTEMS		
Statement	THIS RESEARCH WILL EXPLORE PATHWAYS NOVEL BIOFUELS PRODUCTION TECHNOLOGIES WITH NOVEL CO-LOCATED SYSTEMS CAPABLE OF SIMULTANEOUSLY PRODUCING ELECTRICITY OR PROCESS HEAT WITH THE OTHERWISE WASTED IR RADIATION. SUCH A COMBINATION OF TECHNOLOGIES WILL LEAD TO MORE COST-COMPETITIVE "MALFUNCTIONAL" SYSTEMS THAT ADD VALUE, AND BROADEN OPPORTUNITIES FOR INTEGRATED ENERGY SYSTEMS. THE GOAL IS TO INCREASE THE OVERALL EFFICACY AND COST COMPETITIVENESS OF SOLAR SYSTEMS.		

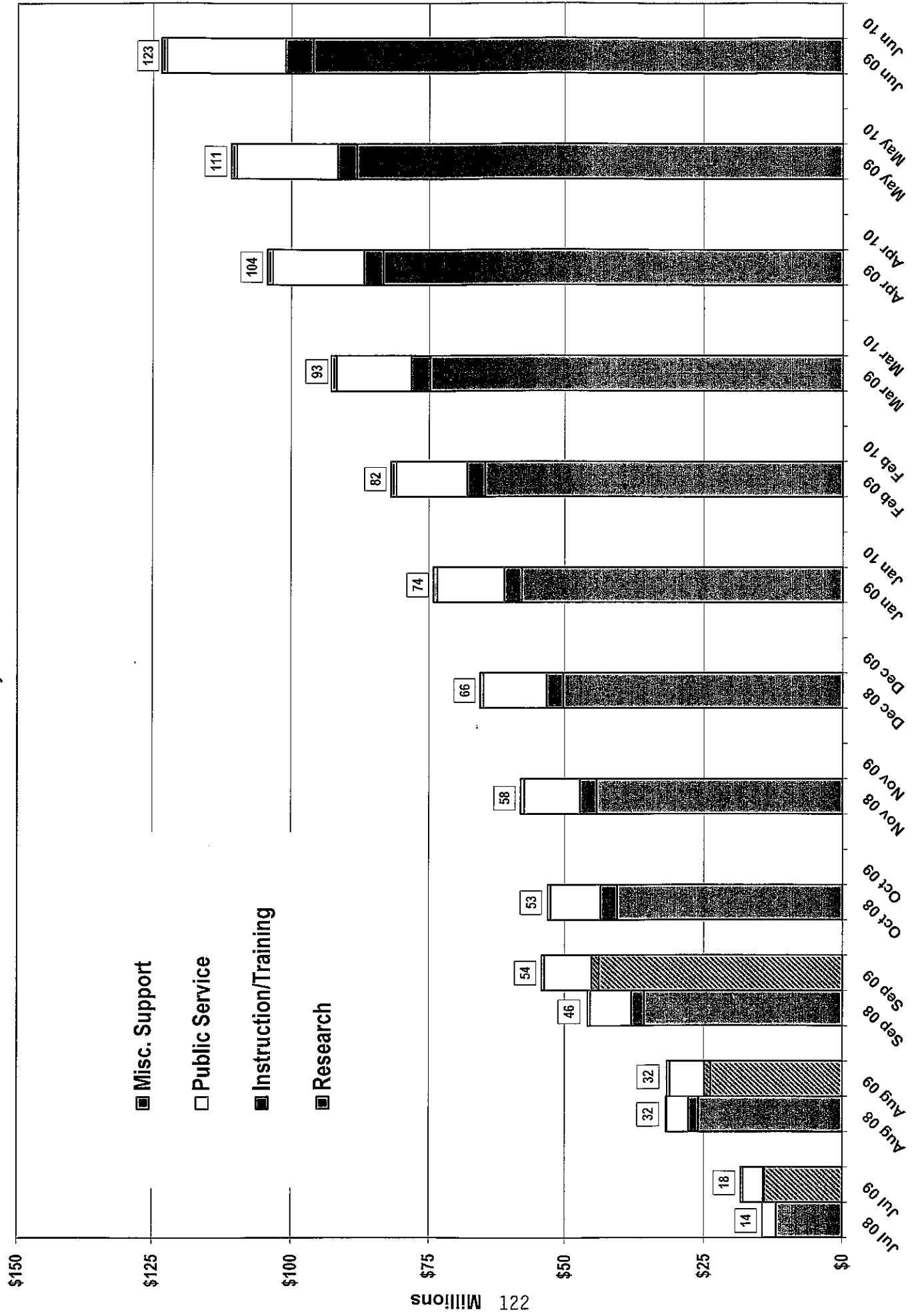
Agency Total 2,025,797.00

USU Total 333,025.00

Grand Total	2,358,822.00
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* Only awards from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Keith Paskett: Keith.Paskett@usurf.usu.edu.

Utah State University Sponsored Program Awards FY 2008, FY 2009



UTAH STATE UNIVERSITY
SPONSORED PROGRAMS OFFICE
PROPOSALS BY COLLEGE
FOR PERIOD: SEPTEMBER 2009

FY 2009/2010

COLLEGE	CURRENT MONTH						CUMULATIVE TOTALS					
	PROPOSALS FY 2008/2009	PROPOSALS FY 2009/2010	TOTAL \$ CHANGE	# 08/09	# 09/10	TOT CHG	PROPOSALS FY 2008/2009	PROPOSALS FY 2009/2010	TOTAL \$ CHANGE	# 08/09	# 09/10	TOT CHG
AGRICULTURE	\$ 899,693.89	\$ 3,446,882.51	\$ 2,547,188.62	11	15	4	\$ 4,695,576.08	\$ 7,538,865.67	\$ 2,843,289.59	38	46	8
BUSINESS	\$ -	\$ 10,000.00	\$ 10,000.00	-	1	1	\$ -	\$ 10,000.00	\$ 10,000.00	-	1	1
EDUCATION	\$ 5,552,476.59	\$ 5,606,363.28	\$ 53,886.69	29	19	(10)	\$ 17,189,548.08	\$ 22,344,946.42	\$ 5,155,398.34	62	63	1
ENGINEERING	\$ 5,865,171.04	\$ 16,123,169.79	\$ 10,257,998.75	32	36	4	\$ 14,979,246.79	\$ 32,645,086.35	\$ 17,665,839.56	106	102	(4)
HASS	\$ 243,956.00	\$ 92,306.00	\$ (151,650.00)	6	8	2	\$ 550,341.00	\$ 1,000,284.10	\$ 449,943.10	8	17	9
NAT. RESOURCES	\$ 922,234.22	\$ 1,306,286.14	\$ 384,051.92	14	21	7	\$ 3,668,008.56	\$ 7,166,496.12	\$ 3,498,487.56	39	73	34
SCIENCE	\$ 3,062,472.00	\$ 4,322,496.19	\$ 1,260,024.19	13	12	(1)	\$ 13,484,710.34	\$ 43,299,115.26	\$ 29,814,404.92	49	57	8
MISCELLANEOUS	\$ 162,667.82	\$ 6,530,591.67	\$ 6,367,923.85	10	16	6	\$ 3,736,796.82	\$ 20,134,131.80	\$ 16,397,334.98	37	40	3
USURF	\$ 1,563,218.43	\$ 17,631,291.81	\$ 16,068,073.38	9	38	29	\$ 11,703,441.43	\$ 54,439,045.81	\$ 42,735,604.38	31	69	38
GRAND TOTAL	\$ 18,271,889.99	\$ 55,069,387.39	\$ 36,797,497.40	124	166	42	\$ 70,007,669.10	\$ 188,577,971.53	\$ 118,570,302.43	370	468	98

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PERCENTAGE CHANGE :	DOLLARS	NUMBERS	TOTAL DOLLARS	TOTAL NUMBERS
	SEPTEMBER 2008 to 2009 201.39%	SEPTEMBER 2008 to 2009 33.87%	FY 08/09 to FY 09/10 169.37%	FY 08/09 to 09/10 26.49%

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or OT/FOT funds.

: The Miscellaneous line includes the Cooperative Extension, Information Technology, Learning Resources, Life Span Learning, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community Relations, VP for Administrative Affairs, VP for Research.

: Beginning July 2009 the USU Sponsored Programs Office migrated from the CAMS database to the new PARC database. This transition affects the reporting of proposals by removing, from the monthly reports, "Continuation" proposals containing funds that have been included in the "Original" proposal of a project. This change will eliminate duplicate reporting of proposed funding in subsequent years of that project. All funding requests not included in the "Original" proposal of a project will be classified as "Supplemental" proposals and will be included in the monthly reports.

Selected List of Proposals Greater than \$1,000,000 from 09-01-2009 to 09-30-2009

Filters Applied*: No Filter

Proposal # 1: Augmentation

Control Number	080080	Agency	1,157,137.00
Proposal ID	T00027051 Revision: 0	USU	0.00
Funding Agency	Southern Research Institute	Other	0.00
Department	ANIMAL, DAIRY & VETERINARY SCIENCES	Total	1,157,137.00
College	College of Agriculture		
Research Center	Ag Experiment Station		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	John D. MORREY;		
Co-PI(s)	Donald F. SMEE; Dale BARNARD; E. BART TARBET;		
Period of Performance	05-01-2008 -- 09-01-2010		
Proposal Date	09-02-2009		
Program Name	DISCOVERY FOR INFLUENZA		
Statement	DISCOVERY OF THERAPIES FOR SEASONAL INFLUENZA		

Proposal # 2: Revision

Control Number	100001	Agency	950,000.00
Proposal ID	T00026875 Revision: 1	USU	250,000.00
Funding Agency	Department of Health and Human Services	Other	0.00
Department	Field Staff - Northern	Total	1,200,000.00
College	Cooperative Extension		
Research Center	Cooperative Extension		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Steven E. DANIELS;		
Co-PI(s)	PAULA SCOTT; Ann Christine HOUSE; Dean MINER; Teresa C. HUNSAKER;		
Period of Performance	10-01-2009 -- 09-30-2011		
Proposal Date	09-01-2009		
Program Name	BUILDING CAPACITY TO SERVE NORTHERN UTAH'S POVERTY POPULATIONS		
Statement	THIS PROJECT BUILDS NONPROFIT ORGANIZATIONS' CAPACITY TO SERVE RESIDENTS IN CENSUS TRACTS WITH ABOVE AVERAGE POVERTY IN FIVE NORTHERN UTAH COUNTIES. THIS PROJECT WILL PRODUCE THREE MAJOR DELIVERABLES: A CURRICULUM OF CAPACITY BUILDING EDUCATIONAL PROGRAMS; AND ONLINE SERVICES REFERRAL AND PRE-APPLICATION; AND A TWO YEAR GRANT PROGRAM FOR NONPROFIT ORGANIZATIONS		

Proposal # 3: New

Control Number	100218	Agency	1,172,391.00
Proposal ID	T00027067 Revision: 0	USU	0.00
Funding Agency	National Science Foundation	Other	0.00
Department	BIOLOGY	Total	1,172,391.00
College	College of Science		
Research Center	Ag Experiment Station		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Anne J ANDERSON;		
Co-PI(s)	David Jay HOLE;		
Period of Performance	07-20-2010 -- 06-30-2013		
Proposal Date	09-11-2009		
Program Name	BREAD - MANAGEMENT		
Statement	THE PROPOSED WORK WILL INVESTIGATE WHETHER THE ADDITION OF MICROBIAL INOCULUM TO CROPS WILL IMPROVE RESILIENCE TO PESTS, DROUGHT AND SALINITY. CROPS INCLUDE WHEAT, TOMATO AND PEPPER AND COUNTRIES INVOLVED ARE KOREA, VIETNAM AND ETHIOPIA.		

Proposal # 4: New

Control Number	100234	Agency	7,000,000.00
Proposal ID	T00027084 Revision: 0	USU	2,300,000.00
Funding Agency	National Energy Technology Laboratory	Other	0.00
Department	BIOLOGICAL & IRRIGATION ENGINEERING	Total	9,300,000.00
College	College of Engineering		
Research Center	Engineering Experiment Station		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Ronald C SIMS;		
Co-PI(s)	Byard D WOOD; JEFF MUHS; Lance C SEEFELDT;		
Period of Performance	01-01-2010 -- 12-31-2012		
Proposal Date	09-28-2009		
Program Name	National Sustainable Bio-Algae Consortia		
Statement	IN THIS PROJECT, USU WILL SUPPORT THE NSBC (LED BY THE NATIONAL ENERGY TECHNOLOGY LABORATORY) BY LEADING CROSSCUTTING RESEARCH AND DEVELOPMENT IN SELECTED AREAS OF MUTUAL INTEREST AND BY HOSTING AN INTER-MOUNTAIN ALGAE BIOFUELS TEST BED IN UTAH.		

Proposal # 5: New

Control Number	100245	Agency	4,664,868.00
Proposal ID	T00027105 Revision: 0	USU	0.00
Funding Agency	National Institutes of Health (NIH)	Other	0.00
Department	VP-Strategic Ventur & Econ Dev	Total	4,664,868.00
College	Strategic Ventures & Economic Devel		
Research Center	Utah Science Technolgy and Research		
Research Function	Operation and Maintenance of Plant		
Principal Investigator(s)	NED WEINSHENKER;		
Co-PI(s)			
Period of Performance	07-01-2010 -- 06-30-2011		
Proposal Date	09-17-2009		
Program Name	ARRA: ENHANCEMENT OF VIVARIUM		
Statement	WE ARE SEEKING FUNDING ASSISTANCE TO PURCHASE EQUIPMENT FOR THE NEW USTAR LIFE SCIENCES BUILDING ON THE INNOVATION CAMPUS. THIS PROPOSAL CONTAINS EXPENSES TO PURCHASE EQUIPMENT FOR THE BUILDING'S VIVARIUM AND ABSL-3+ LAB SUITE IN ORDER TO MAKE THE FACILITY FULLY FUNCTIONAL UPON COMPLETION.		

Proposal # 6: New

Control Number	100290	Agency	1,177,049.00
Proposal ID	T00027158 Revision: 0	USU	0.00
Funding Agency	Marquette University	Other	0.00
Department	CHEMISTRY & BIOCHEMISTRY	Total	1,177,049.00
College	College of Science		
Research Center	University Research and Training		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Sean JOHNSON;		
Co-PI(s)			
Period of Performance	07-01-2010 -- 06-30-2015		
Proposal Date	09-28-2009		
Program Name	TRAMP		
Statement	THIS PROPOSAL AIMS TO DELINEATE THE MOLECULAR MECHANISMS EMPLOYED BY THE TRAMP COMPLEX TO REGULATE RNA SURVEILLANCE IN EUKARYOTIC CELLS. THE PROJECT EMPLOYS A COLLABORATIVE APPROACH TO ANALYZE THE GENETIC, MECHANISTIC AND STRUCTURAL ASPECTS OF TRAMP FUNCTION. THE STRUCTURAL STUDIES WILL BE PERFORMED AT USU IN THE JOHNSON LAB.		

Proposal # 7: New

Control Number	100302	Agency	2,060,167.00
Proposal ID	T00027171 Revision: 0	USU	0.00
Funding Agency	State of New Mexico Department of Education	Other	0.00
Department	CENTER FOR PERSONS WITH DISABILITIE	Total	2,060,167.00
College	College Of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Linda D GOETZE;		
Co-PI(s)			
Period of Performance	09-01-2010 – 08-31-2015		
Proposal Date	09-29-2009		
Program Name	Cost-Effective Analysis of the New Mexico K-3 Plus Program		
Statement	THIS STUDY WILL USE A REGRESSION DISCONTINUITY DESIGN TO EVALUATE THE EFFECTS OF AN EXTENDED SCHOOL YEAR PROGRAM IMPLEMENTED IN NEW MEXICO, ENTITLED "K-3 PLUS" ON STUDENT ACADEMIC ACHIEVEMENT. THE DESIGN WILL COMPARE SCHOOLS THAT PARTICIPATE IN THE K-3 PLUS PROGRAM, WHICH HAVE 85% OR MORE STUDENTS ELIGIBLE FOR FREE REDUCED LUNCH PROGRAM APPLICATION TO A COMPARISON GROUP OF NON-K-3 PLUS SCHOOLS WHO FALL UNDER THE FREE-REDUCED LUNCH CUT-OFF YET ARE SIMILAR ON OTHER CHARACTERISTICS.		

Agency Total	18,181,612.00
USU Total	2,550,000.00
Other Total	0.00
Grand Total	20,731,612.00

* Only proposals from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Keith Paskett: Ketih.Paskett@usurf.usu.edu.

Action Agenda

**ACTION AGENDA
NOVEMBER 20, 2010**

	<u>Page</u>
1. Proposal from the College of Engineering at Utah State University, in Partnership with USU's Regional Campuses and Distance Education (RCDE) System, to offer an Associate of Pre-Engineering (APE) Degree through the Regional Campuses Effective Spring, 2010	1
2. Follow-up Report from the Department of Chemistry and Biochemistry in the College of Science at Utah State University for the Bachelor of Science Degree Program, approved as a new degree in 2005	15
3. Follow-up Report from the Department of Environment and Society in the College of Natural Resources at Utah State University for the M.S. and Ph.D. Degrees in Human Dimensions of Ecosystem Science and Management (HDESM), approved as new degrees in 2002	19

ITEM FOR ACTION

The College of Engineering at Utah State University (USU), in partnership with USU's Regional Campuses and Distance Education (RCDE) system, requests approval to offer an Associate of Pre-Engineering (APE) degree through the regional campuses effective Spring, 2010.

EXECUTIVE SUMMARY

The proposed Associate of Pre-Engineering (APE) degree will be administered by USU's College of Engineering and will be offered at USU's three regional campuses via traditional face-to-face instruction and laboratory experiences as well as interactive broadcast (IVC) delivery. The APE degree will provide students with a well-defined, professionally-oriented curriculum that differs from the broad goals of the Associate of Science in General Studies, under which students may now graduate. The specialized APE degree will be aimed at two groups—those working in industry (for whom a specialized degree is a condition of employment or advancement), and those aiming to transition to an engineering bachelor's degree at USU or other USHE institutions. As outlined in the full request, the proposed APE degree will satisfy the first two years of coursework toward one of five Bachelor of Science engineering degrees accredited at USU: Mechanical Engineering (77 credits), Civil Engineering (72 credits), Environmental Engineering (72 credits), Electrical Engineering (66 credits), and Computer Engineering (66 credits).

This proposal was prepared by the College of Engineering, in collaboration with Regional Campuses and Distance Education, and has been approved by the Dean of the College of Engineering, the Educational Policies Committee, and the Utah State University Faculty Senate.

RECOMMENDATION

Based on the above proposal and approvals as indicated, the President and Provost recommend that the Board of Trustees approve the proposal from the College of Engineering to offer an Associate of Pre-Engineering degree.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The College of Engineering at Utah State University (USU) requests approval to offer the Associate of Pre-Engineering degree, and

WHEREAS, The proposed degree will help to address the educational needs of those working in industry, and those aiming to transition to an engineering bachelor's degree, and

WHEREAS, There continues to be a demand for engineers in many fields essential to Utah's economy as well as the economy of the nation, and

WHEREAS, The proposed degree will provide an educational opportunity delivered regionally and in the evening for fully employed adult learners, and

WHEREAS, The proposal has been approved by the Dean of the College of Engineering, the Educational Policies Committee and the USU Faculty Senate, and

WHEREAS, The proposal has been approved by the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby approve the proposal from the College of Engineering to offer an Associate of Pre-Engineering degree, and that this proposal be forwarded to the Utah State Board of Regents of the Utah State System of Higher Education as an action item.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

Section I: The Request

Utah State University's (USU) College of Engineering, in partnership with USU's Regional Campuses and Distance Education (RCDE) system, requests approval to offer an Associate of Pre-Engineering (APE) degree through the regional campuses effective Spring, 2010. Pursuant to Regents Policy R312, the Land-Grant status of Utah State University permits the institution to "offer associate's degrees and fulfill a community college role in areas of need ... through its extension services" (R312.3.4).

Section II: Program Description

Complete Program Description

The proposed Associate of Pre-Engineering (APE) degree will be administered by USU's College of Engineering and will be offered at USU's three regional campuses via traditional face-to-face instruction and laboratory experiences as well as interactive broadcast (IVC) delivery. The APE degree will provide students with a well-defined, professionally-oriented curriculum that differs from the broad goals of the Associate of Science in General Studies, under which students may now graduate. The specialized APE degree will be aimed at two groups---those working in industry (for whom a specialized degree is a condition of employment or advancement), and those aiming to transition to an engineering bachelor's degree at USU or other USHE institutions. As outlined in this request, the proposed APE degree will satisfy the first two years of coursework toward one of five engineering BS degrees accredited at USU: Mechanical Engineering (77 credits), Civil Engineering (72 credits), Environmental Engineering (72 credits), Electrical Engineering (66 credits), and Computer Engineering (66 credits).

Purpose of the Degree

As an alternative to the presently approved Associate of Science in General Studies, the proposed APE degree will provide educational access to two groups of non-traditional students (see paragraph above). The APE degree would become available immediately at USU Brigham City and USU Uintah Basin, and would be made available at USU Tooele in Fall, 2010. Each of these communities has needs for skilled workers in its engineering-related industries, and each has an expanding USU regional campus with robust enrollment growth. Offering the APE degree at USU's regional campuses will enable students in the above-mentioned communities to have the same intermediate degree recognition as is currently available to students at Snow College, the College of Eastern Utah, and four other USHE institutions. The prestige attached to a specialized degree not only motivates students, but also serves the needs of engineering-related industries. Moreover, as noted above, the APE degree will enable students to transition seamlessly to one of five engineering bachelor's degrees at USU or elsewhere. Thus, the proposed APE degree for USU's regional campuses is integral to the institution's land-grant mission.

Institutional Readiness

Oversight of the proposed APE degree, including student advising, will be provided by the Associate Dean for Academic Affairs in the USU College of Engineering, and all course curricula will be coordinated with the respective Logan campus academic units.

USU's readiness to offer the proposed APE degree was enhanced in 2007 with the passage of HB 185 by the Utah legislature. This legislation enabled USU not only to expand its degree offerings at regional campuses and education centers but also to develop partnerships with Snow College and the College of Eastern Utah. With respect to engineering, HB 185 funds provided support for three new PhD lecturers at regional campus locations to teach pre-engineering courses. Also, new labs were built at USU Brigham City and USU Uintah Basin, and such labs are now under construction at USU Tooele. Pre-engineering faculty will expand to four with an additional hire at USU Tooele during the 2009-2010 academic year.

Recent history provides further evidence of USU's institutional readiness to offer this degree. In the fall of 2007, under the broad authority of the Associate of Science in General Studies, USU Brigham City began offering pre-engineering courses to small numbers of students. Two years later, 50 part-time students are enrolled in a pre-engineering curriculum, with an additional 30 expressing interest. Most are non-traditional adult learners who are full-time employees at local industries such as ATK. Their primary motivation for pursuing an engineering degree is upward mobility in their existing employment environment. Nine of these students are on track to graduate in spring semester, 2010.

Further evidence of readiness is seen in USU's infrastructure investments. At USU Uintah Basin, the UBATC/USU building was completed during summer, 2009, and the new Bingham Entrepreneurship and Energy Research Center will open in 2010. Also, a \$1.5 million gift from the Anadarko Petroleum Corporation will support the region's pre-engineering program. High school and adult learners are now being recruited to the pre-engineering program at USU Uintah Basin. Similarly, at USU Tooele, major investments are now being made in laboratory facilities that will host pre-engineering students. The addition of PhD-level faculty in electrical engineering at USU Tooele will complete USU's faculty requirements for pre-engineering programs at the regional campuses.

As noted earlier, students at USU's regional campuses now take their pre-engineering coursework under the existing Associate of Science in General Studies. The requested APE degree will enable these students to graduate with a degree that not only reflects their specialized coursework, but also serves their employment needs more effectively. Finally, the APE degree will directly parallel the degrees now offered by Snow College and the College of Eastern Utah—institutions with which USU has articulation agreements and partnerships—and four other USHE institutions.

Faculty

Presently, three PhD engineering faculty members have been hired: one for USU Uintah Basin Campus and two for USU Brigham City. A fourth faculty member will be hired during the 2009-2010 academic year for the emerging USU Tooele program. Although physically located at regional campus sites, all faculty are senior lecturers (non tenure-track) in the Department of

Engineering and Technology Education on the Logan Campus. As the regional campuses pool their faculty resources via Interactive Broadcast technology, students receive the instructional benefits of a broad range of engineering specializations. This "team approach" to instruction enables students to make informed choices about their career paths as they transition to a USU engineering bachelor's degree in Logan.

Staff

Each of the regional campuses has clerical and advising services sufficient to facilitate the proposed APE degree program over the next five years. As noted earlier, oversight of the APE degree, including student advising, will be provided by the Associate Dean for Academic Affairs in the USU College of Engineering

Library and Information Resources

The needed library and IT resources are presently in place. Library resources are available either at the USU Logan library or on-line through USU library services. A number of online courses are already taught from the USU campus via the IT networks, and online courses for four core engineering courses were made available in Fall, 2009.

Admission Requirements

The admission requirements for the proposed APE degree at USU regional campuses will be the same as those that now exist for the College of Engineering on the USU Logan campus. Standards for GPA and repeated courses are the same at both regional campuses and the USU Logan Campus.

Student Advisement

Advisement for engineering students at USU Logan and for RCDE pre-engineering students is coordinated by the Associate Dean for Academic Affairs in the College of Engineering at USU Logan. To ensure consistency, advisors at the regional campuses receive basic training on pre-engineering requirements and are then linked directly to three Logan campus advisors in the College of Engineering. An additional advisor will be hired if RCDE enrollments in pre-engineering courses continue to grow. A description of Engineering Advising at USU can be found at:

<http://www.engineering.usu.edu/html/information/advising-office> .

Justification for Graduation Standards and Number of Credits

Graduation standards for the proposed APE degree at USU's regional campuses will directly parallel those required for acceptance into the professional Bachelor of Science engineering programs on the Logan campus. These standards are outlined in the USU General Catalog and can be viewed directly at: <http://www.usu.edu/majorsheets/>.

External Review and Accreditation

All USU engineering programs received accreditation in 2008 from the Accrediting Board for Engineering and Technology (ABET). Each program must show that transfer credits meet the program's objectives and evaluation standards. Although the courses at USU's regional campuses

were not included in the 2008 accreditation process, these courses will be included in the future if the proposed APE degree is approved, and therefore shows on a student's transcript. Course content, texts, evaluation standards, and supporting materials for pre-engineering courses at USU's regional campuses will directly parallel Logan campus coursework and will be coordinated by on-campus advisors. In 2008 it was determined that no out-of-state evaluation was needed.

Projected Enrollment

Year	Student Headcount	# of Faculty	Student-to-Faculty Ratio	Accreditation Req'd Ratio
1	50	3	25	N/A
2	70	4	22	N/A
3	85	4	21	N/A
4	95	5	20	N/A
5	110	6	19	N/A

Section III: Need

Program Need

USU's regional campus system plays an important role of providing educational access to non-traditional students. The proposed APE degree expands the engineering career option to two groups of non-traditional students in Uintah Basin, Brigham City, and Tooele: (1) Those with a strong interests in engineering, but of limited financial means for a 4-5 year stay at USU Logan; and (2) a growing number of mid-career individuals employed in industry who see potential upward mobility in an engineering degree. The latter group requires an educational opportunity delivered regionally and in the evening because they are fully employed adult learners.

Additionally, the proposed APE degree is clearly aligned with Utah's commitments to engineering (specifically, the Utah Legislature's Engineering Initiative as well as the Governor's Initiative). Many observers agree that the strength of Utah's economy---and Utah's key role in providing strong national security---requires increased production of engineering graduates.

Labor Market Demand

Some students will terminate at the APE level because this degree satisfies their immediate employment requirements. Others will use the proposed APE degree as a point of departure for future specialization in engineering (a Bachelor of Science degree) at USU Logan or elsewhere. Currently, USU Logan offers five engineering tracks at the baccalaureate level: There continues to be a demand for engineering in many fields essential to Utah's economy as well as the economy of the nation.

Student Demand

The demand for bachelor's degrees in engineering on the USU Logan campus continues to be strong, owing to its excellent reputation. We anticipate that many students with the APE degree will eventually transition to bachelor's degree work in Logan, where the student-to-faculty ration is 13.8 to 1 and where 96% of students pass the National Fundamental of Engineering on their first try

(compared to a 55% national average). As noted earlier, 50 students are currently enrolled in the engineering track offered under the Associate of Science in General Studies at USU Brigham City, with another 30 students expressing interest. Approval of the proposed APE degree will increase enrollments for reasons discussed earlier under "Purpose of the Degree."

Similar Programs

The Associate of Pre-Engineering degree is offered at Snow College (62 credits), College of Eastern Utah (68 credits), Dixie State College (74 credits), Southern Utah University (64 credits), Utah Valley University (68 credits), and Salt Lake Community College (68 credits). Completers of the APE degree can transfer to bachelor's degree programs at the University of Utah, Southern Utah University and Utah State University. Students enrolled at USU's three regional campuses are not served by any of these USHE institutions. Thus, USU's proposed APE degree at regional campuses does not compete with degrees offered by other schools in the Utah System of Higher Education.

Collaboration with and Impact on Other USHE Institution

The proposed degree will serve populations within the service regions of the USU regional campuses and it is not anticipated that this degree offering will negatively impact any other USHE institutions.

Benefits

Communities served by USU's regional campuses---Brigham City, the Uintah Basin, and Tooele---have ongoing needs for skilled workers in their engineering-related and defense-related industries. APE degree recognition will serve the needs of fully employed adult learners in engineering-related industries. In addition, offering the APE degree at USU's regional campuses will enable students in the above-mentioned communities to have the same intermediate degree recognition as is currently available to students at six other USHE institutions. Finally, the APE degree will enable students to transition seamlessly to one of five engineering bachelor's degrees at USU or elsewhere.

Consistency with Institutional Mission

The proposed APE degree for USU's regional campuses is integral to USU's land-grant mission of outreach, especially to underserved and non-traditional students. Engineering is a core educational enterprise for USU. Compelling evidence for this assertion is found in the fact that USU students have launched more experiments on the space shuttle and in-rocket flights than any other university in the nation. Offering the APE degree at USU's regional campuses will strengthen this mission.

Section IV: Program and Student Assessment

Program Assessment

The assessment plans for all of the engineering degrees at Utah State are presented in the accreditation documents for the various degrees. These have been accepted by ABET. USU's assessment plans for the proposed APE degree are the same as for the BS degrees.

Expected Outcomes of Performance

The standards of academic performance for students at USU's regional campuses parallel those currently implemented on the USU Logan campus. During Fall semester, 2009, a first cadre of five pre-engineering students from USU Brigham City transitioned to the USU Logan campus with their Associate of Science in General Studies degrees in hand. These students will be monitored carefully to determine their success in various bachelor's degree engineering programs at USU Logan.

Section V: Finance

Financial Analysis Form					
	Year 1	Year 2	Year 3	Year 4	Year 5
Students					
Projected FTE Enrollment	40	56	68	76	88
Cost Per FTE	\$11,700	\$8,241	\$7,727	\$8,108	\$7965
Student/Faculty Ratio	25	22	21	20	19
Projected Headcount	50	70	85	95	110
Projected Tuition					
Gross Tuition	\$383,000	536,200	651,100	727,700	842,600
Tuition to Program	\$200,000	400,00	425,000	490,000	545,000
5 Year Budget Projection					
	Year 1	Year 2	Year 3	Year 4	Year 5
Expense					
Salaries & Wages	\$160,000	\$210,000	\$210,000	\$275,000	\$330,000
Benefits	\$72,000	\$94,500	\$94,500	\$118,250	\$141,930
Total Personnel	\$50,000	\$52,000	\$75,000	\$75,000	100,000
Current Expense	\$40,000	\$40,000	\$60,000	\$60,000	\$60,000
Travel	\$5,000	\$5,000	\$6,000	\$8,000	\$9,000
Capital	\$50,000	\$60,000	\$50,000	\$60,000	\$40,000
Library Expense	\$0	\$0	\$0	\$0	\$0
Total Expense	\$468,000	\$461,500	\$525,500	\$616,250	\$700,930
Revenue					
Legislative Appropriation	\$232,000	\$232,000	\$304,500	\$304,500	\$304,500
Grants & Contracts	0	0	0	0	0
Donations	0	\$1000	\$1000	\$1000	\$1000
Reallocation	0	0	0	0	0

Tuition to Program	\$383,000	\$536,200	\$651,100	\$727,700	\$842,600
Fees	60,700	\$84,980	\$103,190	\$115,330	113,540
Total Revenue	\$847,000	\$854,180	\$1,149,790	\$1,148,530	\$1,261,640
Difference					
Revenue-Expense	\$375,000	\$372,680	\$624,290	\$533,540	\$560,710

Budget Comments

Tuition and fees are based on 2008-2009 figures. Current Expenses are for staff and other personnel. Capital expense is based on startup equipment and replacement in three years.

Funding Sources

The Engineering program at USU's regional campuses was funded under HB 185 in 2007. By the third year of the program, new faculty positions will be funded from tuition generated by the proposed APE degree program.

Reallocation

No reallocation is necessary.

Impact on Existing Budgets

This request does not affect other programs.

Appendix A: Program Curriculum

All Program Courses

Fall Semester		
Degree	Course	
ALL	CHEM 1210	Principles of Chemistry I
ALL	CHEM 1215	Principles of Chemistry Lab I
ECE	CS 1400	Introduction of Computer Science - CS 1
CEE	CS 1400	Introduction of Computer Science - CS 1
ECE	ECE 2700	Digital Circuits
ALL	ENGR 1000	Introduction to Engineering Design
ALL	ENGR 2010	Engineering Mechanics Statics
MAPE	MAPE 2300	Thermodynamics I
ALL	MATH 1210	Calculus I
ALL	MATH 2210	Multivariable Calculus
ALL	PHYS 2220	General Physics - Science & Engineering II
Spring Semester		
Degree	Course	
ECE	CS 1410	Introduction of Computer Science - CS 2
ECE	CS 2420	Algorithms & Data Structures - CS 3
ALL	ECE 2250	Electrical Circuits
ALL	ENGR 2030	Engineering Mechanics Dynamics
CEE	ENGR 2140	Strength of Materials
MAPE & Civil	ETE 2210	Electrical Engineering for Nonmajors
ALL	MAPE 1200	Engineering Graphics
ALL	MATH 1220	Calculus II
ALL	MATH 2250	Linear Algebra & Differential Equations
ALL	PHYS 2210	General Physics - Science & Engineering I
Summer Semester		
Degree	Course	
CEE	CEE 2240	Engineering Surveying
Every Semester		
Degree	Course	
CEE	BIOL 1010	Biology and the Citizen
ALL	ENGL 1010	Introduction to Writing
ALL	ENGL 2010	Intermediate Writing
CEE	GEOG 1000	Physical Geography
ALL	MATH 1050	College Algebra
ALL	MATH 1060	Trigonometry
ALL	GEN EDS	

New Courses to be Added in the Next Five Years

No new courses are anticipated during the next five years. If new lower division courses are added to the first two years of the USU bachelor's degree programs, these courses will be integrated into the APE degree requirements.

Appendix B: Program Schedule

Civil Engineering Undergraduate Program			
Course	Title	CR	Gen Ed Requirement Fulfillment
* CIL EXAM		0	CIL
MATH 1050	College Algebra	4	QL
MATH 1060	Trigonometry	2	
ENGL 1010	Introduction to Writing	3	CL1
* ENGL 2010	Intermediate Writing	3	CL2
* GEOG 1000	Physical Geography	3	BPS
BIOL 1010	Biology and the Citizen	3	BLS
	Breadth American Institutions	3	BAI
	Breadth Creative Arts	3	BCA
	Breadth Humanities	3	BHU
	Breadth Social Science	3	BSS
* CEE 2240	Engineering Surveying	3	
* CHEM 1210	Principles of Chemistry I	4	
* CHEM 1215	Principles of Chemistry Lab I	1	
* ENGR 1000	Introduction to Engineering Design	2	
* MATH 1210	Calculus I	4	QL
* ETE 2270	Computer Engineering Drafting	2	
* MATH 1220	Calculus II	4	QL
* PHYS 2210	General Physics - Science & Engineering I	4	QL
* ENGR 2010	Engineering Mechanics Statics	2	
* MATH 2210	Multivariable Calculus	3	QL
* PHYS 2220	General Physics - Science & Engineering II	4	BPS/QL
* ENGR 2030	Engineering Mechanics Dynamics	3	
* ENGR 2140	Strength of Materials	2	
* MATH 2250	Linear Algebra & Differential Equations	4	QL
	Associate of Pre-Engineering	72	
* ENGR 2450	Engineering Numerical Methods	2	

Environmental Engineering Undergraduate Program			
Course	Title	CR	Gen Ed Requirement Fulfillment
* CIL EXAM		0	
MATH 1050	College Algebra	4	QL
MATH 1060	Trigonometry	2	
ENGL 1010	Intro to Writing	3	CL1
* ENGL 2010	Intermediate Writing	3	CL2
* GEOG 1000	Physical Geography	3	BPS
BIOL 1010	Biology and the Citizen	3	BLS
	Breadth American Institutions	3	BAI
	Breadth Creative Arts	3	BCA
	Breadth Humanities	3	BHU
	Breadth Social Science	3	BSS
* CEE 2240	Engineering Surveying	3	
* CHEM 1210	Principles of Chemistry I	4	
* CHEM 1215	Principles of Chemistry Lab I	1	
* ENGR 1000	Introduction to Engineering Design	2	
* MATH 1210	Calculus I	4	QL
* ETE 2270	Computer Engineering Drafting	2	
* MATH 1220	Calculus II	4	QL
* PHYS 2210	Elements of Mechanics	4	QL
* ENGR 2010	Engineering Mechanics Statics	2	
* PHYS 2220	General Physics - Science & Engineering II	4	BPS/QL
* MAE 2300	Thermodynamics I	3	
* ENGR 2030	Engineering Mechanics Dynamics	3	
* ENGR 2140	Strength of Materials	2	
* MATH 2250	Linear Algebra & Differential Equations	4	QL
	Associate of Pre-Engineering	72	
* ENGR 2450	Engineering Numerical Methods	2	

* Required for admission to Professional Engineering Program (PEP) with C- or better, 2.3 overall GPA and no more than 3 repeats

Items listed in red are available in Brigham City.

Credits listed in blue are not listed on the Environmental Engineering Suggested Schedule and are not a part of the total # of credits needed for Bachelors of Science.

Electrical Engineering Undergraduate Program			
Course	Title	CR	Gen Ed Requirement Fulfillment
*	CIL EXAM	0	
	Breadth American Institutions	3	BAI
	Breadth Creative Arts	3	BCA
	Breadth Humanities	3	BHU
	Breadth Life Science	3	BLS
	Breadth Social Science	3	BSS
	MATH 1050 College Algebra	4	QL
	MATH 1060 Trigonometry	2	
	ENGL 1010 Introduction to Writing	3	CL1
*	ENGL 2010 Intermediate Writing	3	CL2
*	CS 1400 Introduction of Computer Science - CS 1	3	
*	ENGR 1000 Introduction to Engineering Design	2	
*	MATH 1210 Calculus I	4	QL
*	CS 1410 Introduction of Computer Science - CS 2	3	
*	MATH 1220 Calculus II	4	QL
*	PHYS 2210 General Physics - Science & Engineering I	4	QL
*	ECE 2700 Digital Circuits	4	
*	MATH 2210 Multivariable Calculus	3	QL
*	PHYS 2220 General Physics - Science & Engineering II	4	BPS/QL
*	ECE 2250 Electrical Circuits	4	
*	MATH 2250 Linear Algebra & Differential Equations	4	QL
	Associate of Pre-Engineering	66	

Computer Engineering Undergraduate Program			
Course	Title	CR	Gen Ed Requirement Fulfillment
*	CIL EXAM	0	
	Breadth American Institutions	3	BAI
	Breadth Creative Arts	3	BCA
	Breadth Humanities	3	BHU
	Breadth Life Science	3	BLS
	Breadth Social Science	3	BSS
	MATH 1050 College Algebra	4	QL
	MATH 1060 Trigonometry	2	
	ENGL 1010 Introduction to Writing	3	CL1
*	ENGL 2010 Intermediate Writing	3	CL2
*	CS 1400 Introduction of Computer Science - CS 1	3	
*	ENGR 1000 Introduction to Engineering Design	2	
*	MATH 1210 Calculus I	4	QL
*	CS 1410 Introduction of Computer Science - CS 2	3	
*	MATH 1220 Calculus II	4	QL
*	PHYS 2210 General Physics - Science & Engineering I	4	QL
*	ECE 2700 Digital Circuits	4	
*	PHYS 2220 General Physics - Science & Engineering II	4	BPS/QL
*	CS 2420 Algorithms & Data Structures - CS 3	3	
*	ECE 2250 Electrical Circuits	4	
*	MATH 2250 Linear Algebra & Differential Equations	4	QL
	Associate of Pre-Engineering	66	

* Required for admission to Professional Engineering Program (PEP) with C- or better, 2.8 overall GPA and no more than 3 repeats
 Items listed in red are available in Brigham City.

Credits listed in blue are not listed on the Computer Engineering Suggested Schedule and are not a part of the total # of credits needed for Bachelors of Science.

Mechanical Engineering, Aerospace Option				
Course	Title	CR	Gen Ed Requirement Fulfillment	
* CIL EXAM		0		
	Breadth American Institutions	3	BAI	
	Breadth Creative Arts	3	BCA	
	Breadth Humanities	3	BHU	
	Breadth Life Science	3	BLS	
	Breadth Social Science	3	BSS	
MATH 1050	College Algebra	4	QL	
MATH 1060	Trigonometry	2		
ENGL 1010	Introduction to Writing	3	CL1	
* ENGL 2010	Intermediate Writing	3	CL2	
* MATH 1210	Calculus I	4	QL	
* CHEM 1210	Principles of Chemistry I	4		
* CHEM 1215	Principles of Chemistry Lab I	1		
* MATH 1220	Calculus II	4	QL	
* MAE 1200	Engineering Graphics	2		
* MAE 2650	Manufacturing Processes	3		
* PHYS 2210	General Physics - Science & Engineering I	4	QI	
* MATH 2210	Multivariable Calculus	3	QI	
* ENGR 2010	Engineering Mechanics Statics	2		
* MAE 2300	Thermodynamics I	3		
* PHYS 2220	General Physics - Science & Engineering II	4	BPS/QI	
* MATH 2250	Linear Algebra & Differential Equations	4	QI	
* ENGR 2030	Engineering Mechanics Dynamics	3		
* ENGR 2140	Strength of Materials	2		
* ETE 2210	Electrical Engineering for Nonmajors	4		
* MAE 2160	Material Science	3		
Associate of Pre-Engineering		77		

Mechanical Engineering, Manufacturing Option				
Course	Title	CR	Gen Ed Requirement Fulfillment	
* CIL EXAM		0		
	Breadth American Institutions	3	BAI	
	Breadth Creative Arts	3	BCA	
	Breadth Humanities	3	BHU	
	Breadth Life Science	3	BLS	
	Breadth Social Science	3	BSS	
MATH 1050	College Algebra	4	QL	
MATH 1060	Trigonometry	2		
ENGL 1010	Introduction to Writing	3	CL1	
* ENGL 2010	Intermediate Writing	3	CL2	
* CHEM 1210	Principles of Chemistry I	4		
* CHEM 1215	Principles of Chemistry Lab I	1		
* MATH 1210	Calculus I	4	QL	
* MAE 1200	Engineering Graphics	2		
* MAE 2650	Manufacturing Processes	3		
* MATH 1220	Calculus II	4	QL	
* ENGR 2010	Engineering Mechanics Statics	2		
* MATH 2210	Multivariable Calculus	3	QI	
* PHYS 2210	General Physics - Science & Engineering I	4	QI	
* ENGR 2030	Engineering Mechanics Dynamics	3		
* ENGR 2140	Strength of Materials	2		
* ETE 2210	Electrical Engineering for Nonmajors	4		
* MATH 2250	Linear Algebra & Differential Equations	4	QI	
* MAE 2160	Material Science	3		
* MAE 2300	Thermodynamics I	3		
* PHYS 2220	General Physics - Science & Engineering II	4	BPS/QI	
Associate of Pre-Engineering		77		

Mechanical Engineering, Computational Option				
Course	Title	CR	Gen Ed Requirement Fulfillment	
* CIL EXAM		0		
	Breadth American Institutions	3	BAI	
	Breadth Creative Arts	3	BCA	
	Breadth Humanities	3	BHU	
	Breadth Life Science	3	BLS	
	Breadth Social Science	3	BSS	
MATH 1050	College Algebra	4	QL	
MATH 1060	Trigonometry	2		
ENGL 1010	Introduction to Writing	3	CL1	
* ENGL 2010	Intermediate Writing	3	CL2	
* CHEM 1210	Principles of Chemistry I	4		
* CHEM 1215	Principles of Chemistry Lab I	1		
* MATH 1210	Calculus I	4	QL	
* MAE 1200	Engineering Graphics	2		
* MAE 2650	Manufacturing Processes	3		
* MATH 1220	Calculus II	4	QL	
* ENGR 2010	Engineering Mechanics Statics	2		
* MATH 2210	Multivariable Calculus	3	QI	
* PHYS 2210	General Physics - Science & Engineering I	4	QI	
* ENGR 2030	Engineering Mechanics Dynamics	3		
* ENGR 2140	Strength of Materials	2		
* ETE 2210	Electrical Engineering for Nonmajors	4		
* MATH 2250	Linear Algebra & Differential Equations	4	QI	
* MAE 2160	Material Science	3		
* MAE 2300	Thermodynamics I	3		
* PHYS 2220	General Physics - Science & Engineering II	4	BPS/QI	
Associate of Pre-Engineering		77		

Mechanical Engineering Undergraduate Program				
Course	Title	CR	Gen Ed Requirement Fulfillment	
* CIL EXAM		0	CIL	
	Breadth American Institutions	3	BAI	
	Breadth Creative Arts	3	BCA	
	Breadth Humanities	3	BHU	
	Breadth Life Science	3	BLS	
	Breadth Social Science	3	BSS	
MATH 1050	College Algebra	4	QL	
MATH 1060	Trigonometry	2		
ENGL 1010	Introduction to Writing	3	CL1	
* ENGL 2010	Intermediate Writing	3	CL2	
* CHEM 1210	Principles of Chemistry I	4		
* CHEM 1215	Principles of Chemistry Lab I	1		
* MATH 1210	Calculus I	4	QL	
* MAE 1200	Engineering Graphics	2		
* MAE 2650	Manufacturing Processes	3		
* MATH 1220	Calculus II	4	QL	
* PHYS 2210	General Physics - Science & Engineering I	4	QI	
* ENGR 2010	Engineering Mechanics Statics	2		
* MAE 2300	Thermodynamics I	3		
* MATH 2210	Multivariable Calculus	3	QI	
* PHYS 2220	General Physics - Science & Engineering II	4	BPS/QI	
* MATH 2250	Linear Algebra & Differential Equations	4	QI	
* ENGR 2030	Engineering Mechanics Dynamics	3		
* ENGR 2140	Strength of Materials	2		
* ETE 2210	Electrical Engineering for Nonmajors	4		
* MAE 2160	Material Science	3		
Associate of Pre-Engineering		77		

* Required for admission to Professional Engineering Program (PEP) with C- or better, 2.8 overall GPA and no more than 3 repeats

Items listed in red are available in Brigham City.

Credits listed in blue are not listed on the Computer Engineering Suggested Schedule and are not a part of the total # of credits needed for Bachelor of Science.

Appendix C: Faculty

USU Brigham City:

Engineering and Science

Dr. Wade Goodridge

Dr. Angela Minichiello

USU Uintah Basin:

Engineering and Science

Dr. David Sam

USU Tooele:

One faculty member will be hired in the 2009-2010 AY.

ITEM FOR ACTION

The Department of Chemistry and Biochemistry in the College of Science at Utah State University (USU) submits this follow-up report for the Bachelor of Science degree program in Biochemistry, which was approved as a new degree in 2005.

EXECUTIVE SUMMARY.

The Bachelor of Science degree program in Biochemistry produces graduates prepared to enter the job market in industry, or for further work in academia through the advancement to professional schools including graduate, medical, dental, and pharmacy schools. The core courses in this program are organized into two-semester course sequences in the areas of general, organic, and biological chemistry, and general biology, calculus, and general physics, along with associated laboratory courses. The program core is rounded out with courses in analytical and biophysical chemistry and statistics. To complete the additional 18 credits of coursework required for the major, students choose elective courses from within the disciplines of chemistry, biochemistry, and biology. The program continues to enroll students far above the initial enrollment projections, and graduates of the program successfully obtain employment or continue their education in reputable post-bachelorette degree programs.

RECOMMENDATION

The President and Provost recommend that the Board of Trustees accept this report from Department of Chemistry and Biochemistry on the Bachelor of Science degree program in Biochemistry.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The Department of Chemistry and Biochemistry submits this follow-up report on the relatively new Bachelor of Science degree program in Biochemistry, and

WHEREAS, The report indicates that enrollment in this degree is extremely strong and growing, and

WHEREAS, The students of this program have numerous employment and educational opportunities upon graduation, and

WHEREAS, The report has the support of the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby accept the report from the Department of Chemistry and Biochemistry on the Bachelor of Science degree program in Biochemistry, and that this report be forwarded to the Utah State Board of Regents of the Utah State System of Higher Education as a consent item.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

**Three Year Follow-Up Report
Utah State University
Bachelor of Science in Biochemistry
November 2009**

Program Description

The Bachelor of Science degree program in Biochemistry produces graduates prepared to enter the job market in industry, or for further work in academia through the advancement to professional schools including graduate, medical, dental, and pharmacy schools. The core courses in this program are organized into two-semester course sequences in the areas of general, organic, and biological chemistry, and general biology, calculus, and general physics, along with associated laboratory courses. The program core is rounded out with courses in analytical and biophysical chemistry and statistics. To complete the additional 18 credits of coursework required for the major, students choose elective courses from within the disciplines of chemistry, biochemistry, and biology.

Enrollment Data

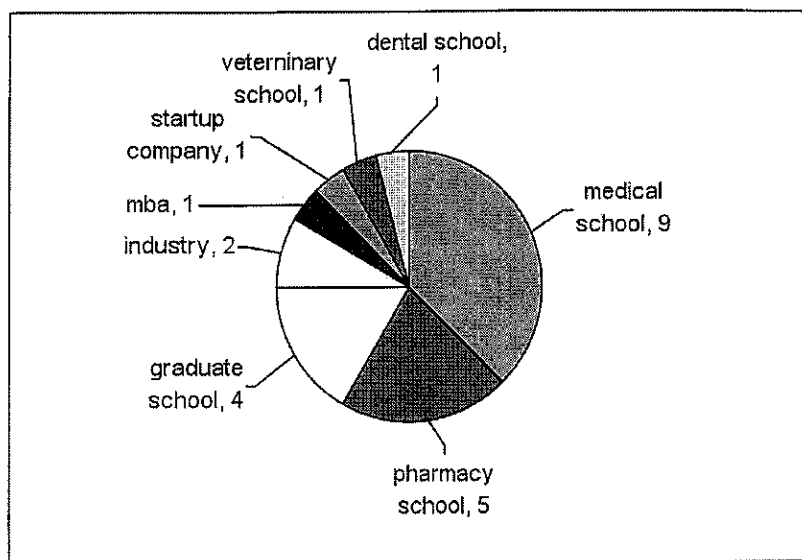
Growth in the Biochemistry program has exceeded expectations. In the proposal approved by the Utah Board of Regents in 2005 it was estimated that 10 students would enroll in the biochemistry program in Year 1, primarily those already in the Chemistry program. It was projected that this number would "grow by perhaps 5 additional students in each of Years 2-5, as the program gains popularity and knowledge about it spreads to others on campus." In fact, numbers have grown from 17 in the initial year of 2005, to a current count of 95 as of September, 2009. This growth has not come about through cannibalization of the Chemistry program, as Chemistry headcount has held relatively steady, from 104 in 2005 to its current number of 101.

Enrollment Data						
	Year 1 2005-06		Year 2 2006-07		Year 3 2007-08	
Students	Est	Actual	Est	Actual	Est	Actual
FTE Enrollment		53.7		138.25		184.71
Cost per FTE		3,990		3,364		4,293
Student/Faculty Ratio		4.60		16.42		18.36
Headcount	10	17	15	50	20	75
Tuition	Est	Actual	Est	Actual	Est	Actual
Tuition to Program ¹		n/a		n/a		n/a

¹ Tuition is not allocated directly to individual programs. Rather, tuition and state tax funds make up the major portion of revenue to operate the program.

Employment Information

To date 24 students have graduated from the Biochemistry Baccalaureate degree program. The majority of these students are currently pursuing graduate studies. Their post-graduate destinations are shown in the pie chart below:



Graduate Schools:

Utah State University
University of Utah
University of Iowa
Duke University

Medical and Pharmacy Schools:

University of Utah
Idaho State University
University of Wisconsin-Madison
Ohio State University
University of Toledo
Penn State University
Washington State University
University of Iowa
Duke University
University of Southern Nevada
New York Medical College

ITEM FOR ACTION

The Department of Department of Environment & Society in the College of Natural Resources at Utah State University (USU) submits this follow-up report for the M.S. and Ph.D. degrees in Human Dimensions of Ecosystem Science and Management (HDESM), which were approved as new degrees in 2002.

EXECUTIVE SUMMARY.

The M.S. and Ph.D. degrees in the Human Dimensions of Ecosystem Science and Management (HDESM) were established in response to a growing demand in natural resource fields for more interdisciplinary professionals with diverse skills and broader intellectual capabilities. It is being recognized, for example, that social and managerial sciences are increasingly important in helping society better understand and solve environmental problems. The HDESM program produces students who are problem solvers because they are able to integrate human and biophysical aspects of ecosystems, and better analyze policies and decisions. This degree program was approved by the Board of Regents on July 1, 2002, and began admitting students in fall of 2003. The program has consistently enrolled students above the initial enrollment projections, and graduates of the program successfully obtain employment in state and national agencies.

RECOMMENDATION

The President and Provost recommend that the Board of Trustees accept this report from Department of Environment and Society on the M.S. and Ph.D. in Human Dimensions of Ecosystem Science and Management.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The Department of Environment and Society submits this follow-up report on the relatively new M.S. and Ph.D. degrees in Human Dimensions of Ecosystem Science and Management, and

WHEREAS, The report indicates that enrollment in this degree is strong and stable, and

WHEREAS, The students of this program have numerous employment opportunities upon graduation, and

WHEREAS, The report has the support of the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby accept the report from the Department of Environment and Society on the M.S. and Ph.D. degrees in Human Dimensions of Ecosystem Science and Management, and that this report be forwarded to the Utah State Board of Regents of the Utah State System of Higher Education as a consent item.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

**Follow-Up Report
Utah State University
M.S. and Ph.D. in Human Dimensions of Ecosystem Science and Management
November 2009**

Program Description

The M.S. and Ph.D. degrees in the Human Dimensions of Ecosystem Science and Management (HDESM) were established in response to a growing demand in natural resource fields for more interdisciplinary professionals with diverse skills and broader intellectual capabilities. It is being recognized, for example, that social and managerial sciences are increasingly important in helping society better understand and solve environmental problems. The HDESM program produces students who are problem solvers because they are able to integrate human and biophysical aspects of ecosystems, and better analyze policies and decisions. This degree program was approved by the Board of Regents on July 1, 2002, and began admitting students in fall of 2003.

Enrollment Data

Enrollment Data						
	Year 1 – 2005-2006		Year 2 – 2006-2007		Year 3 – 2007-2008	
Students	Est.	Actual	Est.	Actual	Est.	Actual
FTE Enrollment		18.67		15.00		16.67
Cost Per FTE		19,411		26,978		27,946
Student/Faculty Ratio*	1.5:1	3.2:1	2.1:1	2.5:1	2.1:1	3.0:1
Headcount	18	32	21	25	24	30
Tuition	Est.	Actual	Est.	Actual	Est.	Actual
Tuition to Program		0		0		0

* Actual student-faculty ratio has proven to be somewhat higher than anticipated. The program grew more quickly than predicted, reaching a peak of 32 students in 2005-2006 and remaining fairly constant since that time. Originally 12 department faculty members predicted that they would direct thesis committees in the HDESM degree whereas in practice 10 have done so. However, those 10 have been able to easily accommodate the higher-than-anticipated load because students have selected the HDESM degree who otherwise would have chosen other degree programs offered within the same department.

Employment Information

Students who have completed degrees in this program have subsequently found employment with the following employers: Forest Service (California, Alaska, Utah), Ohio State University, Ogden Nature Center, USU Wildland Resources, Utah Division of Wildlife Resources, and U.S. Fish and Wildlife Service.