

Agenda

AGENDA
REGULAR MEETING
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
USU-CEU, PRICE, UTAH
JENNIFER LEAVITT STUDENT CENTER
AUGUST 13, 2010

1:00 p.m.	Regular Meeting Introductory Items • Chair's Report • President's Report • Consent Agenda • Action Agenda	Executive Board Room
1:30 p.m.	Adjourn	

Chairman

**AGENDA
CHAIR'S REPORT
AUGUST 13, 2010**

A. Information Items

Date of the Next Board of Trustees Meeting, October 22, 2010

B. Other

President

AGENDA
PRESIDENT'S REPORT
August 13, 2010

A. Recent Events

1. APLU Council of President's Summer Meetings, June 21-22, 2010
2. Salt Lake Chamber Board Retreat, June 23, 2010
3. Board of Regents at Utah Valley University campus in Heber City, June 25, 2010
4. AFC and Peter Cetera Event in Logan and Sun Valley, July 1-2, 2010
5. APLU Commission on International Programs in Arizona, July 11-14, 2010
6. Governor's Excellence in Education Commission, July 21, 2010
7. Sunrise Session (Presenter, Tim Shahan), July 30, 2010
8. Peter McPherson visit to Utah State, August 4-5, 2010
9. Small Satellite Conference, August 9, 2010
10. Council of Presidents, August 10, 2010
11. NCAA Division I Board of Directors Meeting in Indianapolis, Indiana, August 12, 2010

B. Upcoming Events

1. Department Heads Retreat, August 16, 2010
2. Huntsman Cancer Research Foundation Dinner/Auction, August 17, 2010
3. Engineering Retreat, August 19, 2010
4. Salt Lake Chamber Governing Board, August 24, 2010
5. Regents and Presidents Retreat at Southern Utah University, August 26, 2010
6. Board of Regents at Southern Utah University, August 27, 2010
7. Swaner Fund Raiser, September 4, 2010
8. Equine Education Ribbon Cutting, September 8, 2010
9. Football – Idaho State at USU, September 11, 2010
10. Emma Eccles Jones Dedication and Ribbon Cutting, September 14, 2010
11. Common Ground Event, September 16, 2010
12. Randall and Julianne Stockham Student-Athlete Academic Hall of Honor Unveiling, September 18, 2010
13. Football – Fresno at USU, September 18, 2010
14. BEERC Ribbon Cutting Events, September 23-24, 2010
15. Ag Day, October 2, 2010
16. Football – BYU at USU, October 1, 2010
17. USTAR Building Dedication, October 7, 2010

18. USU Foundation, October 9, 2010
19. Old Main Society, October 9, 2010
20. HOLD – Million Dollar Dinner, October 11, 2010
21. Council of Presidents, October 12, 2010
22. Community Associates, October 14 or 20, 2010
23. Aggie Auction, October 16, 2010
24. Homecoming, October 22-23, 2010
25. Football – Hawaii at USU, October 23, 2010

C. Other

Consent Agenda

CONSENT AGENDA
AUGUST 13, 2010

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15. Executive Session, October 22, 2010	

EXECUTIVE SESSION
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
TELEPHONE CONFERENCE CALL
JUNE 18, 2010

Minutes of the Executive Session of the Utah State University Board of Trustees held as a telephone conference call in Old Main, Champ Hall, Room 136, at 10:35 a.m.

MEMBERS PRESENT

Ralph W. Binns
Robert L. Foley
Douglas S. Foxley
Susan D. Johnson
Richard L. Shipley
Tyler L. Tolson

MEMBERS EXCUSED

Suzanne Pierce-Moore	Chair
Ronald W. Jibson	Vice Chair
David P. Cook	
Scott R. Watterson	

UNIVERSITY REPRESENTATIVES PRESENT

Stan L. Albrecht	President
Sydney M. Peterson	Chief of Staff and Board of Trustees Secretary

Trustee Shipley conducted the meeting.

Personnel issues were discussed.

The Executive Session adjourned at 11:04 a.m.

Richard L. Shipley, Member Executive Committee

Sydney M. Peterson, Secretary

Date Approved

REGULAR MEETING
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
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Ronald W. Jibson	Vice Chair
David P. Cook	
Scott R. Watterson	

UNIVERSITY REPRESENTATIVES PRESENT

Stan L. Albrecht	President
David T. Cowley	Vice President for Business and Finance
M. Kay Jeppesen	Vice President and CIO for Information Technology
Brent C. Miller	Vice President for Research
James D. Morales	Vice President for Student Services
F. Ross Peterson	Vice President for University Advancement
Ned M. Weinshenker	Vice President for Strategic Ventures and Economic Development
Jodi Bailey	Chief Audit Executive
John DeVilbiss	Executive Director of Public Relations and Marketing
Doug Garrett	President of the Professional Employees Association
Sydney M. Peterson	Chief of Staff and Board of Trustees Secretary
Mira G. Thatcher	Secretary

OTHERS PRESENT

Teresa Theurer

Utah State Board of Regents

Trustee Shipley conducted the meeting and welcomed those present including Regent Teresa Theurer. He excused Chair Pierce-Moore, Vice Chair Jibson, and Trustees Cook and Watterson. He indicated that there would be an Executive Session following the Regular Meeting.

I. Chairman's Report

A. Report by ASUSU President, Tyler L. Tolson

Trustee Tolson reported on the following ASUSU activities:

- A President's Cabinet Charter is being developed.
- ASUSU held a donkey basketball competition.
- There is excellent participation in Student Orientation and Registration (SOAR).
- Recruiting is taking place for the Aggie Blue Fall Leadership Conference. Last year there were 130 participants, and this year there will be a 400% increase in participants. At least 100 facilitators will be needed.
- Searches will be held for a new Director and Associate Director of Student Involvement.
- Summer compensation is given to student officers.

Trustee Johnson complimented Trustee Tolson on his extraordinarily excellent leadership abilities and accomplishments as USU's student body president.

B. Report by Alumni Association President, Ralph W. Binns

Trustee Binns reported on the following Alumni Association activities:

- The Lincoln Tour alumni travel in May, lead by Vice President Peterson which included sites in Illinois, St. Louis, and Bush Stadium, was very successful. There has been positive feedback about the trip.
- Current alumni travel is the Cathedrals Tour to Great Britain lead by Craig Jessop.
- A company which publishes directories has sent a mailing to USU alumni requesting information for a directory. Directories will be published and may be purchased. The information received will be beneficial to USU Records and will be used to contact alumni.

- License plate sales are at 4,392.
- Annual memberships are at 1,264.
- Life-time memberships are at 1,013.
- Wasatch chapter events are under the direction of Craig White.
- Out-of-state chapter events are under the direction of Scott Olson.
- Alumnus Don Logan, General Manager of the Las Vegas 51s professional baseball team and president of the Minor League Baseball Association, was awarded a Merit Citation in Las Vegas, Nevada, in June. Approximately 75 individuals attended the event.

C. Trustees Workshop, August 13, 2010, USU-CEU Price, Utah

Trustee Shipley encouraged all of the Trustees to attend the Workshop which will be held on August 13 at USU-CEU in Price. President Albrecht stated that in addition to workshop discussions, one hour will be scheduled to meet with the USU-CEU Advisory Board. This will provide an opportunity to become acquainted with that group.

Sydney Peterson asked Trustees to schedule the evening of Thursday, August 12, and all day Friday, August 13, for the Workshop. When the schedule is finalized, she will send information to the Trustees.

D. Date of the Next Board of Trustees Meetings

The next Board of Trustees meeting will be held on Friday, August 13, 2010 (in conjunction with the Workshop if necessary), or on Friday, October 22, 2010.

II. President's Report

A. Enrollment Committee

President Albrecht indicated that he asked Vice President Morales to chair a new Summer Enrollment Committee to consider various ways to make better use of USU resources that are not fully utilized during the summer months.

Vice President Morales reported that the Summer Enrollment Committee, which includes a number of members from across campus, has been meeting since January to discuss ways to optimize resources of facilities, faculty, and staff that are available during the summer, and hopefully increase Summer enrollment. The committee has identified key issues and barriers. Some things being considered are classes, special programs including certificate programs, programs for Freshmen students, and programs for international students.

Vice President Morales said that the committee has submitted a preliminary outline of its report to President Albrecht, and will submit recommendations by August so that changes can be in place for Summer 2011.

B. Diversity Council

Vice President Morales said that President Albrecht presented the concept for a Diversity Council during his State of the University Address last Fall. President Albrecht asked Vice President Morales to lead the newly-formed Diversity Council.

A charge was given to the Council to consider diversity issues broadly across the University system and ensure that hiring and retaining faculty, staff, and students from diverse backgrounds is tracked properly.

The Council met on June 6 with Student Services entities and others from across campus and received a presentation concerning useful resources for universities to develop diversity action plans. The Council will consider conditions as well as hiring and training good people from diverse backgrounds.

President Albrecht said that these are important issues, and he expressed appreciation to Vice President Morales for his leadership.

C. Comprehensive Campaign Update

Vice President Peterson stated that future campaign reports given to the Trustees will include additional information – “Additional Support as a Result of the Campaign.” The new information will include the following:

- Spin off totals that are a result of monies from the campaign. As of June 11, that total was \$333,556,000 – the total of private money was \$298,378,000. The goal is still \$400 million in private money.
- The number of endowments established since the beginning of the campaign, and the amount of money that has gone into endowments. There have been 170 new endowments of at least \$25,000 during the campaign. Endowment interest helps students and professors. There are 27 endowments for this fiscal year, and a challenge has been made to get at least 30 endowments by July 1.
- The value of the endowment. The endowment was at \$80 million in 2003, and is now at almost \$180 million.
- The number of academic chairs created. There were two chairs in 2003, and there are currently 15 chairs.

- A list of the buildings authorized or constructed during the campaign. There have been seven buildings which were totally government funded, seven part-donor and part-other funded, and five publically funded buildings. USU has constructed 19 new buildings in the last seven years, which includes buildings at the Regional Campuses. These buildings have changed the face of USU throughout the state.

Vice President Peterson said that in spite of economic difficulties, USU has had a lot of success. President Albrecht said the campaign success reflects great progress in a difficult economic environment.

D. American Festival Chorus Events

The American Festival Chorus, which is lead by Craig Jessop, will perform on July 1, at the Kent Concert Hall in Logan. Peter Cetera, former lead singer of Chicago, will perform with the Chorus.

On July 2, the Chorus will perform in Sun Valley, Idaho. An alumni reception will be sponsored in conjunction with the performance in Sun Valley.

E. Swaner EcoCenter Fund-raiser

Vice President Peterson reported that plans are underway for a fund-raiser for the Swaner EcoCenter on September 4 in Park City using the theme, Back in the Saddle Again. It is hoped to bring 350 people to a beautiful ranch adjacent to the Swaner EcoCenter and introduce them to USU. Members of the American Festival Chorus symphony will accompany a performance by horses. Highlights of USU research, Regional Campuses, etc., will be displayed.

F. Sponsored Research Awards

Vice President Miller said this will be an exceptional year for sponsored research awards at USU. In the past, research has been at approximately \$150 million a year. This year sponsored research will reach approximately \$180 million.

G. Recent Events

1. Shingo Prize, May 18-19, 2010
2. Wells Fargo Northern Utah Community Board, May 20, 2010
3. Utah Business Magazine-Trailblazer Recognition Luncheon, May 20, 2010
4. USU Foundation Board Meetings, May 21-22, 2010
5. Governor's Excellence in Education Commission, May 24, 2010
6. USTAR Strategic Planning Session, May 26, 2010

7. Big Blue Bash, May 28, 2010
8. Swaner EcoCenter Board Meeting, May 28, 2010
9. WAC Board of Director's Meetings, June 6-8, 2010

H. Upcoming Events

1. APLU Council of President's Summer Meetings, June 21-22, 2010
2. Salt Lake Chamber Board Retreat, June 23, 2010
3. Board of Regents at Utah Valley University campus in Heber City, June 25, 2010
4. American Festival Chorus and Peter Cetera Event in Logan and Sun Valley, July 1-2, 2010
5. APLU Commission on International Programs in Arizona, July 11-13, 2010
6. NWCCU Commission Meetings in Washington, July 13-15, 2010
7. Sunrise Session (Presenter, Tim Shahan), July 30, 2010
8. Council of Presidents, August 10, 2010
9. Huntsman Cancer Research Foundation Dinner/Auction, August 17, 2010
10. Engineering Retreat, August 19, 2010
11. Wells Fargo Northern Utah Community Board, August 19, 2010
12. Board of Regents at Southern Utah University, August 27, 2010
13. Swaner EcoCenter Fund Raiser, September 4, 2010

III. Consent Agenda

Trustees were given the following consent agenda material for their consideration:

Minutes of the Executive Session Held on May 7, 2010
 Minutes of the Regular Meeting Held on May 7, 2010
 Resolution 10-6-1 Faculty and Staff Adjustments (Appendix A)
 Resolution 10-6-2 Certificate of the Treasurer for March 2010 (Appendix B)
 Resolution 10-6-3 Report of Investments for March 2010 (Appendix C)
 Resolution 10-6-4 Delegation/Administration Reports for April 26, 2010 to June 4, 2010 (Appendix D)
 Resolution 10-6-5 Utah State University 2010-2011 Athletics Budget (Appendix E)
 Resolution 10-6-6 Capital Development Priority List for Fiscal Year 2011-2012 (Appendix F)
 Resolution 10-6-7 Bad Debt Write Off Recommendation for Fiscal Year Ending 30 June 2010 (Appendix G)
 Resolution 10-6-8 Revise Policy #357 of the University Policy Manual – Long Term Disability (Appendix H)

Resolution 10-6-9 Contract/Grant Proposals and Awards for April 2010 (Appendix I)
Resolution 10-6-10 USU Innovation Campus (a Department of Strategic Ventures and
Economic Development) Revised and Restated Covenants, Conditions and
Restrictions (CC&Rs) (Appendix J)
Executive Session to be held on August 13, 2010 (in conjunction with the Workshop if
necessary), or October 22, 2010, to discuss those items which are permitted by law
to be discussed in Executive Session.

Action: Trustee Foley moved approval of the Consent Agenda items, and Trustee
Binns seconded the motion. The voting was unanimous in the affirmative.

IV. Action Agenda

A. Refunding of Series 2002A Research Revenue Bonds

Trustees were given information concerning the Refunding of Series 2002A
Research Revenue Bonds (Appendix K) for their consideration.

Vice President Cowley said that there is an opportunity to take advantage of lower
interest rates by using advance refunding on a portion of the research revenue
bonds. The bonds are callable in 2012, but because the interest rates are so low,
we can refinance a portion of those bonds now. It is expected that this will
generate approximately 4% net present value savings, which is just over
\$400,000. This will be a savings of \$60,000 to \$64,000 a year for the next eight
years. The interest cost on the bonds that will be issued for the next eight years is
approximately 2%.

In response to Trustee Foxley's question about the fees to refinance, Vice
President Cowley said the cost of the issuance, which would pay for the financial
adviser and the bond counsel, will be approximately \$75,000.

Trustee Johnson indicated that she is on the Board of Directors for Zion's Bank.
Vice President Cowley stated that Zion's Public is the Financial Advisor, but
there will be a competitive bid for the underwriter.

Action: Trustee Foley moved approval of Resolution 10-6-11 Refunding of Series
2002A Research Revenue Bonds (Appendix K), and Trustee Tolson seconded the
motion. The voting was unanimous in the affirmative.

B. Faculty and Staff Adjustments

Trustees were given an addendum to the Faculty and Staff Adjustments (Appendix L) for their consideration.

President Albrecht explained that the addendum to the faculty and staff adjustments included several changes in title or assignment resulting from the reorganization of the two new colleges – the Caine College of the Arts and the College of Humanities and Social Sciences. It also included the appointment of Ann Austin as the Director of the new Center for Women and Gender, and the appointment of Dean Yolanda Flores Niemann to replace Ann Austin as Vice Provost.

Action: Trustee Binns moved approval of Resolution 10-6-12, the addendum to the faculty and staff adjustments (Appendix L). Trustee Tolson seconded the motion, and the voting was unanimous in the affirmative.

Action: Trustee Foxley made a motion to adjourn, and Trustee Binns seconded the motion.

The Regular Meeting adjourned at 10:30 a.m.

Richard L. Shipley, Member Executive Committee

Sydney M. Peterson, Secretary
(Minutes Taken by Mira G. Thatcher)

Date Approved

13 August 2010

ITEM FOR ACTION

RE: Faculty and Staff Adjustments

The attached faculty and staff adjustments are submitted for the Trustees consideration. They have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The faculty and staff adjustments include five (5) Change in Title or Assignments.

RECOMMENDATION

The President and Provost recommend that the Board of Trustees approve the attached faculty and staff adjustments.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The President and the Provost recommend that the Board of Trustees approve five (5) Change in Title or Assignments;

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approve the recommendation of the faculty and staff adjustments.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

Faculty and Staff Adjustments

a. Change in Title or Assignment

Emma Eccles Jones College of Education and Human Services

Mark Innocenti, Associate Director, Research and Evaluation Division, Center for Persons with Disabilities, Emma Eccles Jones College of Education and Human Services, to be Director of the Research and Evaluation Division of the Center for Persons with Disabilities and associate professor, department of Psychology, effective 02 August 2010. Change in salary to \$129,000/12-month.

College of Natural Resources

Layne Coppock, Associate Professor, Department of Environment and Society, College of Natural Resources, to be Interim Associate Dean and Associate Professor of the same, replacing Nancy Mesner while she is on sabbatical leave, effective 01 August 2010. Change in salary to \$89,370/11-month.

USU - CEU

Greg Benson, Interim Vice President of Academic Affairs, College of Eastern Utah, to be Vice Chancellor for Academic and Student Affairs, USU-CEU, effective 01 July 2010. Change in salary to \$100,000/12-month.

Brad King, Vice President, Institutional Advancement and Student Services, College of Eastern Utah, to be Vice Chancellor for Administration and Advancement, USU-CEU, effective 01 July 2010. No change in salary.

Guy Denton, Vice Provost, San Juan Campus, College of Eastern Utah, to be Vice Chancellor, San Juan Campus, USU-CEU, effective 01 July 2010. Change in salary to \$100,000/12-month.

13 August 2010

ITEM FOR ACTION

RE: Certificate of Treasurer for April 2010

The attached Certificate of Treasurer for April 2010 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The State Appropriated Funds budget at 30 April 2010 totaled \$255,944,162, down \$2,102,634 (0.81%) from the same 2009-2010 period. The year-to-date state appropriated funds expenditures totaled \$183,124,986, down \$10,811,681 (5.57%) from the same 2009-2010 period and represented 72% of the total budget. The percent of budget expended, 72%, was 11% less than would be expected to be spent on a strict time of budget year expired basis.

Total expenditures for all funds totaled \$465,350,019, up \$7,080,759 (1.55%) over the same 2009-2010 period.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Certificate of Treasurer for April 2010.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, authorized invoices and supporting papers have been filed pertaining to those expenditures listed on the attached Certificate of Treasurer;

WHEREAS, expenditures listed on the attached Certificate of Treasurer have been reviewed and approved for payment by the USU Controller's Office, Purchasing Department, and other departments;

WHEREAS, the expenditures listed on the attached Certificate of Treasurer are in accordance with the laws and rules of Utah State University and the State of Utah;

WHEREAS, the Chief Financial Officer for Utah State University, David T. Cowley, Vice President for Business and Finance, has certified to the best of his knowledge and belief that all expenditures listed on the attached Certificate of Treasurer were legitimate claims against Utah State University and funds were available for payment of said claims;

WHEREAS, Vice President Cowley requests approval of the listed expenditures by fund for the period 1 July 2009 to 30 April 2010 on the attached Certificate of Treasurer;

WHEREAS, the President of Utah State University has reviewed the attached Certificate of Treasurer and recommends its approval of those expenditures listed thereon by the Utah State University Board of Trustees; and

WHEREAS, the USU Board of Trustees has reviewed and given due consideration, review, and authorization of the expenditures listed on the attached Certificate of Treasurer:

NOW, THEREFORE, BE IT RESOLVED that the USU Board of Trustees hereby approves the attached Certificate of Treasurer as presented and ratifies the expenditures listed on said Certificate of Treasurer for the period of 1 July 2009 to 30 April 2010.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

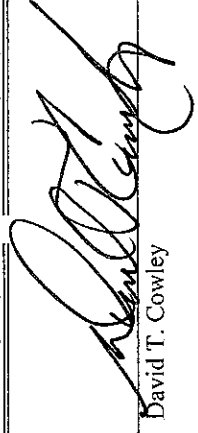
CERTIFICATE OF TREASURER

I, David T. Cowley, Vice President for Business and Finance, of Utah State University, do hereby certify as follows and request approval of the listed expenditures by fund for the period 1 July 2009 to 30 April 2010.

Authorization, invoices, and supporting papers have been filed pertaining to the following enumerated expenditures, which have been reviewed and processed for payment by the Controller's Office, Purchasing Department, and other departments; according to the laws, rules, and regulations of Utah State University and the State of Utah. To the best of my knowledge and belief, all are legitimate claims against Utah State University and funds were available for payment of said claims.

State Appropriated Funds	Budget	Percent of Budget Expended (83% Fiscal Year Expired)	1-Apr-10		Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year		Percent Increase (Decrease) from Prior Year
			30-Apr-10	30-Apr-10			Year	Year	
Education and General (Except Athletics)	\$172,126,402	76%	\$13,092,676		\$130,750,645	\$140,136,005	(\$9,385,360)		(6.70) %
Athletics	4,115,532	64%	257,503		2,644,445	2,740,005	(95,560)		(3.49) %
Agricultural Experiment Station	14,843,924	60%	842,781		8,961,224	10,623,014	(1,661,790)		(15.64) %
UWRL Appropriation	2,884,559	40%	104,233		1,157,601	1,416,944	(259,343)		(18.30) %
UWRL Apportionment	6,133,105	47%	267,082		2,885,396	3,125,361	(239,965)		(7.68) %
Tooele - Cont. Ed. Center	7,658,970	81%	433,733		6,187,265	7,022,958	(835,693)		(11.90) %
Educationally Disadvantaged	259,145	83%	16,060		215,655	245,492	(29,837)		(12.15) %
Southeastern Utah - Cont. Ed. Center	1,463,327	78%	73,572		1,137,415	1,156,597	(19,182)		(1.66) %
Uintah Basin - Cont. Ed. Center	6,013,913	71%	416,048		4,272,427	4,729,771	(457,344)		(9.67) %
Cooperative Extension	13,141,499	72%	868,315		9,467,478	10,840,464	(1,372,986)		(12.67) %
Brigham City - Cont. Ed. Center	15,218,448	76%	711,894		11,549,225	7,762,120	3,787,105		48.79 %
USTAR	12,085,338	32%	426,573		3,896,210	4,137,936	(241,726)		(5.84) %
Total State Appropriated Funds	\$255,944,162	72%	17,510,470		183,124,986	193,936,667	(10,811,681)		(5.57) %
Total State Appropriated Funds 2008-2009	\$258,046,796								
Decrease from 2008-2009	(\$2,102,634)								
Percent Decrease from 2008-2009	(0.81)%								

Other Unrestricted Funds	1-Apr-10 30-Apr-10	Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
Overhead Reimbursement for R & D Designated	\$599,906	\$6,117,896	\$6,239,475	(\$121,579)	(1.95) %
Service Departments	3,946,376	39,967,102	40,598,157	(631,055)	(1.55) %
Auxiliary Enterprises (Except Athletics)	2,979,736	34,360,370	39,498,605	(5,138,235)	(13.01) %
Athletics	2,202,286	27,068,521	27,852,126	(783,605)	(2.81) %
	1,098,663	10,290,730	9,396,597	894,133	9.52 %
	10,826,967	117,804,619	123,584,960	(5,780,341)	(4.68) %
Other Restricted Funds					
Instruction	1,228,277	10,463,078	12,786,398	(2,323,320)	(18.17) %
Research	4,570,979	43,304,538	36,912,971	6,391,567	17.32 %
Public Service	2,744,578	28,517,480	26,991,985	1,525,495	5.65 %
Academic Support	360,389	3,381,159	3,034,062	347,097	11.44 %
Student Services	203,185	2,387,992	2,253,508	134,484	5.97 %
Institutional Support	130,357	933,317	1,225,148	(291,831)	(23.82) %
Operation and Maintenance of Plant	1,290	25,312	52,610	(27,298)	(51.89) %
Scholarships and Fellowships	521,639	41,067,436	32,542,786	8,524,650	26.20 %
Service Departments	1,139	14,715	17,391	(2,676)	(15.39) %
Auxiliary Enterprises	75,464	669,192	302,936	366,256	120.90 %
	9,837,297	130,764,219	116,119,795	14,644,424	12.61 %
Other Funds					
Plant Funds	5,449,792	32,397,156	23,381,036	9,016,120	38.56 %
Associated Students	118,601	904,359	941,740	(37,381)	(3.97) %
Other Agency Funds	81,511	354,680	305,062	49,618	16.26 %
	5,649,904	33,656,195	24,627,838	9,028,357	36.66 %
Total All Funds	\$43,824,638	\$465,350,019	\$458,269,260	\$7,080,759	1.55 %


 David T. Cowley

7/30/10
 Date

13 August 2010

ITEM FOR ACTION

RE: Certificate of Treasurer for May 2010

The attached Certificate of Treasurer for May 2010 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The State Appropriated Funds budget at 31 May 2010 totaled \$256,007,710, down \$2,039,086 (0.79%) from the same 2008-2009 period. The year-to-date state appropriated funds expenditures totaled \$200,196,679, down \$10,451,820 (4.96%) from the same 2008-2009 period and represented 78% of the total budget. The percent of budget expended, 78%, was 14% less than would be expected to be spent on a strict time of budget year expired basis.

Total expenditures for all funds totaled \$509,579,061, up \$12,423,658 (2.50%) over the same 2008-2009 period.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Certificate of Treasurer for May 2010.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, authorized invoices and supporting papers have been filed pertaining to those expenditures listed on the attached Certificate of Treasurer;

WHEREAS, expenditures listed on the attached Certificate of Treasurer have been reviewed and approved for payment by the USU Controller's Office, Purchasing Department, and other departments;

WHEREAS, the expenditures listed on the attached Certificate of Treasurer are in accordance with the laws and rules of Utah State University and the State of Utah;

WHEREAS, the Chief Financial Officer for Utah State University, David T. Cowley, Vice President for Business and Finance, has certified to the best of his knowledge and belief that all expenditures listed on the attached Certificate of Treasurer were legitimate claims against Utah State University and funds were available for payment of said claims;

WHEREAS, Vice President Cowley requests approval of the listed expenditures by fund for the period 1 July 2009 to 31 May 2010 on the attached Certificate of Treasurer;

WHEREAS, the President of Utah State University has reviewed the attached Certificate of Treasurer and recommends its approval of those expenditures listed thereon by the Utah State University Board of Trustees; and

WHEREAS, the USU Board of Trustees has reviewed and given due consideration, review, and authorization of the expenditures listed on the attached Certificate of Treasurer:

NOW, THEREFORE, BE IT RESOLVED that the USU Board of Trustees hereby approves the attached Certificate of Treasurer as presented and ratifies the expenditures listed on said Certificate of Treasurer for the period of 1 July 2009 to 31 May 2010.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

CERTIFICATE OF TREASURER

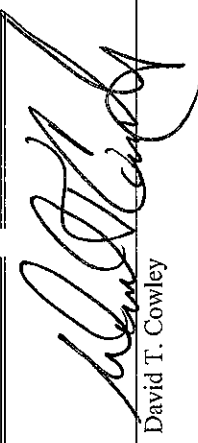
I, David T. Cowley, Vice President for Business and Finance, of Utah State University, do hereby certify as follows and request approval of the listed expenditures by fund for the period 1 July 2009 to 31 May 2010.

Authorization, invoices, and supporting papers have been filed pertaining to the following enumerated expenditures, which have been reviewed and processed for payment by the Controller's Office, Purchasing Department, and other departments; according to the laws, rules, and regulations of Utah State University and the State of Utah. To the best of my knowledge and belief, all are legitimate claims against Utah State University and funds were available for payment of said claims.

State Appropriated Funds	Budget	Percent of Budget Expended (92% Fiscal Year Expired)	1-May-10 31-May-10	Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
Education and General (Except Athletics)	\$172,189,788	83%	\$12,641,681	\$143,392,326	\$152,456,189	(\$9,063,863)	(5.95) %
Athletics	4,115,694	70%	256,872	2,901,317	3,006,584	(105,267)	(3.50) %
Agricultural Experiment Station	14,843,924	67%	1,054,778	10,016,002	11,621,945	(1,605,943)	(13.82) %
UWRL Appropriation	2,884,559	44%	125,016	1,282,618	1,524,975	(242,357)	(15.89) %
UWRL Apportionment	6,133,105	51%	232,597	3,117,993	3,478,077	(360,084)	(10.35) %
Tooele - Cont. Ed. Center	7,658,970	87%	479,526	6,666,791	7,420,788	(753,997)	(10.16) %
Educationally Disadvantaged	259,145	91%	20,030	235,686	258,815	(23,129)	(8.94) %
Southeastern Utah - Cont. Ed. Center	1,463,327	84%	89,576	1,226,991	1,236,952	(9,961)	(0.81) %
Uintah Basin - Cont. Ed. Center	6,013,913	77%	343,657	4,616,084	5,148,775	(532,691)	(10.35) %
Cooperative Extension	13,141,499	79%	895,824	10,363,302	11,809,812	(1,446,510)	(12.25) %
Brigham City - Cont. Ed. Center	15,218,448	79%	455,679	12,004,905	8,202,031	3,802,874	46.37 %
USTAR	12,085,338	36%	476,454	4,372,664	4,483,556	(110,892)	(2.47) %
Total State Appropriated Funds	\$256,007,710	78%	17,071,690	200,196,679	210,648,499	(10,451,820)	(4.96) %
Total State Appropriated Funds 2008-2009	\$258,046,796						
Decrease from 2008-2009	(\$2,039,086)						
Percent Decrease from 2008-2009	(0.79)%						

Other Unrestricted Funds	1-May-10 31-May-10	Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
Overhead Reimbursement for R & D					
Designated	\$539,905	\$6,657,801	\$6,794,363	(\$136,562)	(2.01) %
Service Departments	4,017,011	43,984,113	45,598,942	(1,614,829)	(3.54) %
Auxiliary Enterprises (Except Athletics)	3,543,491	37,903,861	43,135,735	(5,231,874)	(12.13) %
Athletics	2,479,891	29,548,412	30,087,261	(538,849)	(1.79) %
	755,257	11,045,987	10,035,848	1,010,139	10.07 %
	11,335,555	129,140,174	135,652,149	(6,511,975)	(4.80) %
Other Restricted Funds					
Instruction	1,336,156	11,799,235	13,972,932	(2,173,697)	(15.56) %
Research	4,806,877	48,111,416	41,769,536	6,341,880	15.18 %
Public Service	2,828,028	31,345,508	29,684,098	1,661,410	5.60 %
Academic Support	276,413	3,657,572	3,388,542	269,030	7.94 %
Student Services	258,685	2,646,676	2,404,888	241,788	10.05 %
Institutional Support	151,848	1,085,165	1,320,791	(235,626)	(17.84) %
Operation and Maintenance of Plant	2,832	28,143	56,294	(28,151)	(50.01) %
Scholarships and Fellowships	3,065,987	44,133,423	32,123,492	12,009,931	37.39 %
Service Departments	(97)	14,618	18,589	(3,971)	(21.36) %
Auxiliary Enterprises	57,505	726,697	301,599	425,098	140.95 %
	12,784,234	143,548,453	125,040,761	18,507,692	14.80 %
Other Funds					
Plant Funds	2,888,505	35,285,661	24,484,310	10,801,351	44.12 %
Associated Students	86,957	991,315	991,131	184	0.02 %
Other Agency Funds	62,099	416,779	338,553	78,226	23.11 %
	3,037,561	36,693,755	25,813,994	10,879,761	42.15 %
Total All Funds	\$44,229,040	\$509,579,061	\$497,155,403	\$12,423,658	2.50 %

7/30/10
Date


David T. Cowley

ITEM FOR ACTION

RE: Report of Investments for April 2010

The attached Report of Investments for April 2010 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

This set of investment reports presents investment activity for April 2010 and comparative year-to-date totals for FY 2009-2010 and FY 2008-2009.

CASH MANAGEMENT INVESTMENT POOL

The average daily fair value invested during April 2010 was \$236,426,868, down \$5,266,425 from March 2010. Total investment income was \$598,234, up \$209,395 over March 2010, reflecting the decrease in the amount available for investing and an increase in total investment return. The annualized total investment return was 3.04%, up 1.17% over March 2010.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$228,149,357, up \$5,820,280 (2.62%) over FY 2008-2009. Total interest income for FY 2009-2010 amounted to \$8,146,139, down \$133,227 (1.61%) from FY 2008-2009, reflecting an increase in the amount available for investing and a decrease in interest rates.

The total amount invested at 30 April 2010 was \$230,389,306, up \$14,496,968 (6.71%) over 30 April 2009.

ENDOWMENT POOL

The average daily fair value invested during April 2010 was \$86,288,413, up \$2,276,421 over March 2010. Interest and dividend income of \$428,381 plus net realized gains of \$4,552 totaled \$432,933 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$78,147,505, up \$11,390,184 (17.06%) over FY 2008-2009. Total realized income for FY 2009-2010 was \$2,110,831, up \$2,604,032 (527.99%) over FY 2008-2009. This increase resulted from \$197,533 more in interest and dividends and \$2,406,499 more net realized gains during FY 2009-2010.

The total amount invested at 30 April 2010 was \$87,263,607, up \$20,058,190 (29.85%) over 30 April 2009.

OTHER INVESTMENTS

The average daily fair value invested during April 2010 was \$2,542,251, up \$22,347 over March 2010. Interest and dividend income of \$2,862 plus net realized gains \$31,253 totaled \$34,115 in

Interest and dividend income of \$2,862 plus net realized gains \$31,253 totaled \$34,115 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$2,127,685, up \$245,264 (13.03%) over FY 2008-2009. Total realized income for FY 2009-2010 was \$56,051, up \$37,049 (194.97%) over FY 2008-2009. This increase resulted from \$10,109 less in interest and dividend income and \$47,158 more net realized gains during FY 2009-2010.

The total amount invested at 30 April 2010 was \$2,064,359, up \$214,117 (11.57%) over 30 April 2009.

ENDOWMENT TRUSTS

The average daily fair value invested during April 2010 was \$4,751,724, up \$140,356 over March 2010. Interest and dividend income totaled \$15,360 for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$4,424,122, up \$94,094 (2.17%) over FY 2008-2009. Total realized income for FY 2009-2010 was \$354,619, up \$170,424 (92.52%) over FY 2008-2009. This increase resulted from \$25,304 less interest and dividend income and \$195,728 more net realized gains during FY 2009-2010.

The total amount invested at 30 April 2010 was \$4,761,278, up \$1,216,382 (34.31%) over 30 April 2009.

PLANT FUND TRUSTS

The average daily fair value invested during April 2010 was \$12,450,784, down \$3,584,138 from March 2010. Interest incomes totaled \$8,878 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$19,001,758, up \$16,700,127 (725.58%) over FY 2008-2009. Total realized income for FY 2009-2010 was \$104,024, up \$59,480 (133.53%) over FY 2008-2009. This increase reflects the increased amount available for investing and a decrease in the rate of return.

The total amount invested at 30 April 2010 was \$12,196,097, up \$10,405,199 (581.00%) over 30 April 2009.

SUMMARY OF INVESTMENT TRANSACTIONS

The University's average daily fair value invested for the month of April was \$342,460,040. Purchases totaled \$29,046,493 and sales totaled \$50,505,400. From this activity the University realized net gains of \$35,805 and earnings of \$1,402,273.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Report of Investments for April 2010.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, the attached Report of Investments containing authorized transactions, documentation, and supporting papers has been filed for review by the Board of Trustees pertaining to the investment activities;

WHEREAS, the investment transactions listed on the attached Report of Investments have been approved by the USU Controller's Office;

WHEREAS, the investment activities listed on the attached Report of Investments are in accordance with the Utah State Money Management Act, the rules of the Utah State Money Management Council, the Utah State Uniform Prudent Management of Institutional Funds Act, and the laws and rules of Utah State University and the State of Utah;

WHEREAS, the Chief Financial Officer for Utah State University, David T. Cowley, Vice President for Business and Finance, has certified to the best of his knowledge and belief all investment transactions listed on the attached Report of Investments were made in accordance with the guidelines, rules, and laws;

WHEREAS, Vice President Cowley requests approval of the attached Report of Investments for the period 1 April 2010 to 30 April 2010 and comparative year-to-date totals for the periods 1 July 2009 to 30 April 2010 and 1 July 2008 to 30 April 2009;

WHEREAS, the President of Utah State University has reviewed the attached report and recommends its approval by the Utah State University Board of Trustees; and

WHEREAS, the USU Board of Trustees has reviewed and given due consideration, review, and authorization of the investment transactions listed on the attached Report of Investments for the period 1 April 2010 to 30 April 2010 and comparative year-to-date totals for the periods 1 July 2009 to 30 April 2010 and 1 July 2008 to 30 April 2009:

NOW, THEREFORE, BE IT RESOLVED that the USU Board of Trustees hereby approves the attached Report of Investments as presented and ratifies the transactions listed on said Report of Investments for 1 April 2010 to 30 April 2010.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date



OFFICE OF THE VICE PRESIDENT
FOR FINANCE AND BUSINESS
1445 Old Main Hill
Logan, UT 84322-1445
(435) 797-1146
FAX: (435) 797-0710

UTAH STATE UNIVERSITY
REPORT OF INVESTMENTS
APRIL 2010

The following schedules (A through F) provide a report of the University's Investments. To the best of my knowledge, Utah State University is in compliance with the Utah State Money Management Act and the rules of the Utah State Money Management Council and the Utah State Uniform Prudent Management of Institutional Funds Act.

A handwritten signature in black ink, appearing to read "Rick Allen", written over a horizontal line.

Rick G. Allen
Controller

7/19/2010
Date

A handwritten signature in black ink, appearing to read "David T. Cowley", written over a horizontal line.

David T. Cowley
Vice President for Business and Finance

7/30/10
Date

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule A-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Less Service Charges	Net Interest Income
Jul 2009	\$199,880,452	\$14,840,200	\$9,681,250	\$217,420	\$205,256,822	\$200,366,552	\$880,550	\$24	\$880,526
Aug 2009	205,256,822	57,990,000	28,769,590	460,455	234,937,687	214,936,180	710,911	907	710,004
Sep 2009	234,937,687	24,855,880	33,095,765	(174,059)	226,523,743	227,449,702	964,490	77	964,413
Oct 2009	226,523,743	18,965,232	16,661,650	(338,637)	228,488,688	224,993,333	753,844	1,295	752,549
Nov 2009	228,488,688	24,690,220	33,057,826	(398,081)	219,723,001	219,106,338	865,098	148	864,950
Dec 2009	219,723,001	26,000,000	13,757,450	(185,872)	231,779,679	220,928,598	750,651	31	750,620
Jan 2010	231,779,679	45,845,000	16,886,180	480,830	261,219,329	248,781,509	715,928	1,604	714,324
Feb 2010	261,219,329	14,600,000	29,107,300	420,704	247,132,733	246,811,192	691,607	(15)	691,622
Mar 2010	247,132,733	23,000,000	20,654,580	(477,429)	249,000,724	241,693,293	866,268	0	866,268
Apr 2010	249,000,724	27,000,000	45,262,860	(348,558)	230,389,306	236,426,868	946,792	372	946,420
May 2010									
Jun 2010									

Comparative Totals:

Year-to-date									
FY 2009-10	\$199,880,452	\$277,786,532	\$246,934,451	(\$343,227)	\$230,389,306	\$228,149,357	\$8,146,139	\$4,443	\$8,141,696
FY 2008-09	202,876,822	832,090,182	819,204,253	129,587	215,892,338	222,329,077	8,279,366	40,500	8,238,866
Amt Change				14,496,968	6.71%	5,820,280	(133,227)	(36,057)	(97,170)
% Change						2.62%	-1.61%	-89.03%	-1.18%

Note: The Cash Management Investment Pool includes cash of all funds over estimated daily operating requirements.

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENTS POOL
SUMMARY OF INVESTMENT TRANSACTIONS AND PERFORMANCE
For the Month of April 2010

Schedule A-2

	Purchases	Sales		Earnings	Change in Fair Value	Total Investment Income	Average Daily Fair Value	Annualized Total Investment Return
		Cost	Receipts					
Wells Fargo - Sweep Account				\$14		\$14	\$0	0.00%
Money Market Account	\$17,000,000	\$13,000,000	\$13,000,000	8,180		8,180	13,100,000	0.75%
Utah Public Treasurers' Investment Fund				46		46	100,000	0.55%
Time Certificates of Deposit				416,734		416,734	108,000,000	4.63%
Commercial Paper and Corporate Notes		16,417,860	16,417,860	264,159	(\$580,400)	(316,241)	35,288,868	-10.75%
Obligations of U. S. Government	10,000,000	15,845,000	15,845,000	257,659	231,842	489,501	79,938,000	7.35%
Total	\$27,000,000	\$45,262,860	\$45,262,860	\$946,792	(\$348,558)	\$598,234	\$236,426,868	3.04%

UTAH STATE UNIVERSITY
SUMMARY OF CASH MANAGEMENT INVESTMENT POOL TRANSACTIONS
For the Month of April 2010

Schedule A-3

Cash Management Investment Pool	Purchases		Sales		Earnings
	Shares	Cost	Shares	Cost	
Sweep Account					
Money Market Account					\$14
Utah Public Treasurers' Investment Fund		\$17,000,000		\$13,000,000	8,180
Time Certificates of Deposit					46
Commercial Paper and Corporate Notes					416,734
Obligations of U. S. Government		10,000,000		16,417,860	264,159
				15,845,000	257,659
Total Cash Management Investment Pool		\$27,000,000		\$45,262,860	\$946,792
				\$0	\$0

UTAH STATE UNIVERSITY
ENDOWMENT POOL
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule B-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
*Jul 2009	\$69,522,394	\$1,335,604	\$1,261,002	\$2,805,817	\$72,402,813	\$70,984,005	\$182,503	\$3,902	\$186,405	\$26,985	\$159,420
Aug 2009	72,402,813	1,152,580	1,061,612	1,431,414	73,925,195	73,164,004	152,425	4,053	156,478	8,335	148,143
Sep 2009	73,925,195	218,668	210,490	1,731,097	75,664,470	74,794,833	149,575	1,679	151,254	8,371	142,883
Oct 2009	75,664,470	1,229,071	681,682	(913,093)	75,298,766	75,481,618	138,165	4,146	142,311	8,998	133,313
Nov 2009	75,298,766	122,977	16,504	2,330,624	77,735,863	76,517,315	151,638	4,211	155,849	7,631	148,218
Dec 2009	77,735,863	205,083	26,486	696,075	78,610,535	78,173,199	408,437	4,437	412,874	8,741	404,133
Jan 2010	78,610,535	4,465,144	123,253	(1,553,402)	81,399,024	80,004,780	156,070	4,300	160,370	7,796	152,574
Feb 2010	81,399,024	236,306	141,269	1,216,705	82,710,766	82,054,895	153,130	3,975	157,105	7,109	149,996
Mar 2010	82,710,766	223,167	175,934	2,555,219	85,313,218	84,011,992	150,442	4,810	155,252	11,066	144,186
Apr 2010	85,313,218	1,667,417	311,644	594,616	87,263,607	86,288,413	428,381	4,552	432,933	7,819	425,114
May 2010											
Jun 2010											

31

Comparative Totals:

Year-to-date											
FY 2009-10	\$69,522,394	\$10,856,017	\$4,009,876	\$10,895,072	\$87,263,607	\$78,147,505	\$2,070,766	\$40,065	\$2,110,831	\$102,851	\$2,007,980
FY 2008-09	73,605,460	17,802,358	9,637,825	(14,564,576)	67,205,417	66,757,321	1,873,233	(2,366,434)	(493,201)	93,018	(586,219)
Amt Change					20,058,190	11,390,184	197,533	2,406,499	2,604,032	9,833	2,594,199
% Change					29.85%	17.06%	10.55%	101.69%	527.99%	10.57%	442.53%

Note: The Endowment Pool includes endowment funds designated for long-term investment. Included in this pool are endowment funds invested in the University's Cash Management Investment Pool (CMIP) consisting of \$29,605,185 principal beginning balance, a \$30,979,626 ending balance, and a \$30,583,258 average daily balance for the current month. Current month interest and dividends from the CMIP were \$122,494 bringing the total to \$958,723 year-to-date. These amounts have also been reported in Schedules A-1 and A-2.

*The July beginning fair value has been adjusted to reflect the amount distributed to expendable accounts at fiscal year end.

UTAH STATE UNIVERSITY
SUMMARY OF ENDOWMENT POOL TRANSACTIONS
For the Month of April 2010

Schedule B-2

Endowment Pool Transactions	Purchases		Sales		Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost	Receipts	
Cash Management Investment Pool						
Obligations of U.S. Government		\$1,383,441		\$10,000	\$10,000	\$122,494
GNMA			32,360	33	33	0
Interest						2,515
Corporate Bonds and Notes						594
Bond Interest						7,524
Equities						
UTS SPDR Trust Ser 1 (SPY)						
Mutual Funds						
Multi-Strategy Bond Fund			69,117	801	953	14,998
Multi-Strategy Equity Fund			35,160	1,974	6,374	32,507
Alternatives						
Commonfund						
CEP VII		10,000				
CNR VIII						
Morgan Stanley Smith Barney						
Morgan Stanley TALF Fund (Cayman) LP						242,977
TIAA-CREF						
TIAA-CREF Core Property						3,991
Cash		3,991		6,148	6,148	0
Money Market Funds						
Institutional Cash #451		796		235,491	235,491	0
Morgan Stanley - Money Market		242,980				778
Wells Fargo Advantage Cash #250		3,636		3,636	3,636	2
Wells Fargo Advantage Cash #250		22,573		49,009	49,009	0
						1
Total Endowment Pool Transactions		\$1,667,417		\$307,092	\$311,644	\$4,552
						\$428,381

UTAH STATE UNIVERSITY
OTHER INVESTMENTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule C-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income
Jul 2009	\$1,900,219	\$873	\$757	\$40,279	\$1,940,614	\$1,920,417	\$1,719	\$0	\$1,719
Aug 2009	1,940,614	796	6,500	16,997	1,951,907	1,946,261	1,493	0	1,493
Sep 2009	1,951,907	13,913	1,043	28,177	1,992,954	1,972,431	2,991	(58)	2,933
Oct 2009	1,992,954	37,660	36,595	(15,596)	1,978,423	1,985,689	1,379	(1,456)	(77)
Nov 2009	1,978,423	12,485	9,935	34,096	2,015,069	1,996,746	1,307	(124)	1,183
Dec 2009	2,015,069	906,135	551,691	3,341	2,372,854	2,193,962	6,523	(2,814)	3,709
Jan 2010	2,372,854	6,515	359,682	(16,753)	2,002,934	2,187,894	1,285	4,799	6,084
Feb 2010	2,002,934	467	0	16,264	2,019,665	2,011,300	1,194	0	1,194
Mar 2010	2,019,665	1,310,082	365,044	55,439	3,020,142	2,519,904	6,976	(3,278)	3,698
Apr 2010	3,020,142	316,319	1,287,398	15,296	2,064,359	2,542,251	2,862	31,253	34,115
May 2010									
Jun 2010									

Comparative Totals:

Year-to-date									
FY 2009-10	\$1,900,219	\$2,605,245	\$2,618,645	\$177,540	\$2,064,359	\$2,127,685	\$27,729	\$28,322	\$56,051
FY 2008-09	2,053,431	2,792,762	2,761,861	(234,090)	1,850,242	1,882,421	37,838	(18,836)	19,002
Amt Change					214,117	245,264	(10,109)	47,158	37,049
% Change					11.57%	13.03%	-26.72%	250.36%	194.97%

Note: Other Investments include donor designated and other specified investments.

UTAH STATE UNIVERSITY
SUMMARY OF OTHER INVESTMENT TRANSACTIONS
For the Month of April 2010

Schedule C-2
Page 1 of 2

	Purchases		Sales		Earnings
	Shares	Cost	Shares	Cost	
Corporate Bonds and Notes					
U.S. Treasury Bond					\$666
U.S. West Communications					60
Common and Preferred Stock					
Morgan Stanley Smith Barney					
ArcelorMittal New	77,000	\$3,593			
Convergys Corp	50,000	652			
Jones Lang Lasalle Inc	34,000	2,666			
Joy Global Inc	38,000	2,376			
Parker-Hannifin	38,000	2,679			
Urban Outfitters	71,000	2,748			
Hewlett Packard Co					44
TriCor			346,000	\$39,406	\$1,380
Spdr S&P 500 EFT Trust Unit Ser					
Utah Public Treasurers'		426		20	0
Investment Fund					426
Mutual Funds					
Vanguard Index FDS					
Vanguard 500 Index Fund	0.472	51			51
Vanguard Total Bond Market Index Fund	11.843	124			124
Vanguard 500 Index Fund	0.621	67		324	67
Vanguard Total Bond Market Index Fund	18.985	199		3,800	199
TriCor			365.034	3,661	(324)
Vanguard Index FDS					139
Small Cap Growth			1,450,000	89,074	6,556
Mid Cap Vipers			1,365,000	84,207	5,703
Value Vipers			1,227,000	60,258	2,199
Vanguard Dividend Appreciation ETF			1,950,000	93,035	2,776
The New Economy Fund-C			1,705,801	44,928	797
New Perspective F-1			3,532,679	90,648	2,554
Dodge & Cox International Stock Fund			2,298,685	74,087	2,825
Dreyfus Appreciation			898,400	30,546	1,125
Royce Total Return Investment			3,398,572	37,558	2,044
Van Total Other Investments			2,876,464	51,175	2,273
Loomis Sayles Bond Retail Shares			4,523,341	61,511	796
Metropolitan West Total Return Bond M		367	8,752,720	88,493	410
Financial Select Sector SPDR	36.129				0
					367
					166

UTAH STATE UNIVERSITY
SUMMARY OF OTHER INVESTMENT TRANSACTIONS
For the Month of April 2010

Schedule C-2
Page 2 of 2

	Purchases		Sales		Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost		
Alternatives						
TriCor	72,798	\$691				\$692
Inland America Real Estate Trust						
Money Market						
US Govt Money Market Fund A		\$1,615		\$1,615	\$0	
US Govt Money Market Fund A		9,052		9,052	0	
Cash						
Morgan Stanley Smith Barney - Cash		44				
SEI - Prime Obligation Fund		75				
Tricor - Prime Fund - Capital Reserves Class		299,561		396,547	0	
Total Other Investments		\$316,319		\$1,256,145	\$31,253	\$2,862

UTAH STATE UNIVERSITY
ENDOWMENT TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule D-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2009	\$3,797,982	\$55,430	\$51,853	\$278,142	\$4,079,701	\$3,938,842	\$12,811	\$0	\$12,811	\$447	\$12,364
Aug 2009	4,079,701	18,749	20,192	190,226	4,268,484	4,174,093	10,299	(1,729)	8,570	150	8,420
Sep 2009	4,268,484	13,469	0	100,710	4,382,663	4,325,574	37,053	0	37,053	0	37,053
Oct 2009	4,382,663	265,257	253,971	(57,435)	4,336,514	4,359,589	14,129	121,566	135,695	500	135,195
Nov 2009	4,336,514	113,177	103,550	210,183	4,556,324	4,446,419	13,715	31,398	45,113	0	45,113
Dec 2009	4,556,324	24,456	0	(613)	4,580,167	4,568,246	12,362	0	12,362	0	12,362
Jan 2010	4,580,167	21,892	10,855	(56,199)	4,535,005	4,557,586	14,045	0	14,045	500	13,545
Feb 2010	4,535,005	293,762	325,709	(22,492)	4,480,566	4,507,786	14,565	43,850	58,415	0	58,415
Mar 2010	4,480,566	14,375	0	247,228	4,742,169	4,611,368	15,195	0	15,195	0	15,195
Apr 2010	4,742,169	11,383	500	8,226	4,761,278	4,751,724	15,360	0	15,360	500	14,860
May 2010											
Jun 2010											

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Comparative Totals:

Year-to-date											
FY 2009-10	\$3,797,982	\$831,950	\$766,630	\$897,976	\$4,761,278	\$4,424,122	\$159,534	\$195,085	\$354,619	\$2,097	\$352,522
FY 2008-09	5,163,877	815,542	813,534	(1,620,989)	3,544,896	4,330,028	184,838	(643)	184,195	11,628	172,567
Amt Change					1,216,382	94,094	(25,304)	195,728	170,424	(9,531)	179,955
% Change					34.31%	2.17%	-13.69%	30439.81%	92.52%	-81.97%	104.28%

Note: Endowment Trusts include externally managed endowment trusts.

UTAH STATE UNIVERSITY
SUMMARY OF ENDOWMENT TRUST INVESTMENT TRANSACTIONS
For the Month of April 2010

Schedule D-2

Endowment Trusts	Purchases		Sales		Earnings
	Shares	Cost	Shares	Cost	
Common and Preferred Stock					
Dividends					\$14,369
Mutual Funds					
Wells Fargo Strategic Inc #89					485
Wells Fargo Strategic Inc #89					484
Money Market Funds					
Morgan Stanley - Money Market		\$10,983			22
Wells Fargo #451				\$250	\$0
Wells Fargo #451				250	0
Cash					
Morgan Stanley		400			
Total Endowment Trusts		\$11,383		\$500	\$0
				\$500	\$15,360

UTAH STATE UNIVERSITY
PLANT FUND TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule E-I

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2009	\$22,951,558	\$5,086	\$360,378	\$14,624	\$22,610,890	\$22,831,878	\$13,585	\$0	\$13,585	\$0	\$13,585
Aug 2009	22,610,890	803,335	1,990,160	62,812	21,486,877	22,344,112	12,022	0	12,022	0	12,022
Sep 2009	21,486,877	1,216,167	3,055	(82,255)	22,617,734	22,129,749	11,259	0	11,259	0	11,259
Oct 2009	22,617,734	40,244	1,849,000	(17,636)	20,791,342	20,971,390	10,180	0	10,180	0	10,180
Nov 2009	20,791,342	5,495,851	3,525,027	(8,875)	22,753,291	21,046,100	10,231	188	10,419	0	10,419
Dec 2009	22,753,291	398,396	5,499,925	(39,085)	17,612,677	17,982,115	9,668	0	9,668	0	9,668
Jan 2010	17,612,677	13,593	934,670	31,304	16,722,904	17,503,812	9,334	0	9,334	0	9,334
Feb 2010	16,722,904	707	0	(6,037)	16,717,574	16,722,714	9,206	0	9,206	0	9,206
Mar 2010	16,717,574	780,375	1,720,016	(12,831)	15,765,102	16,034,922	9,473	0	9,473	0	9,473
Apr 2010	15,765,102	51,374	3,642,998	22,619	12,196,097	12,450,784	8,878	0	8,878	0	8,878
May 2010											
Jun 2010											

Comparative Totals:

Year-to-date											
FY 2009-10	\$22,951,558	\$8,805,128	\$19,525,229	(\$35,360)	\$12,196,097	\$19,001,758	\$103,836	\$188	\$104,024	\$0	\$104,024
FY 2008-09	2,310,361	6,460,123	6,979,586	0	1,790,898	2,301,631	44,544	0	44,544	0	44,544
Amt Change					10,405,199	16,700,127	59,292	188	59,480	0	59,480
% Change					581.00%	725.58%	133.11%	100.00%	133.53%	0.00%	133.53%

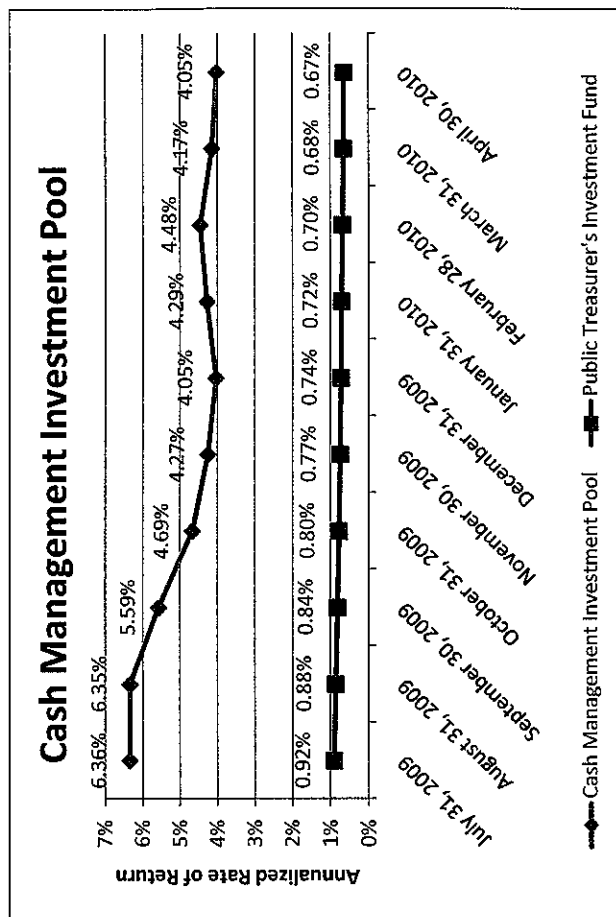
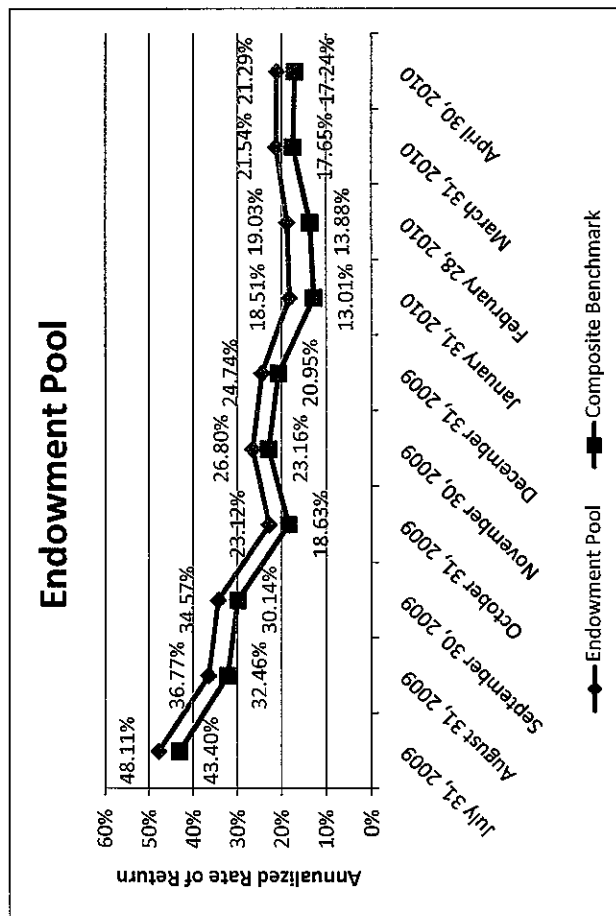
Note: Plant Fund Trusts include all debt service reserve accounts in compliance with bond issue covenants.

UTAH STATE UNIVERSITY
SUMMARY OF PLANT TRUST INVESTMENT TRANSACTIONS
For the Month of April 2010

Schedule E-2

Plant Trusts	Purchases		Sales		Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost		
Wells Fargo						
Heritage Money Market		\$51,075		\$2,076,886	\$0	\$80
Build America Bonds						8,499
Utah Public Treasurers' Investment Fund		299		1,566,112	0	299
Total Plant Trusts		\$51,374		\$3,642,998	\$0	\$8,878

Summary of Total Investment Returns
Endowment Pool and Cash Management Investment Pool
Fiscal Year-to-Date Annualized Rate of Return



ITEM FOR ACTION

RE: Report of Investments for May 2010

The attached Report of Investments for May 2010 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

This set of investment reports presents investment activity for May 2010 and comparative year-to-date totals for FY 2009-2010 and FY 2008-2009.

CASH MANAGEMENT INVESTMENT POOL

The average daily fair value invested during May 2010 was \$229,125,346, down \$7,301,522 from April 2010. Total investment income was \$748,075, up \$149,841 from April 2010, reflecting the decrease in the amount available for investing and an increase in total investment return. The annualized total investment return was 3.79%, up 0.75% over April 2010.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$228,238,083, up \$7,102,591 (3.21%) over FY 2008-2009. Total interest income for FY 2009-2010 amounted to \$9,091,590, up \$50,930 (0.56%) over FY 2008-2009, reflecting an increase in the amount available for investing and a decrease in interest rates.

The total amount invested at 31 May 2010 was \$222,802,280, up \$12,042,112 (5.71%) over 31 May 2009.

ENDOWMENT POOL

The average daily fair value invested during May 2010 was \$85,367,958, down \$920,455 from April 2010. Interest and dividend income of \$190,007 plus net realized gains of \$4,369 totaled \$194,376 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$78,803,910, up \$11,896,695 (17.78%) over FY 2008-2009. Total realized income for FY 2009-2010 was \$2,305,207, up \$2,646,311 (775.81%) over FY 2008-2009. This increase resulted from \$232,664 more in interest and dividends and \$2,413,647 more net realized gains during FY 2009-2010.

The total amount invested at 31 May 2010 was \$83,472,309, up \$13,709,139 (19.65%) over 31 May 2009.

OTHER INVESTMENTS

The average daily fair value invested during May 2010 was \$2,037,104, down \$505,147 from

April 2010. Interest and dividend income of \$1,930 minus net realized losses of \$2,851 totaled \$921 in realized loss for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$2,119,450, up \$237,646 (12.63%) over FY 2008-2009. Total realized income for FY 2009-2010 was \$55,130, up \$35,870 (186.24%) over FY 2008-2009. This increase resulted from \$10,028 less in interest and dividend income and \$45,898 more net realized gains during FY 2009-2010.

The total amount invested at 31 May 2010 was \$2,009,848, up \$108,831 (5.72%) over 31 May 2009.

ENDOWMENT TRUSTS

The average daily fair value invested during May 2010 was \$4,570,621, down \$181,103 over April 2010. Interest and dividend income totaled \$14,811 for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$4,437,440, up \$163,206 (3.82%) over FY 2008-2009. Total realized income for FY 2009-2010 was \$369,430, up \$177,140 (92.12%) over FY 2008-2009. This increase resulted from \$18,588 less interest and dividend income and \$195,728 more net realized gains during FY 2009-2010.

The total amount invested at 31 May 2010 was \$4,379,964, up \$492,269 (12.66%) over 31 May 2009.

PLANT FUND TRUSTS

The average daily fair value invested during May 2010 was \$12,413,590, down \$37,194 from April 2010. Interest income totaled \$8,993 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2009-2010 was \$18,402,833, up \$15,341,775 (501.19%) over FY 2008-2009. Total realized income for FY 2009-2010 was \$113,017, up \$63,416 (127.85%) over FY 2008-2009. This increase reflects the increased amount available for investing and a decrease in the rate of return.

The total amount invested at 31 May 2010 was \$12,673,818, down \$11,887,216 (48.40%) from 31 May 2009.

SUMMARY OF INVESTMENT TRANSACTIONS

The University's average daily fair value invested for the month of May was \$333,514,619. Purchases totaled \$33,861,951 and sales totaled \$40,664,528. From this activity the University realized net gains of \$1,518 and earnings of \$1,161,192.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Report of Investments for May 2010.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, the attached Report of Investments containing authorized transactions, documentation, and supporting papers has been filed for review by the Board of Trustees pertaining to the investment activities;

WHEREAS, the investment transactions listed on the attached Report of Investments have been approved by the USU Controller's Office;

WHEREAS, the investment activities listed on the attached Report of Investments are in accordance with the Utah State Money Management Act, the rules of the Utah State Money Management Council, the Utah State Uniform Prudent Management of Institutional Funds Act, and the laws and rules of Utah State University and the State of Utah;

WHEREAS, the Chief Financial Officer for Utah State University, David T. Cowley, Vice President for Business and Finance, has certified to the best of his knowledge and belief all investment transactions listed on the attached Report of Investments were made in accordance with the guidelines, rules, and laws;

WHEREAS, Vice President Cowley requests approval of the attached Report of Investments for the period 1 May 2010 to 31 May 2010 and comparative year-to-date totals for the periods 1 July 2009 to 31 May 2010 and 1 July 2008 to 31 May 2009;

WHEREAS, the President of Utah State University has reviewed the attached report and recommends its approval by the Utah State University Board of Trustees; and

WHEREAS, the USU Board of Trustees has reviewed and given due consideration, review, and authorization of the investment transactions listed on the attached Report of Investments for the period 1 May 2010 to 31 May 2010 and comparative year-to-date totals for the periods 1 July 2009 to 31 May 2010 and 1 July 2008 to 31 May 2009;

NOW, THEREFORE, BE IT RESOLVED that the USU Board of Trustees hereby approves the attached Report of Investments as presented and ratifies the transactions listed on said Report of Investments for 1 May 2010 to 31 May 2010.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date



OFFICE OF THE VICE PRESIDENT
FOR FINANCE AND BUSINESS
1445 Old Main Hill
Logan, UT 84322-1445
(435) 797-1146
FAX: (435) 797-0710

UTAH STATE UNIVERSITY
REPORT OF INVESTMENTS
MAY 2010

The following schedules (A through F) provide a report of the University's Investments. To the best of my knowledge, Utah State University is in compliance with the Utah State Money Management Act and the rules of the Utah State Money Management Council and the Utah State Uniform Prudent Management of Institutional Funds Act.

A handwritten signature in black ink, appearing to read "Rick G. Allen", written over a horizontal line.

Rick G. Allen
Controller

7/19/2010
Date

A handwritten signature in black ink, appearing to read "David T. Cowley", written over a horizontal line.

David T. Cowley
Vice President for Business and Finance

7/30/10
Date

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule A-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Less Service Charges	Net Interest Income
Jul 2009	\$199,880,452	\$14,840,200	\$9,681,250	\$217,420	\$205,256,822	\$200,366,552	\$880,550	\$24	\$880,526
Aug 2009	205,256,822	57,990,000	28,769,590	460,455	234,937,687	214,936,180	710,911	907	710,004
Sep 2009	234,937,687	24,855,880	33,095,765	(174,059)	226,523,743	227,449,702	964,490	77	964,413
Oct 2009	226,523,743	18,965,232	16,661,650	(338,637)	228,488,688	224,993,333	753,844	1,295	752,549
Nov 2009	228,488,688	24,690,220	33,057,826	(398,081)	219,723,001	219,106,338	865,098	148	864,950
Dec 2009	219,723,001	26,000,000	13,757,450	(185,872)	231,779,679	220,928,598	750,651	31	750,620
Jan 2010	231,779,679	45,845,000	16,886,180	480,830	261,219,329	248,781,509	715,928	1,604	714,324
Feb 2010	261,219,329	14,600,000	29,107,300	420,704	247,132,733	246,811,192	691,607	(15)	691,622
Mar 2010	247,132,733	23,000,000	20,654,580	(477,429)	249,000,724	241,693,293	866,268	0	866,268
Apr 2010	249,000,724	27,000,000	45,262,860	(348,558)	230,389,306	236,426,868	946,792	372	946,420
May 2010	230,389,306	32,214,550	39,604,200	(197,376)	222,802,280	229,125,346	945,451	841	944,610
Jun 2010									

Comparative Totals:

Year-to-date									
FY 2009-10	\$199,880,452	\$310,001,082	\$286,538,651	(\$540,603)	\$222,802,280	\$228,238,083	\$9,091,590	\$5,284	\$9,086,306
FY 2008-09	202,876,822	835,090,182	829,204,253	1,997,417	210,760,168	221,135,492	9,040,660	43,023	8,997,637
Amt Change					12,042,112	7,102,591	50,930	(37,739)	88,669
% Change					5.71%	3.21%	0.56%	-87.72%	0.99%

Note: The Cash Management Investment Pool includes cash of all funds over estimated daily operating requirements.

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL
SUMMARY OF INVESTMENT TRANSACTIONS AND PERFORMANCE
For the Month of May 2010

Schedule A-2

	Purchases	Sales		Earnings	Change in Fair Value	Total Investment Income	Average Daily Fair Value	Annualized Total Investment Return
		Cost	Receipts					
Money Market Account	\$7,000,000	\$15,000,000	\$15,000,000	\$9,207		\$9,207	\$16,103,226	0.66%
Utah Public Treasurers' Investment Fund				50		50	100,000	0.58%
Time Certificates of Deposit				430,624		430,624	108,000,000	4.63%
Commercial Paper and Corporate Notes	5,214,550	9,604,200	9,604,200	228,856	(\$367,650)	(138,794)	18,418,894	-8.75%
Obligations of U. S. Government	20,000,000	15,000,000	15,000,000	276,714	170,274	446,988	86,503,226	6.00%
Total	\$32,214,550	\$39,604,200	\$39,604,200	\$945,451	(\$197,376)	\$748,075	\$229,125,346	3.79%

UTAH STATE UNIVERSITY
SUMMARY OF CASH MANAGEMENT INVESTMENT POOL TRANSACTIONS
For the Month of May 2010

Schedule A-3

	Purchases		Shares	Sales		Gain/(Loss)	Earnings
	Shares	Cost		Cost	Receipts		
Cash Management Investment Pool							
Money Market Account		\$7,000,000		\$15,000,000	\$15,000,000	\$0	\$9,207
Utah Public Treasurers' Investment Fund							50
Time Certificates of Deposit							430,624
Commercial Paper and Corporate Notes		5,214,550		9,604,200	9,604,200	0	228,856
Obligations of U. S. Government		20,000,000		15,000,000	15,000,000	0	276,714
Total Cash Management Investment Pool		\$32,214,550		\$39,604,200	\$39,604,200	\$0	\$945,451

UTAH STATE UNIVERSITY
ENDOWMENT POOL
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule B-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
*Jul 2009	\$69,522,394	\$1,335,604	\$1,261,002	\$2,805,817	\$72,402,813	\$70,984,005	\$182,503	\$3,902	\$186,405	\$26,985	\$159,420
Aug 2009	72,402,813	1,152,580	1,061,612	1,431,414	73,925,195	73,164,004	152,425	4,053	156,478	8,335	148,143
Sep 2009	73,925,195	218,668	210,490	1,731,097	75,664,470	74,794,833	149,575	1,679	151,254	8,371	142,883
Oct 2009	75,664,470	1,229,071	681,682	(913,093)	75,298,766	75,481,618	138,165	4,146	142,311	8,998	133,313
Nov 2009	75,298,766	122,977	16,504	2,330,624	77,735,863	76,517,315	151,638	4,211	155,849	7,631	148,218
Dec 2009	77,735,863	205,083	26,486	696,075	78,610,535	78,173,199	408,437	4,437	412,874	8,741	404,133
Jan 2010	78,610,535	4,465,144	123,253	(1,553,402)	81,399,024	80,004,780	156,070	4,300	160,370	7,796	152,574
Feb 2010	81,399,024	236,306	141,269	1,216,705	82,710,766	82,054,895	153,130	3,975	157,105	7,109	149,996
Mar 2010	82,710,766	223,167	175,934	2,555,219	85,313,218	84,011,992	150,442	4,810	155,252	11,066	144,186
Apr 2010	85,313,218	1,667,417	311,644	594,616	87,263,607	86,288,413	428,381	4,552	432,933	7,819	425,114
May 2010	87,263,607	539,728	415,464	(3,915,562)	83,472,309	85,367,958	190,007	4,369	194,376	7,942	186,434
Jun 2010											

Comparative Totals:

Year-to-date											
FY 2009-10	\$69,522,394	\$11,395,745	\$4,425,340	\$6,979,510	\$83,472,309	\$78,803,910	\$2,260,773	\$44,434	\$2,305,207	\$110,793	\$2,194,414
FY 2008-09	73,605,460	19,144,586	10,860,927	(12,125,949)	69,763,170	66,907,215	2,028,109	(2,369,213)	(341,104)	100,585	(441,689)
Amt Change					13,709,139	11,896,695	232,664	2,413,647	2,646,311	10,208	2,636,103
% Change					19.65%	17.78%	11.47%	101.88%	775.81%	10.15%	-596.82%

Note: The Endowment Pool includes endowment funds designated for long-term investment. Included in this pool are endowment funds invested in the University's Cash Management Investment Pool (CMIP) consisting of \$30,979,626 principal beginning balance, a \$31,194,473 ending balance, and a \$31,036,085 average daily balance for the current month. Current month interest and dividends from the CMIP were \$128,035 bringing the total to \$1,086,758 year-to-date. These amounts have also been reported in Schedules A-1 and A-2.

*The July beginning fair value has been adjusted to reflect the amount distributed to expendable accounts at fiscal year end.

UTAH STATE UNIVERSITY
SUMMARY OF ENDOWMENT POOL TRANSACTIONS
For the Month of May 2010

Schedule B-2

Endowment Pool Transactions	Purchases		Sales			Earnings
	Shares	Cost	Shares	Cost	Receipts	Gain/(Loss)
Cash Management Investment Pool		\$371,031		\$156,183	\$156,183	\$0
Obligations of U.S. Government						
GNMA			35.290	36	36	0
Interest						2,549
Corporate Bonds and Notes						594
Bond Interest						
Equities						7,524
UTS SPDR Trust Ser 1 (SPY)						
Mutual Funds						
Multi-Strategy Bond Fund			71.404	827	979	152
Multi-Strategy Equity Fund			37.873	2,126	6,343	4,217
Alternatives						
Commonfund						
CNR VIII		22,500				
Solamere Capital						
Solamere Founders Fund I, LP		133,683		8,424	8,424	0
TIAA-CREF						
TIAA-CREF Core Property	16.132	5,657	0.200	516	516	0
Cash		3,777				3,778
Money Market Funds						
Institutional Cash #451						18
Morgan Stanley - Money Market		4		242,983	242,983	0
Cash						4
Wells Fargo - Custody		3,076				
Total Endowment Pool Transactions		\$539,728		\$411,095	\$415,464	\$4,369
						\$190,007

UTAH STATE UNIVERSITY
OTHER INVESTMENTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule C-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income
Jul 2009	\$1,900,219	\$873	\$757	\$40,279	\$1,940,614	\$1,920,417	\$1,719	\$0	\$1,719
Aug 2009	1,940,614	796	6,500	16,997	1,951,907	1,946,261	1,493	0	1,493
Sep 2009	1,951,907	13,913	1,043	28,177	1,992,954	1,972,431	2,991	(58)	2,933
Oct 2009	1,992,954	37,660	36,595	(15,596)	1,978,423	1,985,689	1,379	(1,456)	(77)
Nov 2009	1,978,423	12,485	9,935	34,096	2,015,069	1,996,746	1,307	(124)	1,183
Dec 2009	2,015,069	906,135	551,691	3,341	2,372,854	2,193,962	6,523	(2,814)	3,709
Jan 2010	2,372,854	6,515	359,682	(16,753)	2,002,934	2,187,894	1,285	4,799	6,084
Feb 2010	2,002,934	467	0	16,264	2,019,665	2,011,300	1,194	0	1,194
Mar 2010	2,019,665	1,310,082	365,044	55,439	3,020,142	2,519,904	6,976	(3,278)	3,698
53 Apr 2010	3,020,142	316,319	1,287,398	15,296	2,064,359	2,542,251	2,862	31,253	34,115
May 2010	2,064,359	11,203	11,906	(53,808)	2,009,848	2,037,104	1,930	(2,851)	(921)
Jun 2010									

Comparative Totals:

Year-to-date									
FY 2009-10	\$1,900,219	\$2,616,448	\$2,630,551	\$123,732	\$2,009,848	\$2,119,450	\$29,659	\$25,471	\$55,130
FY 2008-09	2,053,431	3,046,984	2,993,443	(205,955)	1,901,017	1,881,804	39,687	(20,427)	19,260
Amt Change					108,831	237,646	(10,028)	45,898	35,870
% Change					5.72%	12.63%	-25.27%	224.69%	186.24%

Note: Other Investments include donor designated and other specified investments.

UTAH STATE UNIVERSITY
SUMMARY OF OTHER INVESTMENT TRANSACTIONS
For the Month of May 2010

Schedule C-2
Page 1 of 1

	Purchases		Shares	Sales		Earnings
	Shares	Cost		Cost	Gain/(Loss)	
Corporate Bonds and Notes						
U.S. Treasury Bond						\$667
U.S. West Communications						60
Common and Preferred Stock						
Morgan Stanley Smith Barney						
Arcormittal New			77,000	\$3,593	\$2,507	(\$1,086)
Convergys Corp			50,000	652	536	(116)
Jones Lang Lasalle Inc			34,000	2,666	2,401	(265)
Joy Global Inc			38,000	2,376	1,765	(611)
Parker-Hannifin			38,000	2,678	2,265	(413)
Urban Outfitters			71,000	2,748	2,388	(360)
Utah Public Treasurers'						
Investment Fund		\$454				454
Mutual Funds						
TriCor						54
Metropolitan West Total Return Bond M						
Alternatives						
TriCor	73,117	695				695
Inland America Real Estate Trust						
vSpring		10,000				
Kickstart Seed Fund I, L.P.						
Cash				44	44	0
Morgan Stanley Smith Barney - Cash						
TriCor - Prime Fund - Capital Reserves Class		54				
Total Other Investments		\$11,203		\$14,757	\$11,906	\$1,930

UTAH STATE UNIVERSITY
ENDOWMENT TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule D-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2009	\$3,797,982	\$55,430	\$51,853	\$278,142	\$4,079,701	\$3,938,842	\$12,811	\$0	\$12,811	\$447	\$12,364
Aug 2009	4,079,701	18,749	20,192	190,226	4,268,484	4,174,093	10,299	(1,729)	8,570	150	8,420
Sep 2009	4,268,484	13,469	0	100,710	4,382,663	4,325,574	37,053	0	37,053	0	37,053
Oct 2009	4,382,663	265,257	253,971	(57,435)	4,336,514	4,359,589	14,129	121,566	135,695	500	135,195
Nov 2009	4,336,514	113,177	103,550	210,183	4,556,324	4,446,419	13,715	31,398	45,113	0	45,113
Dec 2009	4,556,324	24,456	0	(613)	4,580,167	4,568,246	12,362	0	12,362	0	12,362
Jan 2010	4,580,167	21,892	10,855	(56,199)	4,535,005	4,557,586	14,045	0	14,045	500	13,545
Feb 2010	4,535,005	293,762	325,709	(22,492)	4,480,566	4,507,786	14,565	43,850	58,415	0	58,415
Mar 2010	4,480,566	14,375	0	247,228	4,742,169	4,611,368	15,195	0	15,195	0	15,195
Apr 2010	4,742,169	11,383	500	8,226	4,761,278	4,751,724	15,360	0	15,360	500	14,860
May 2010	4,761,278	114,607	99,058	(396,863)	4,379,964	4,570,621	14,811	0	14,811	0	14,811
Jun 2010											

Comparative Totals:

Year-to-date											
FY 2009-10	\$3,797,982	\$946,557	\$865,688	\$501,113	\$4,379,964	\$4,437,440	\$174,345	\$195,085	\$369,430	\$2,097	\$367,333
FY 2008-09	5,163,877	822,546	813,534	(1,285,194)	3,887,695	4,274,234	192,933	(643)	192,290	11,628	180,662
Amt Change					492,269	163,206	(18,588)	195,728	177,140	(9,531)	186,671
% Change					12.66%	3.82%	-9.63%	30439.81%	92.12%	-81.97%	103.33%

Note: Endowment Trusts include externally managed endowment trusts.

UTAH STATE UNIVERSITY
SUMMARY OF ENDOWMENT TRUST INVESTMENT TRANSACTIONS
For the Month of May 2010

Schedule D-2

Endowment Trusts	Purchases		Shares	Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost		Cost				
Common and Preferred Stock								
BP Amoco PLC	2,000,000	\$98,658						\$13,768
Dividends								
Mutual Funds								
Wells Fargo Strategic Inc #89								485
Wells Fargo Strategic Inc #89								485
Money Market Funds								
Morgan Stanley - Money Market		15,949		98,658		98,658	\$0	7
Wells Fargo #451								
Wells Fargo #451								
Cash								
Wells Fargo				400		400	0	66
Morgan Stanley								
Total Endowment Trusts		\$114,607		\$99,058		\$99,058	\$0	\$14,811

UTAH STATE UNIVERSITY
PLANT FUND TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule E-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2009	\$22,951,558	\$5,086	\$360,378	\$14,624	\$22,610,890	\$22,831,878	\$13,585	\$0	\$13,585	\$0	\$13,585
Aug 2009	22,610,890	803,335	1,990,160	62,812	21,486,877	22,344,112	12,022	0	12,022	0	12,022
Sep 2009	21,486,877	1,216,167	3,055	(82,255)	22,617,734	22,129,749	11,259	0	11,259	0	11,259
Oct 2009	22,617,734	40,244	1,849,000	(17,636)	20,791,342	20,971,390	10,180	0	10,180	0	10,180
Nov 2009	20,791,342	5,495,851	3,525,027	(8,875)	22,753,291	21,046,100	10,231	188	10,419	0	10,419
Dec 2009	22,753,291	398,396	5,499,925	(39,085)	17,612,677	17,982,115	9,668	0	9,668	0	9,668
Jan 2010	17,612,677	13,593	934,670	31,304	16,722,904	17,503,812	9,334	0	9,334	0	9,334
Feb 2010	16,722,904	707	0	(6,037)	16,717,574	16,722,714	9,206	0	9,206	0	9,206
Mar 2010	16,717,574	780,375	1,720,016	(12,831)	15,765,102	16,034,922	9,473	0	9,473	0	9,473
Apr 2010	15,765,102	51,374	3,642,998	22,619	12,196,097	12,450,784	8,878	0	8,878	0	8,878
May 2010	12,196,097	981,863	533,900	29,758	12,673,818	12,413,590	8,993	0	8,993	0	8,993
Jun 2010											

Comparative Totals:

Year-to-date											
FY 2009-10	\$22,951,558	\$9,786,991	\$20,059,129	(\$5,602)	\$12,673,818	\$18,402,833	\$112,829	\$188	\$113,017	\$0	\$113,017
FY 2008-09	2,310,361	29,230,654	6,979,981	0	24,561,034	3,061,058	49,601	0	49,601	0	49,601
Amt Change					(11,887,216)	15,341,775	63,228	188	63,416	0	63,416
% Change					-48.40%	501.19%	127.47%	100.00%	127.85%	0.00%	127.85%

Note: Plant Fund Trusts include all debt service reserve accounts in compliance with bond issue covenants.

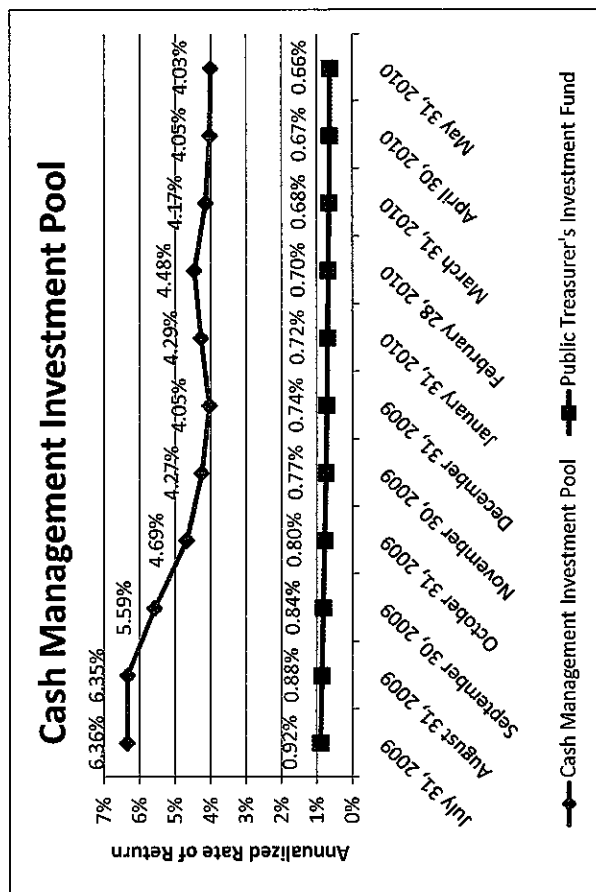
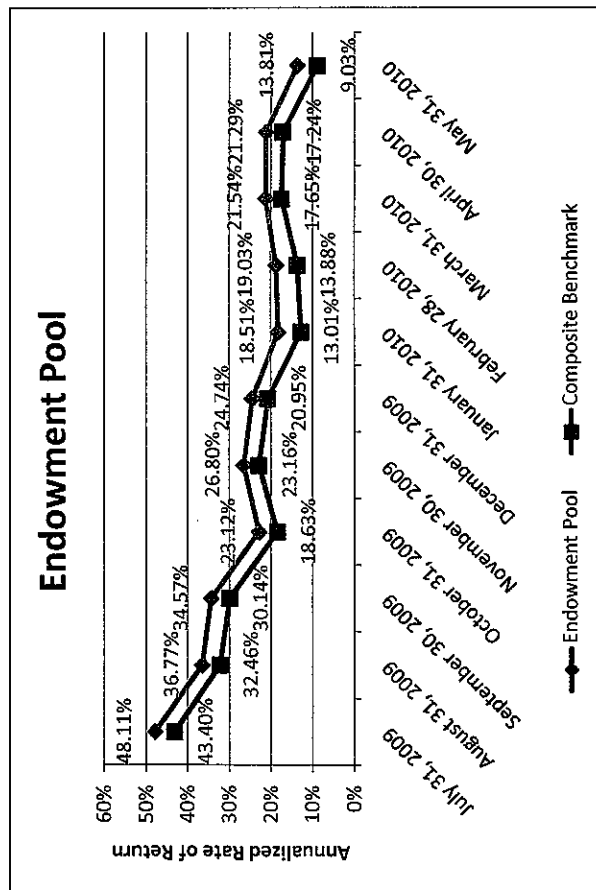
UTAH STATE UNIVERSITY
SUMMARY OF PLANT TRUST INVESTMENT TRANSACTIONS
For the Month of May 2010

Schedule E-2

Plant Trusts	Purchases		Sales		Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost		
Wells Fargo						
Heritage Money Market		\$590,005		\$533,720	\$0	\$88
Build America Bonds						8,499
Utah Public Treasurers' Investment Fund		391,858		180	0	406
Total Plant Trusts		\$981,863		\$533,900	\$0	\$8,993

Summary of Total Investment Returns
Endowment Pool and Cash Management Investment Pool
Fiscal Year-to-Date Annualized Rate of Return

Schedule F



ITEM FOR ACTION

RE: Delegation/Administrative Reports - 06/4/10 to 07/16/10

Utah State University has been given authority by the Division of Facilities Construction and Management (DFCM) to exercise direct supervision of the design and construction of all alterations, repairs, and improvements to existing facilities on individual projects with budgets of less than \$4 million. The supervision of new construction can also be delegated to USU on a project-by-project basis and can exceed the \$4 million amount. As part of the delegation authority, administrative reports are prepared by USU and presented to the Utah State Building Board at each of its meetings. The monthly format of USU's reports is similar to that of the DFCM's reports presenting professional and construction contracts issued during the reporting period, contingency and project reserves status, and a delegated projects list showing budget and status. On a quarterly basis, additional reports are prepared showing contingency fund cumulative transfers, a summary of the statewide (paving) account, and a construction contract status. The Board of Regents has transferred its authority to review the delegation/administrative reports to the Board of Trustees.

The reports have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The following is a summary of the administrative reports for USU for the period 06/04/10 to 07/16/10:

Professional Contracts, 2 contracts issued (Page 1)

Comments are provided on the report.

Construction Contracts, 13 contracts issued (Pages 2-3)

Comments are provided on the report.

Report of Contingency Reserve Fund (Page 4)

The contingency amounts for the FY11 delegated capital improvement projects have been added to the Contingency Reserve Fund. The list includes 11 new projects. The combined construction budgets for these 11 projects total \$3,632,451. An amount of \$181,624 has been added to the Contingency Reserve Fund. This amount represents 5.00% of the construction budgets for the FY11 projects.

Report of Project Reserve Fund Activity (Page 5)

Two projects closed adding \$11,648.86 to the Project Reserve Fund.

Current Delegated Projects List (Pages 6-7)

Of USU's 63 current projects, 12 are Complete, 6 Substantially Complete, 35 in Construction, 6 in the Design/Study phase, and 4 Pending.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the delegation/administrative reports for the current reporting period be approved as presented.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, the Utah Legislature appropriates state funds through the Division of Facilities Construction and Management to Utah State University for the purpose of capital improvements;

WHEREAS, the Division of Facilities Construction and Management has delegated its authority to exercise direct supervision of the design and construction of such capital improvements to Utah State University;

WHEREAS, non-state funded projects with budgets of less than \$4 million are also delegated to Utah State University;

WHEREAS, projects in excess of \$4 million can also be delegated to Utah State University with specific approval of the Utah State Building Board;

WHEREAS, Utah State University presents reports on the status of all its delegated projects to the Utah State Building Board on a regular basis;

WHEREAS, the State Board of Regents has transferred its authority to review the delegation/administrative reports to the Board of Trustees;

WHEREAS, the President and Vice President for Business and Finance have reviewed the delegation/administrative reports for the current reporting period and recommend approval of the reports to the Board of Trustees; and

WHEREAS, the Board of Trustees has reviewed and given due consideration to the delegation/administrative reports:

NOW, THEREFORE, BE IT RESOLVED that the USU Board of Trustees hereby approves the delegation/administrative reports as presented.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES

Date



Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Professional Contracts Awarded From 06/04/10 to 07/16/10

Contract Name	Firm Name	A/E Budget	Fee Amount	Comments
MISCELLANEOUS CONTRACTS				
1 Planning & Design Fund FY10	JSH Surveying	\$100,000.00	\$5,400.00	Survey/plat revisions 700 North to Spectrum
2 Health, Life Safety & Code Compliance Projects FY11	SL Fire Protection	\$7,080.00	\$4,632.00	5-year inspection of manual dry standpipes in various buildings

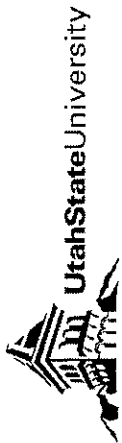


Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Construction Contracts Awarded From 06/04/10 to 07/16/10

Project	Firm Name	Design Firm	Const Budget	Contract Amt	Comments
1 NE Steam Line Phase IV FY11	Spindler Construction	USU Facilities Design and Construction	\$605,263.00	\$418,433.00	Extend underground steam distribution system from tennis courts to NFS building
2 Utah Botanical Center Classroom Building	Gramoll Construction	AJC Architects	\$2,143,000.00	\$201,800.00	Pre-construction phase of CM/GC agreement
3 CPD Playground	Bailey Construction	USU Facilities Design and Construction	\$104,000.00	\$103,765.00	
4 Steam Line Replacement to NFS	Spindler Construction	USU Facilities Design and Construction	\$840,000.00	\$103,390.00	Extend steam line to Facilities building
5 Miscellaneous Critical Improvements FY11	YESCO Electronics	USU Facilities Design and Construction	\$141,441.00	\$81,685.00	Old Main lighting replacement
6 Sidewalk Replacement FY11	Sorensen & Gnehm Construction	USU Facilities Design and Construction	\$134,475.00	\$35,800.00	Pre-construction phase of CM/GC agreement
7 Classroom Upgrades FY11	Tec Electric	USU Facilities Design and Construction	\$260,870.00	\$28,822.00	TSC Auditorium lighting removal
MISCELLANEOUS CONTRACTS					
8 Health, Life Safety & Code Compliance Projects FY11	Environmental Abatement		\$88,496.00	\$15,350.00	Ray B. West asbestos removal
9 Classroom Upgrades FY11	Environmental Abatement		\$260,870.00	\$4,480.00	BNR 278 asbestos removal
10 Classroom Upgrades FY11	Eagle Environmental		\$260,870.00	\$2,772.00	EL 250 asbestos removal

Project	Firm Name	Design Firm	Const Budget	Contract Amt	Comments
MISCELLANEOUS CONTRACTS (Continued)					
11 Classroom Upgrades FY10	Eagle Environmental		\$257,522.00	\$2,571.00	EL 248 and EL 252 asbestos removal
12 Classroom Upgrades FY11	Environmental Abatement		\$260,870.00	\$1,850.00	Family Life 109 asbestos removal
13 Health, Life Safety & Code Compliance Projects FY09	Eagle Environmental		\$181,818.00	\$567.00	NFS storeroom asbestos removal



Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Report of Contingency Reserve Fund

From 06/04/10 to 07/16/10

Project Title	Current Transfers	Total Transfers To (From) Contingency	% to Construction Budget	Project Status	% Completed (Paid)
BEGINNING BALANCE	\$560,225.82				
INCREASES TO CONTINGENCY RESERVE FUND					
Health, Life Safety & Code Compliance Projects FY11	4,424.00	4,424.00	5.00%		
Classroom Upgrades FY11	13,044.00	13,044.00	5.00%		
Family Life Window Replacement	28,800.00	28,800.00	5.00%		
Fire Protection (Various Buildings) FY11	26,205.00	26,205.00	5.00%		
Sign System FY11	2,253.00	2,253.00	5.00%		
NE Steam Line Phase IV FY11	30,263.00	30,263.00	5.00%		
Theater Stage Rigging	38,462.00	38,264.00	5.00%		
Medium Voltage Upgrade FY11	22,124.00	22,124.00	5.00%		
Campus Wide Bike Racks FY11	2,253.00	2,253.00	5.00%		
Sidewalk Replacement FY11	6,724.00	6,724.00	5.00%		
Miscellaneous Critical Improvements FY11	7,072.00	7,072.00	5.00%		
CPD Fire Alarm FY09 (Close project)	600.00	(18,378.07)	10.06%	Complete	100%
DECREASES TO CONTINGENCY RESERVE FUND					
Natural Resources Siding (Change order)	(5,547.00)	(5,547.00)	6.00%	Construction	41%
Campus Wide Medium Voltage Upgrade FY10 (Change order)	(2,874.54)	(7,846.93)	1.31%	Construction	43%
ENDING BALANCE	\$734,028.28				



Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Report of Project Reserve Fund Activity

From 06/04/10 to 07/16/10

Project Title	Transfer Amount	Description	% of Construction Budget
BEGINNING BALANCE	\$216,314.65		
INCREASES TO PROJECT RESERVE FUND			
NE Campus (NFS) Steam Line Replacement	7,962.21	Close project	1.86%
Tippetts Gallery Lighting/HVAC	3,686.65	Close project	1.41%
DECREASES TO PROJECT RESERVE FUND			
None			
ENDING BALANCE	\$227,963.51		



Current Delegated Projects List

07/16/10

Office of the Vice President for Business and Finance
1445 Old Main Hill
Logan, UT 84322-1445

Project Number	Project Name	Phase	Project Budget
CAPITAL DEVELOPMENT/IMPROVEMENT			
A21736	Equine Education Center	Construction	\$3,280,235 *
A07961	Building 86C/Wind Tunnel Replacement (Structures Lab)	Complete	871,522
A16967	Fume Hood Upgrades FY08	Complete	500,000
A16969	A/C & Controls Upgrade FY08	Partial Completion	426,740
A16878	Campus-wide Medium Voltage Upgrades	Substantial Completion	682,808
A16873	Fire Alarm Upgrades FY08	Partial Completion	282,051
A16881	CEP Chillers	Substantial Completion	1,494,504
A16876	Access Control/Security Systems Upgrades	Construction	188,800
A16877	CEP Electrical Feed	Equipment order	188,685
A16872	Tanner Fountain	Substantial Completion	314,752
A16971	Planning & Design Fund FY08	Design/Study	100,000
A16783	UWRL Hydraulics Laboratory	Complete	1,836,523
A18615	Steam Line Replacement to NFS	Construction	933,000
A18972	Planning & Design Fund FY09	Design/Study	100,000
A18973	Health, Life Safety & Code Compliance Projects FY09	Substantial Completion	194,092
A18728	Campus-wide Sidewalk Replacements	Construction	321,775
A18974	Business Building Cladding	Construction	422,158
A18975	Water Lab Fire Lane Access/Bridges	Construction	919,947
A18976	Water Lab Fume Hood Upgrade	Construction	1,392,982
A18978	Tippett's Gallery Lighting/HVAC	Complete	859,750
A18980	CPD Fire Alarm FY09	Complete	152,735
A18981	Natural Resources Siding	Construction	100,000
A18982	Campus-wide Benches/Trash Receptacles/Urns	Construction	118,038
A18984	Eccles Conference Center/Business Walkways	Construction	220,787
A18985	Miscellaneous Critical Improvements FY09	Construction	196,193
A18363	Tooele Classrooms Addition	Complete	3,603,136
A19843	TSC 3rd Floor HVAC Remodel	Complete	619,722
A20091	Military Science Renovation (Psychology Teaching Lab/1st & 2nd Floors)	Complete	182,959
A19028	Roosevelt Child Lab/Bookstore Remodel	Complete	305,765
A20143	SER Observatory	Substantial Completion	265,347
A20520	USTAR Biofuels Outdoor Facility (Innovation Campus)	Complete	795,976

A20557	Campus-wide Medium Voltage Upgrade FY10	Construction	621,903
A20794	Ray B. West Window Replacement	Construction	768,439
A20856	Miscellaneous Critical Improvements FY10	Construction	392,246
A20857	Planning & Design Fund FY10	Design/Study	100,000
A20858	Health, Life Safety & Code Compliance Projects FY10	Construction	107,142
A20859	Classroom Upgrades FY10	Construction	298,903
A20860	HPER Office/Classroom Upgrade	Construction	220,282
A20861	Fine Arts Complex Safety Repairs	Construction	429,175
A20862	Building Recommissioning	Construction	236,607
A20863	Campus Wide Bike Racks	Construction	55,000
A20864	Business MCC and E-Panel	Construction	94,546
A20865	NE Campus (NFS) Steam Line Replacement	Complete	492,038
A20736	Spectrum Locker Rooms Remodel	Complete	490,657
A21088	Utah Botanical Center Wetland Lab ARRA Improvements	Construction	360,000
A20593	Utah Botanical Center Classroom Building	Construction	2,860,656
A22304	Utah Botanical Center Riparian Stream System	Design	100,000
A22269	Motor Pool Interior Remodel	Construction	141,303
A22986	Merlin Olsen Monument	Construction	250,000
A22907	Planning and Design Fund FY11	Pending	75,000
A22908	Health, Life Safety & Code Compliance Projects FY11	Construction	95,576
A22909	Classroom Upgrades FY11	Construction	396,566
A22906	Family Life Window Replacement	Construction	668,862
A22910	Fire Protection (Various Buildings) FY11	Pending	573,795
A22911	Sign System FY11	Pending	47,747
A22912	NE Steam Line Phase IV FY11	Construction	669,737
A22913	Theater Stage Rigging	Design	861,538
A22914	Medium Voltage Upgrade FY11	Pending	477,876
A22915	Campus Wide Bike Racks FY11	Pending	47,747
A22916	Sidewalk Replacement FY11	Construction	143,276
A22917	Miscellaneous Critical Improvements FY11	Construction	149,928
A23116	CPD Playground (NEW PROJECT)	Construction	115,000
PAVING (STATEWIDE)			
A08076	Aggie Village Road & Parking Lot	Design	450,000
A08076	Miscellaneous Paving	Substantial Completion	8,540
TOTAL (63)			<u>\$34,671,067</u>

* Project management delegated to USU.

13 August 2010

ITEM FOR ACTION

RE: Contract/Grant Proposals and Awards (May, 2010)

The summary of the Status of Sponsored Program Awards, prepared by our Sponsored Programs Office for May, 2010, is submitted for the Trustees' consideration. They have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The awards for the month of May, 2010 amounted to \$17,849,475 versus \$6,452,990 for May, 2009. The current year's May awards figure was (177%) greater than the May, 2009 figure.

The comparative graph, "Utah State University Sponsored Program Awards" indicates that May, 2010 cumulative awards were (25.3%) greater than last year for the same time period. Scholarships, fellowships, and state appropriations for research are not included in either figure.

The value of proposals submitted by faculty increased from \$31,223,765 in May, 2009 to \$76,897,625 during May, 2010. (+146.3%) The number of current year proposals (1,446) is more than FY2009 (1,283).

RECOMMENDATION

The President and Vice President for Research recommend that the Board of Trustees approve the contract and grant status report for May, 2010.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The attached lists of contract/grant proposals and awards (May, 2010) are recommended by the President and the Vice President for Research to the Board of Trustees:

NOW THEREFORE, BE IT NOW RESOLVED, That the USU Board of Trustees hereby approves the recommendation of the President and the Vice President for Research.

RESOLUTION APPROVED BY BOARD OF TRUSTEES:

Date

UTAH STATE UNIVERSITY
SPONSORED PROGRAMS OFFICE
AWARDS BY COLLEGE
FOR PERIOD: MAY 2010

FY 2009/2010

COLLEGE	CURRENT MONTH						CUMULATIVE TOTALS					
	AWARDS FY 2008/2009	AWARDS FY 2009/2010	TOTAL \$ CHANGE	# 08/09	# 09/10	TOT CHG	AWARDS FY 2008/2009	AWARDS FY 2009/2010	TOTAL \$ CHANGE	# 08/09	# 09/10	TOT CHG
AGRICULTURE	\$ 945,299.00	\$ 405,699.00	\$ (539,600.00)	17	7	(10)	\$ 14,825,118.95	\$ 18,941,617.96	\$ 4,116,499.01	136	140	4
BUSINESS	\$ -	\$ -	\$ -	-	-	-	\$ 1,014,000.00	\$ 230,548.00	\$ (783,452.00)	2	4	2
EDUCATION	\$ 807,473.00	\$ 6,031,876.67	\$ 5,224,403.67	5	15	10	\$ 15,614,654.26	\$ 24,145,216.97	\$ 8,530,562.71	144	161	17
ENGINEERING	\$ 371,617.00	\$ 449,502.00	\$ 77,885.00	10	9	(1)	\$ 11,195,412.95	\$ 15,204,862.25	\$ 4,009,449.30	198	209	11
HASS	\$ 6,079.00	\$ 125,488.00	\$ 119,409.00	2	5	3	\$ 2,891,280.92	\$ 1,038,671.60	\$ (1,852,609.32)	26	28	2
NAT. RESOURCES	\$ 509,752.42	\$ 959,350.00	\$ 449,597.58	11	16	5	\$ 4,695,607.81	\$ 8,987,777.93	\$ 4,292,170.12	101	142	41
SCIENCE	\$ 609,246.00	\$ 868,695.00	\$ 259,449.00	11	13	2	\$ 6,587,752.83	\$ 9,296,065.39	\$ 2,708,312.56	84	94	10
MISCELLANEOUS	\$ 760,724.66	\$ 150,885.00	\$ (609,839.66)	19	10	(9)	\$ 6,059,414.71	\$ 7,136,338.88	\$ 1,076,924.17	154	165	11
USURF TOTALS	\$ 2,442,799.05	\$ 8,857,979.80	\$ 6,415,180.75	11	22	11	\$ 47,853,890.09	\$ 53,786,300.55	\$ 5,932,410.46	179	225	46
GRAND TOTAL	\$ 6,452,990.13	\$ 17,849,475.47	\$ 11,396,485.34	86	97	11	\$ 110,737,132.52	\$ 138,767,399.53	\$ 28,030,267.01	1,024	1,168	144

PERCENTAGE
CHANGE :
DOLLARS
MAY 2008 to 2009
176.61%

NUMBERS
MAY 2008 to 2009
12.79%

TOTAL DOLLARS
FY 08/09 to FY 09/10
25.31%

TOTAL NUMBERS
FY 08/09 to 09/10
14.06%

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/FOT funds.

: The Miscellaneous line includes the Cooperative Extension, Information Technology, Learning Resources, Life Span Learning, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community Relations, VP for Administrative Affairs, VP for Research.

Selected List of Awards Greater than \$1,000,000 from 05-01-2010 to 05-31-2010

Filters Applied*: No Filter

Award # 1

Control Number	05-1322	Agency	1,735,000.00
Funding Agency	Department of Health and Human Services	USU	0.00
Flow Through Agency	None	Total	1,735,000.00
Department	COMMUNICATIVE DISORDERS & DEAF EDUC		
College	College Of Education & Human Servic		
Admin. Center	University Research and Training		
Research Function	Public Service		
Principal Investigator(s)	Karl WHITE;		
Co-PI(s)			
Period of Performance	04-01-2005 -- 03-31-2011		
Original Award Date	04-07-2005		
Change/Mod Effective Date	05-13-2010		
Program Name	NATIONAL RESOURCE CENTER FOR EARLY HEARING DETECTION AND INTERVENTION PROGRAMS		
Statement	THIS PROJECT WILL ESTABLISH A NATIONAL RESOURCE CENTER FOR EARLY HEARING DETECTION AND INTERVENTION (EHDI) PROGRAMS TO ASSIST STATES IN IMPLEMENTING UNIVERSAL NEWBORN HEARING SCREENING PROGRAMS THAT ARE EFFECTIVELY LINKED WITH DIAGNOSTIC AND EARLY INTERVENTION SERVICES, MEDICAL HOMES, FAMILY SUPPORT PROGRAMS, AND DATA MANAGEMENT AND TRACKING SERVICES.		

Award # 2

Control Number	070414	Agency	1,612,612.00
Funding Agency	Utah Office of Education	USU	0.00
Flow Through Agency	None	Total	1,612,612.00
Department	EDITH BOWEN SCHOOL		
College	College Of Education & Human Servic		
Admin. Center	University Research and Training		
Research Function	Public Service		
Principal Investigator(s)	Janet ADAMS;		
Co-PI(s)	Susan MCCORMICK;		
Period of Performance	07-01-2009 -- 06-30-2011		
Original Award Date	04-25-2007		
Change/Mod Effective Date	05-05-2010		
Program Name	EBLS CHARTER SCHOOL FUND		
Statement	THESE FUNDS ARE APPROPRIATED THROUGH THE STATE FOR THE ON GOING OPERATION OF THE EDITH BOWEN LABORATORY SCHOOL, A PUBLIC CHARTER SCHOOL, SANCTIONED BY THE UTAH STATE OFFICE OF EDUCATION. TO SUPPORT THE GENERAL EXPENSES OF EDITH BOWEN LAB SCHOOL.		

Award # 3

Control Number	070688	Agency	1,650,524.00
Funding Agency	University of Utah	USU	0.00
Flow Through Agency	National Institutes of Health (NIH)	Total	1,650,524.00
Department	CENTER FOR PERSONS WITH DISABILITIES		
College	College Of Education & Human Services		
Admin. Center	Center for Persons with Disabilities		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Mark INNOCENTI;		
Co-PI(s)	Vonda JUMP;		
Period of Performance	09-28-2007 -- 09-30-2010		
Original Award Date	10-17-2007		
Change/Mod Effective Date	05-06-2010		
Program Name	NATIONAL CHILDREN'S STUDY--CACHE VALLEY SECONDARY SITE (SUBCONTRACT W/UNIVERSITY OF UTAH MEDICAL CENTER)		
Statement	THE NATIONAL CHILDREN'S STUDY (NCS) IS A PROJECTED 25-YEAR NATIONAL STUDY OF CHILD HEALTH AND DEVELOPMENT FROM THE PRECONCEPTION TO AGE 21. THIS CONTRACT FOR THE SECONDARY SITE FOR CACHE VALLEY WILL BE ADMINISTERED THROUGH THE EIRI AT USU IN COLLABORATION WITH THE VANGUARD SITE U OF U MEDICAL SCHOOL.		

Award # 4

Control Number	08S044003	Agency	4,691,035.00
Funding Agency	Naval Research Lab	USU	0.00
Flow Through Agency	Naval Research Lab	Total	4,691,035.00
Department	ELECTRICAL & COMPUTER ENGINEERING		
College	College of Engineering		
Admin. Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Darin PARTRIDGE;		
Co-PI(s)			
Period of Performance	05-12-2010 -- 05-12-2012		
Original Award Date	06-01-2010		
Change/Mod Effective Date	05-12-2010		
Program Name	Advanced Ground, Air, Space, Systems Integration (AGASSI) Task Order 3		
Statement	The Naval Research Laboratory (MRC) has a requirement for research support in areas of Advanced Ground, Air, Space, Systems Integration (AGASSI) including software and advanced technologies including digital processing, compression, and control, analog systems, power, communications, command and telemetry, radio frequency/optical sensor payloads and electromechanical systems/support. SDL will provide the support to MRC.		

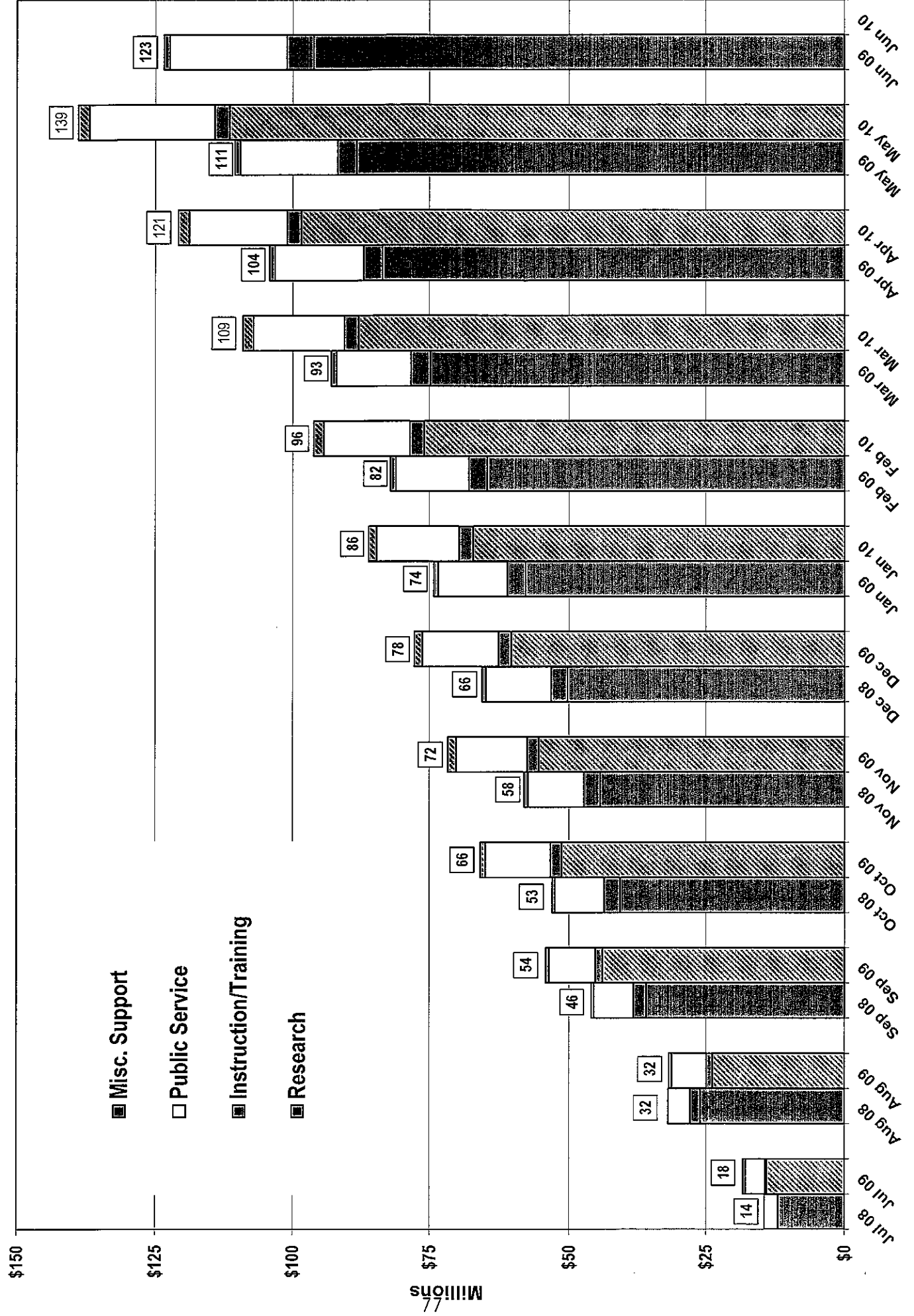
Award # 5

Control Number	09S070004	Agency	1,998,396.00
Funding Agency	Air Force Space and Missiles Command	USU	0.00
Flow Through Agency	Air Force	Total	1,998,396.00
Department	ELECTRICAL & COMPUTER ENGINEERING		
College	College of Engineering		
Admin. Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Pat PATTERSON;		
Co-PI(s)			
Period of Performance	03-01-2010 -- 09-02-2010		
Original Award Date	03-02-2010		
Change/Mod Effective Date	05-03-2010		
Program Name	Space and Missile Command Subcontract to Northrop Grumman		
Statement	Utah State University Research Foundation will administer a subcontract with Northrop Grumman Electronic Systems to perform critical tasks related to the development of the Commercially Hosted Infrared Payload (CHIRP) Mission Data Processing (MDP) system.		

Agency Total	11,687,567.00
USU Total	0.00
Grand Total	11,687,567.00

* Only awards from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Keith Paskett: Keith.Paskett@usurf.usu.edu.

Utah State University Sponsored Program Awards FY 2008, FY 2009



UTAH STATE UNIVERSITY
SPONSORED PROGRAMS OFFICE
PROPOSALS BY COLLEGE
FOR PERIOD: MAY 2010

FY 2009/2010

COLLEGE	CURRENT MONTH						CUMULATIVE TOTALS					
	PROPOSALS FY 2008/2009	PROPOSALS FY 2009/2010	TOTAL \$ CHANGE	# 08/09	# 09/10	TOT CHG	PROPOSALS FY 2008/2009	PROPOSALS FY 2009/2010	TOTAL \$ CHANGE	# 08/09	# 09/10	TOT CHG
AGRICULTURE	\$ 8,058,385.14	\$ 2,975,106.62	\$ (5,083,278.52)	15	18	3	\$ 32,800,679.14	\$ 38,639,922.19	\$ 5,839,243.05	152	161	9
BUSINESS	\$ 194,848.00	\$ 399,020.15	\$ 204,172.15	1	1	-	\$ 224,848.00	\$ 777,462.15	\$ 552,614.15	2	5	3
EDUCATION	\$ 3,046,937.15	\$ 38,142,733.30	\$ 35,095,796.15	14	39	25	\$ 47,003,327.03	\$ 103,073,231.34	\$ 56,069,904.31	219	228	9
ENGINEERING	\$ 2,225,364.25	\$ 2,967,650.42	\$ 742,286.17	18	18	-	\$ 50,538,848.60	\$ 71,465,480.75	\$ 20,926,632.15	335	316	(19)
HASS	\$ 53,528.37	\$ 10,000.00	\$ (43,528.37)	2	1	(1)	\$ 4,445,285.42	\$ 4,638,073.66	\$ 192,788.24	42	40	(2)
NAT. RESOURCES	\$ 773,024.00	\$ 988,126.51	\$ 215,102.51	15	16	1	\$ 9,322,545.38	\$ 19,015,049.35	\$ 9,692,503.97	128	173	45
SCIENCE	\$ 5,492,595.06	\$ 4,947,252.00	\$ (545,343.06)	15	19	4	\$ 61,573,976.48	\$ 91,804,358.49	\$ 30,230,382.01	155	188	33
MISCELLANEOUS	\$ 6,459,489.28	\$ 957,887.36	\$ (5,501,601.92)	14	15	1	\$ 22,413,168.93	\$ 29,539,544.58	\$ 7,126,375.65	160	148	(12)
USURF TOTALS	\$ 4,919,594.00	\$ 25,509,848.94	\$ 20,590,254.94	8	19	11	\$ 99,908,445.43	\$ 233,433,832.28	\$ 133,525,386.85	90	187	97
GRAND TOTAL	\$ 31,223,765.25	\$ 76,897,625.30	\$ 45,673,860.05	102	146	44	\$ 328,231,124.41	\$ 592,386,954.79	\$ 264,155,830.38	1,283	1,446	163

PERCENTAGE CHANGE :	DOLLARS MAY 2008 to 2009 146.28%	NUMBERS MAY 2008 to 2009 43.14%	TOTAL DOLLARS FY 08/09 to FY 09/10 80.48%	TOTAL NUMBERS FY 08/09 to 09/10 12.70%
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Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/FOT funds.

: The Miscellaneous line includes the Cooperative Extension, Information Technology, Learning Resources, Life Span Learning, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community Relations, VP for Administrative Affairs, VP for Research.

: Beginning July 2009 the USU Sponsored Programs Office migrated from the CAMS database to the new PARC database. This transition affects the reporting of proposals by removing, from the monthly reports, "Continuation" proposals containing funds that have been included in the "Original" proposal of a project. This change will eliminate duplicate reporting of proposed funding in subsequent years of that project. All funding requests not included in the "Original" proposal of a project will be classified as "Supplemental" proposals and will be included in the monthly reports.

Selected List of Proposals Greater than \$1,000,000 from 05-01-2010 to 05-31-2010

Filters Applied*: No Filter

Proposal # 1: Augmentation

Control Number	05-1322	Agency	9,500,000.00
Proposal ID	T00028109 Revision: 0	USU	0.00
Funding Agency	Maternal and Child Health Bureau	Other	0.00
Department	COMMUNICATIVE DISORDERS & DEAF EDUC	Total	9,500,000.00
College	College Of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Public Service		
Principal Investigator(s)	Karl R WHITE;		
Co-PI(s)			
Period of Performance	04-01-2010 -- 03-31-2011		
Proposal Date	05-13-2010		
Program Name	NATIONAL RESOURCE CENTER FOR EARLY HEARING DETECTION AND INTERVENTION PROGRAMS		
Statement	THIS PROJECT WILL ESTABLISH A NATIONAL RESOURCE CENTER FOR EARLY HEARING DETECTION AND INTERVENTION (EHDI) PROGRAMS TO ASSIST STATES IN IMPLEMENTING UNIVERSAL NEWBORN HEARING SCREENING PROGRAMS THAT ARE EFFECTIVELY LINKED WITH DIAGNOSTIC AND EARLY INTERVENTION SERVICES, MEDICAL HOMES, FAMILY SUPPORT PROGRAMS, AND DATA MANAGEMENT AND TRACKING SERVICES.		

Proposal # 2: Augmentation

Control Number	061238	Agency	462,900.00
Proposal ID	T00028183 Revision: 0	USU	469,627.00
Funding Agency	Department of Transportation	Other	193,501.00
Department	CIVIL & ENVIRONMENTAL ENGINEERING	Total	1,126,028.00
College	College of Engineering		
Research Center	Utah Transportation Center		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Kevin WOMACK;		
Co-PI(s)			
Period of Performance	07-01-2010 -- 06-30-2011		
Proposal Date	05-27-2010		
Program Name	FEDERAL FUNDING OF A TIER II UNIVERSITY TRANSPORTATION CENTER		
Statement	RESEARCH AND INSTRUCTIONAL ACTIVITIES ASSIST THE STATE OF UTAH TO BE MORE EFFICIENT AND PROGRESSIVE IN THE OPERATIONS AND MANAGEMENT OF ITS SURFACE TRANSPORTATION SYSTEM, INCLUDING TRANSIT.		

Proposal # 3: Augmentation

Control Number	070414	Agency	1,612,612.00
Proposal ID	T00028066 Revision: 0	USU	0.00
Funding Agency	Office of Education	Other	0.00
Department	EDITH BOWEN SCHOOL	Total	1,612,612.00
College	College Of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Public Service		
Principal Investigator(s)	Janet ADAMS;		
Co-PI(s)			
Period of Performance	07-01-2010 -- 06-30-2011		
Proposal Date	05-05-2010		
Program Name	EBLS CHARTER SCHOOL FUND		
Statement	THESE FUNDS ARE APPROPRIATED THROUGH THE STATE FOR THE ON GOING OPERATION OF THE EDITH BOWEN LABORATORY SCHOOL, A PUBLIC CHARTER SCHOOL, SANCTIONED BY THE UTAH STATE OFFICE OF EDUCATION. TO SUPPORT THE GENERAL EXPENSES OF EDITH BOWEN LAB SCHOOL.		

Proposal # 4: Augmentation

Control Number	070688	Agency	1,650,524.00
Proposal ID	T00028063 Revision: 0	USU	0.00
Funding Agency	University of Utah	Other	0.00
Department	CENTER FOR PERSONS WITH DISABILITIE	Total	1,650,524.00
College	College Of Education & Human Servic		
Research Center	Center for Persons with Disabilitie		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Mark S. INNOCENTI;		
Co-PI(s)			
Period of Performance	02-01-2010 -- 09-30-2010		
Proposal Date	05-04-2010		
Program Name	NATIONAL CHILDREN'S STUDY--CACHE VALLEY SECONDARY SITE (SUBCONTRACT W/UNIVERSITY OF UTAH MEDICAL CENTER)		
Statement	THE NATIONAL CHILDREN'S STUDY (NCS) IS A PROJECTED 25-YEAR NATIONAL STUDY OF CHILD HEALTH AND DEVELOPMENT FROM THE PRECONCEPTION TO AGE 21. THIS CONTRACT FOR THE SECONDARY SITE FOR CACHE VALLEY WILL BE ADMINISTERED THROUGH THE EIRI AT USU IN COLLABORATION WITH THE VANGUARD SITE U OF U MEDICAL SCHOOL.		

Proposal # 5: New

Control Number	100980	Agency	1,234,187.00
Proposal ID	T00028050 Revision: 0	USU	0.00
Funding Agency	University of Cincinnati	Other	0.00
Department	COMMUNICATIVE DISORDERS & DEAF EDUC	Total	1,234,187.00
College	College Of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Jamison Dunn FARGO;		
Co-PI(s)			
Period of Performance	10-01-2010 -- 09-30-2011		
Proposal Date	05-03-2010		
Program Name	STEM Transformative Professional Development		
Statement	Purpose of this project is to evaluate the effectiveness of a large-scale professional development program for teachers of math and science in middle and high schools compared to teachers and students in control schools.		

Proposal # 6: New

Control Number	101007	Agency	1,820,064.00
Proposal ID	T00028090 Revision: 0	USU	0.00
Funding Agency	Waterford Research Institute	Other	0.00
Department	CENTER FOR PERSONS WITH DISABILITIE	Total	1,820,064.00
College	College Of Education & Human Servic		
Research Center	Center for Persons with Disabilitie		
Research Function	Sponsored Research-Applied		
Principal Investigator(s)	Mark S. INNOCENTI;		
Co-PI(s)			
Period of Performance	10-01-2010 -- 09-30-2011		
Proposal Date	05-11-2010		
Program Name	WORKING WITH UTAH SCHOOL DISTRICTS TO SCALE UPSTART: EVALUATION SUBCONTRACT		
Statement	UPSTART IS A HOME-BASED, COMPUTER-DELIVERED PROGRAM TO BUILD EARLY READING SKILLS IN PRESCHOOL-AGED CHILDREN. THE CURRENT PROJECT WILL EVALUATE A SCALE UP OF UPSTART IN SEVEN UTAH SCHOOL DISTRICTS. A QUASI-EXPERIMENTAL DESIGN STUDY WILL EXAMINE IMPACTS IN PRESCHOOL AND ACROSS THE EARLY GRADES.		

Proposal # 7: New

Control Number	101008	Agency	15,282,720.00
Proposal ID	T00028092 Revision: 0	USU	0.00
Funding Agency	Department of Education	Other	3,820,683.00
Department	COMMUNICATIVE DISORDERS & DEAF EDUC	Total	19,103,403.00
College	College Of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Linda D GOETZE;		
Co-PI(s)	DAMON CANN; Diane D BEHL;		
Period of Performance	10-01-2010 -- 09-30-2011		
Proposal Date	05-11-2010		
Program Name	New Mexico K-3 Plus Extended School Year Validation Study		
Statement	The proposed project is for a randomized control trial (RCT) to conduct a cost-effectiveness analysis (CEA) of an extended school year (ESY) intervention known as the New Mexico K-3 Plus Program. The intervention is a targeted approach to educational reform and provides significantly more time for students in Kindergarten to third grade to learn core academic content by expanding the school year.		

Proposal # 8: New

Control Number	101016	Agency	1,456,047.21
Proposal ID	T00028100 Revision: 0	USU	0.00
Funding Agency	Department of Education	Other	365,255.88
Department	CENTER FOR PERSONS WITH DISABILITIE	Total	1,821,303.09
College	College Of Education & Human Servic		
Research Center	Center for Persons with Disabilitie		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Margaret M LUBKE;		
Co-PI(s)			
Period of Performance	10-01-2010 -- 09-30-2011		
Proposal Date	05-12-2010		
Program Name	Academic Growth & Retention Consultant: Securing the Future of High Need Students		
Statement	This project will develop an online intervention that provides expert system tools for educators to identify students at risk for dropping out; identify and select goals and appropriate, research-based interventions and programs; organize students and report on services; and locate training materials for educators and parents.		

Proposal # 9: New

Control Number	101081	Agency	2,994,794.00
Proposal ID	T00028182 Revision: 0	USU	0.00
Funding Agency	National Science Foundation	Other	0.00
Department	GEOLOGY	Total	2,994,794.00
College	College of Science		
Research Center	Ag Experiment Station		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	John W SHERVAIS;		
Co-PI(s)	Jeanette M NORTON; Kimberly A SULLIVAN; Nancy O MESNER;		
Period of Performance	07-01-2011 -- 06-30-2016		
Proposal Date	05-27-2010		
Program Name	EARTH SYSTEMS SCIENCE: ENHANCING K12 SCIENCE EDUCATION AND CULTIVATING SENSE OF PLACE		
Statement	This project seeks to determine if the Earth Systems Science paradigm is effective in teaching and recruiting 8-9th grade students into the sciences, using Graduate Teaching Fellow to demonstrate science in action.		

Proposal # 10: New

Control Number	S00000306	Agency	9,010,407.00
Proposal ID	T00000654 Revision: 0	USU	0.00
Funding Agency	Independent Energy Partners	Other	0.00
Department	CIVIL & ENVIRONMENTAL ENGINEERING	Total	9,010,407.00
College	College of Engineering		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Paul ISRAELSEN;		
Co-PI(s)			
Period of Performance	07-01-2010 -- 05-30-2015		
Proposal Date	05-01-2010		
Program Name	Independent Energy Partners (IEP)		
Statement	The Energy Dynamics Lab will extract oil using the geothermic fuel cell (GFC) approach by inserting solid oxide fuel cells (SOFC) into boreholes in oil shale formations for the purpose of heating the formation and then extracting the oil and gas through adjacent collection wells. The electricity generated by the GFC is a byproduct beneficial to the economics of the extraction project; high-quality ?waste heat? produced by the fuel cells is used to heat the oil shale or other hydrocarbon formation.		

Proposal # 11: New

Control Number	S00000497	Agency	2,110,119.00
Proposal ID	T00000864 Revision: 0	USU	0.00
Funding Agency	Air Force Research Laboratory	Other	0.00
Department	ELECTRICAL & COMPUTER ENGINEERING	Total	2,110,119.00
College	College of Engineering		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Chad FISH;		
Co-PI(s)			
Period of Performance	09-01-2010 -- 06-30-2013		
Proposal Date	05-18-2010		
Program Name	Space Situational Awareness Environmental Monitoring (SSAEM) Sensor Risk Reduction Langmuir & Impedance Probe Sensor Suite (LIPSS)(probes)		
Statement	The Space Dynamics Laboratory will increase the accuracy of plasma density and temperature measurements through improvements in instrumentation electronics, calibration methods, and data analysis techniques in order to deliver a consistent calibrated data stream from the instrument suite for operational purposes		

Proposal # 12: New

Control Number	S00000498	Agency	5,366,605.00
Proposal ID	T00000865 Revision: 0	USU	0.00
Funding Agency	Air Force Research Laboratory	Other	0.00
Department	ELECTRICAL & COMPUTER ENGINEERING	Total	5,366,605.00
College	College of Engineering		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Chad FISH;		
Co-PI(s)			
Period of Performance	09-01-2010 -- 06-30-2013		
Proposal Date	05-18-2010		
Program Name	Space Situational Awareness Environmental Monitoring (SSAEM) IDEA (Ion)		
Statement	The Space Dynamics Laboratory will develop a sensor for complete in situ measurement of neutral winds, temperature, density, and composition of the thermosphere to significantly improve the performance and reliability of thermosphere sensor technology.		

Proposal # 13: New

Control Number	S00000505	Agency	5,171,413.00
Proposal ID	T00000874 Revision: 0	USU	0.00
Funding Agency	Missile Defense Agency	Other	0.00
Department	ELECTRICAL & COMPUTER ENGINEERING	Total	5,171,413.00
College	College of Engineering		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Deon R. DIXON;		
Co-PI(s)			
Period of Performance	06-01-2010 -- 09-30-2011		
Proposal Date	05-24-2010		
Program Name	Characterization of the Raytheon Multi-Spectral Targeting System (MTS-B)		
Statement	The Space Dynamics Laboratory (SDL) will provide characterization and testing for MDA's Multi-Spectral Targeting System (MTS-B). The MTS-B sensor package is designed and built by Raytheon to be flown on an Unmanned Aerial Vehicle (UAV) for target acquisition and high altitude tracking for missile defense systems.		

Proposal # 14: New

Control Number	S00000519	Agency	2,123,748.00
Proposal ID	T00000891 Revision: 0	USU	0.00
Funding Agency	Air Force Research Laboratory	Other	0.00
Department	ELECTRICAL & COMPUTER ENGINEERING	Total	2,123,748.00
College	College of Engineering		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Chad FISH;		
Co-PI(s)			
Period of Performance	09-01-2010 -- 06-30-2013		
Proposal Date	05-18-2010		
Program Name	Space Situational Awareness Environmental Monitoring (SSAEM) E-field, Langmuir & Impedance Probe Sensor Suite (ELIPPS)		
Statement	The Space Dynamics Laboratory will develop a robust and reliable instrument suite to address observations of electric fields, plasma density, and temperature by increasing the reliability of miniature electric field double probes and Langmuir probes already developed for CubeSat missions and developing a miniaturized impedance probe and floating potential probe to be accommodated in a CubeSat form factor.		

Agency Total	59,796,140.21
USU Total	469,627.00
Other Total	4,379,439.88
Grand Total	64,645,207.09

* Only proposals from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Keith Paskett:

Ketih.Paskett@usurf.usu.edu.

13 August 2010

ITEM FOR ACTION

RE: Contract/Grant Proposals and Awards (June, 2010)

The summary of the Status of Sponsored Program Awards, prepared by our Sponsored Programs Office for June, 2010, is submitted for the Trustees' consideration. They have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The awards for the month of June, 2010 amounted to \$16,077,946 versus \$12,721,200 for June, 2009. The current year's June awards figure was (26.4%) more than the June, 2009 figure.

The comparative graph, "Utah State University Sponsored Program Awards" indicates that June, 2010 cumulative awards were (25.4%) higher than last year for the same time period. Scholarships, fellowships, and state appropriations for research are not included in either figure.

The value of proposals submitted by faculty decreased from \$53,273,972 in June 2009 to \$34,871,091 during June, 2010. (-34.5%) The number of current year proposals (1,525) is greater than FY2009 (1,458).

RECOMMENDATION

The President and Vice President for Research recommend that the Board of Trustees approve the contract and grant status report for June, 2010.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The attached lists of contract/grant proposals and awards (June, 2010) are recommended by the President and the Vice President for Research to the Board of Trustees:

NOW THEREFORE, BE IT NOW RESOLVED, That the USU Board of Trustees hereby approves the recommendation of the President and the Vice President for Research.

RESOLUTION APPROVED BY BOARD OF TRUSTEES:

Date

UTAH STATE UNIVERSITY
SPONSORED PROGRAMS OFFICE
AWARDS BY COLLEGE
FOR PERIOD: JUNE 2010

FY 2009/2010

COLLEGE	CURRENT MONTH						CUMULATIVE TOTALS					
	AWARDS FY 2008/2009	AWARDS FY 2009/2010	TOTAL \$ CHANGE	# 08/09	# 09/10	TOT CHG	AWARDS FY 2008/2009	AWARDS FY 2009/2010	TOTAL \$ CHANGE	# 08/09	# 09/10	TOT CHG
AGRICULTURE	\$ 1,432,745.23	\$ 1,834,365.74	\$ 401,640.51	13	8	(5)	\$ 16,257,864.18	\$ 20,776,003.70	\$ 4,518,139.52	149	148	(1)
BUSINESS	\$ -	\$ (25,700.00)	\$ (25,700.00)	-	(2)	(2)	\$ 1,014,000.00	\$ 204,848.00	\$ (809,152.00)	2	2	-
EDUCATION	\$ 4,614,272.46	\$ 4,260,506.35	\$ (353,766.11)	29	23	(6)	\$ 20,228,926.72	\$ 28,405,723.32	\$ 8,176,796.60	173	184	11
ENGINEERING	\$ 1,099,165.00	\$ (10,776.86)	\$ (1,109,941.86)	14	7	(7)	\$ 12,294,577.95	\$ 15,194,085.39	\$ 2,899,507.44	212	216	4
HASS	\$ 48,500.00	\$ 5,000.00	\$ (43,500.00)	2	1	(1)	\$ 2,939,780.92	\$ 1,043,671.60	\$ (1,896,109.32)	28	29	1
NAT. RESOURCES	\$ 782,966.73	\$ 996,239.00	\$ 213,272.27	12	13	1	\$ 5,478,574.54	\$ 9,984,016.93	\$ 4,505,442.39	113	155	42
SCIENCE	\$ 732,869.61	\$ 672,418.55	\$ (60,451.06)	11	10	(1)	\$ 7,320,622.44	\$ 9,968,483.94	\$ 2,647,861.50	95	104	9
MISCELLANEOUS	\$ 211,678.53	\$ (2,366.20)	\$ (214,044.73)	18	10	(8)	\$ 6,271,093.24	\$ 7,133,972.68	\$ 862,879.44	172	175	3
USURF TOTALS	\$ 3,799,002.00	\$ 8,348,239.76	\$ 4,549,237.76	18	22	4	\$ 51,652,892.09	\$ 62,134,540.31	\$ 10,481,648.22	197	247	50
GRAND TOTAL	\$ 12,721,199.56	\$ 16,077,946.34	\$ 3,356,746.78	117	92	(25)	\$ 123,458,332.08	\$ 154,845,345.87	\$ 31,387,013.79	1,141	1,260	119

PERCENTAGE
CHANGE :

DOLLARS
JUNE 2008 to 2009
26.39%

NUMBERS
JUNE 2008 to 2009
-21.37%

TOTAL DOLLARS
FY 08/09 to FY 09/10
25.42%

TOTAL NUMBERS
FY 08/09 to 09/10
10.43%

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/IOT funds.

: The Miscellaneous line includes the Cooperative Extension, Information Technology, Learning Resources, Life Span Learning, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community Relations, VP for Administrative Affairs, VP for Research.

: The June 2010 figure includes correcting adjustments made to the PARC reporting database

Selected List of Awards Greater than \$1,000,000 from 06-01-2010 to 06-30-2010

Filters Applied*: No Filter

Award # 1

Control Number	05S090031	Agency	2,122,000.00
Funding Agency	Missile Defense Agency	USU	0.00
Flow Through Agency	Missile Defense Agency	Total	2,122,000.00
Department	ELECTRICAL & COMPUTER ENGINEERING		
College	College of Engineering		
Admin. Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Lorin ZOLLINGER;		
Co-PI(s)			
Period of Performance	02-01-2010 -- 11-30-2010		
Original Award Date	02-01-2010		
Change/Mod Effective Date	06-22-2010		
Program Name	Precision Tracking and Surveillance System (PTSS) Definition Study		
Statement	The Space Dynamics Laboratory will aid in the concept development of an objective Precision Tracking and Surveillance System (PTSS) for the Missile Defense Agency and possibly, eventual hardware development, environmental testing, characterization, and calibration of the PTSS prototype system.		

Award # 2

Control Number	05S090034	Agency	1,420,000.00
Funding Agency	Hill Air Force Base	USU	0.00
Flow Through Agency	Missile Defense Agency	Total	1,420,000.00
Department	MECHANICAL & AEROSPACE ENGINEERING		
College	College of Engineering		
Admin. Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Mike FISHER;		
Co-PI(s)			
Period of Performance	07-01-2010 -- 11-30-2010		
Original Award Date	06-30-2010		
Change/Mod Effective Date	06-30-2010		
Program Name	Unmanned Aerial Vehicles (UAV) Flight Test Center - Phase 1		
Statement	The Space Dynamics Laboratory will support Hill Air Force Base in the development of a research facility for the testing of unmanned aerial vehicles (UAV) at Dugway Proving Ground.		

Award # 3

Control Number	08S063	Agency	1,000,000.00
Funding Agency	Air Force Research Laboratory	USU	0.00
Flow Through Agency	Air Force	Total	1,000,000.00
Department	ELECTRICAL & COMPUTER ENGINEERING		
College	College of Engineering		
Admin. Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Principal Investigator(s)	Chad FISH;		
Co-PI(s)			
Period of Performance	08-27-2008 -- 08-29-2013		
Original Award Date	08-27-2008		
Change/Mod Effective Date	06-22-2010		
Program Name	RESPONSIVE SPACE TECHNOLOGIES		
Statement	SPACE DYNAMICS LABORATORY PROPOSES TASKS FURTHERING STATE-OF-THE-ART TECHNOLOGIES IN SPACE-SUITABLE ELECTRONIC COMPONENTS AND RESPONSIVE SYSTEMS ELEMENTS, WITH AN EMPHASIS ON SPACE PLUG-AND-PLAY AVIONICS COMPONENTS, INTERFACES, AND ASSOCIATED METHODOLOGIES.		

Award # 4

Control Number	100114	Agency	1,300,000.00
Funding Agency	Department of Education	USU	0.00
Flow Through Agency	None	Total	1,300,000.00
Department	CENTER FOR PERSONS WITH DISABILITIE		
College	College Of Education & Human Servic		
Admin. Center	Center for Persons with Disabilitie		
Research Function	Public Service		
Principal Investigator(s)	John COPENHAVER;		
Co-PI(s)			
Period of Performance	06-01-2009 -- 05-31-2011		
Original Award Date	10-01-2009		
Change/Mod Effective Date	06-01-2010		
Program Name	TO OPERATE A REGIONAL RESOURCE CENTER REGION NO. 5		
Statement	SERVE REGION 5 IN PROVIDING FLEXIBLE, DATA-DRIVEN TECHNICAL ASSISTANCE FOCUSED ON EFFORTS THAT LEAD TO AND SUPPORT SUSTAINED CHANGE OF THE STATE AND LOCAL LEVELS BY WORKING COLLABORATIVELY WITH STATE EDUCATION AGENCIES, LEAD AGENCIES, OSEP, AND OTHER PARTNERS TO DEVELOP AND IMPLEMENT ACTIVITIES THAT SUPPORT SYSTEMIC CHANGE.		

Award # 5

Control Number	100973	Agency	1,017,870.00
Funding Agency	Utah Department of Health	USU	0.00
Flow Through Agency	Office of Special Education Programs and Projects (OSEP)	Total	1,017,870.00
Department	CENTER FOR PERSONS WITH DISABILITIES		
College	College Of Education & Human Services		
Admin. Center	Center for Persons with Disabilities		
Research Function	Public Service		
Principal Investigator(s)	Susan OLSEN;		
Co-PI(s)	MARLA NEF,		
Period of Performance	07-01-2010 -- 06-30-2011		
Original Award Date	06-21-2010		
Change/Mod Effective Date	06-21-2010		
Program Name	Up to 3 Early Intervention		
Statement	Provide early intervention services to children living in Cache, Rich, and Box Elder Counties.		

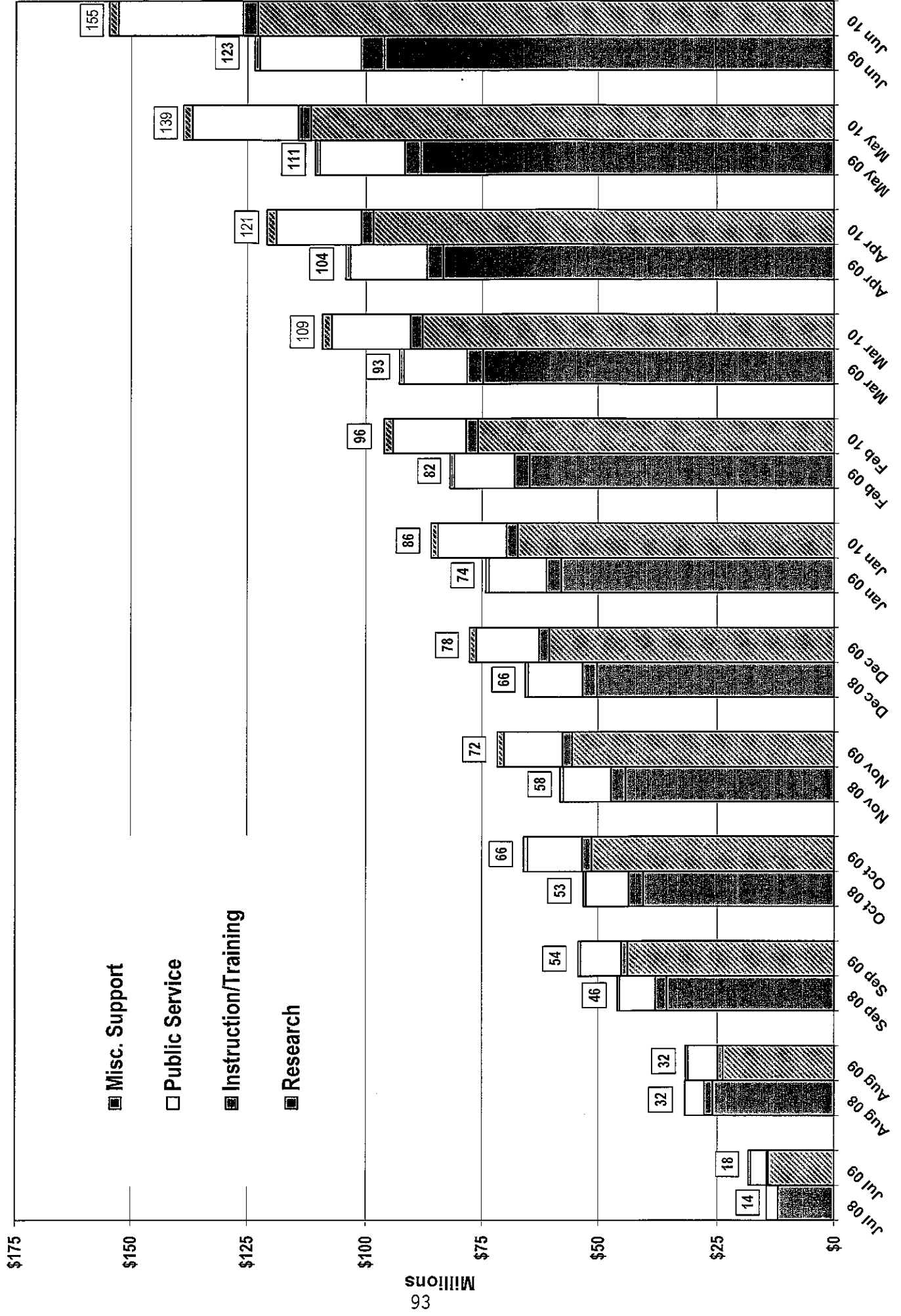
Award # 6

Control Number	S00000199	Agency	1,348,154.00
Funding Agency	University of California at Santa Cruz	USU	0.00
Flow Through Agency	NASA Ames Research Center	Total	1,348,154.00
Department	ELECTRICAL & COMPUTER ENGINEERING		
College	College of Engineering		
Admin. Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Lorin ZOLLINGER;		
Co-PI(s)			
Period of Performance	06-18-2010 -- 07-15-2011		
Original Award Date	06-18-2010		
Change/Mod Effective Date	06-18-2010		
Program Name	MODIS Airborne Simulator (MAS) Thermal Ports Background Mitigation (eMAS)		
Statement	The Space Dynamics Laboratory will deliver a cooled optical bench assembly for the Modis Airborne Simulator (MAS) instrument.		

Agency Total	8,208,024.00
USU Total	0.00
Grand Total	8,208,024.00

* Only awards from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Keith Paskett: Ketih.Paskett@usurf.usu.edu.

Utah State University Sponsored Program Awards FY 2008, FY 2009



UTAH STATE UNIVERSITY
SPONSORED PROGRAMS OFFICE
PROPOSALS BY COLLEGE
FOR PERIOD: JUNE 2010

FY 2009/2010

COLLEGE	CURRENT MONTH						CUMULATIVE TOTALS					
	PROPOSALS FY 2008/2009	PROPOSALS FY 2009/2010	TOTAL \$ CHANGE	# 08/09	# 09/10	TOT CHG	PROPOSALS FY 2008/2009	PROPOSALS FY 2009/2010	TOTAL \$ CHANGE	# 08/09	# 09/10	TOT CHG
AGRICULTURE	\$ 4,753,374.45	\$ 4,299,452.48	\$ (453,921.97)	24	6	(18)	\$ 37,554,053.59	\$ 42,939,374.67	\$ 5,385,321.08	176	167	(9)
BUSINESS	\$ -	\$ 608,575.00	\$ 608,575.00	-	-	-	\$ 224,848.00	\$ 1,386,037.15	\$ 1,161,189.15	2	5	3
EDUCATION	\$ 13,159,481.49	\$ 6,634,856.15	\$ (6,524,625.34)	40	5	(35)	\$ 60,162,808.52	\$ 109,708,087.49	\$ 49,545,278.97	259	233	(26)
ENGINEERING	\$ 19,295,404.83	\$ 4,543,244.71	\$ (14,752,160.12)	29	2	(27)	\$ 69,834,253.43	\$ 76,008,725.46	\$ 6,174,472.03	364	318	(46)
HASS	\$ 1,191,903.95	\$ 336,354.42	\$ (855,549.53)	7	7	-	\$ 5,637,189.37	\$ 4,974,428.08	\$ (662,761.29)	49	47	(2)
NAT. RESOURCES	\$ 2,430,011.61	\$ 5,046,618.96	\$ 2,616,607.35	21	16	(5)	\$ 11,752,556.99	\$ 24,061,668.31	\$ 12,309,111.32	149	189	40
SCIENCE	\$ 6,802,858.10	\$ 2,048,499.56	\$ (4,754,358.54)	18	12	(6)	\$ 68,376,834.58	\$ 93,852,858.05	\$ 25,476,023.47	173	200	27
MISCELLANEOUS	\$ 1,870,391.42	\$ 13,815,359.85	\$ 11,944,968.43	25	14	(11)	\$ 24,283,560.35	\$ 43,354,904.43	\$ 19,071,344.08	185	162	(23)
USURF TOTALS	\$ 3,770,546.00	\$ (2,461,870.50)	\$ (6,232,416.50)	11	17	6	\$ 103,678,991.43	\$ 230,971,961.78	\$ 127,292,970.35	101	204	103
GRAND TOTAL	\$ 53,273,971.85	\$ 34,871,090.63	\$ (18,402,881.22)	175	79	(96)	\$ 381,505,096.26	\$ 627,258,045.42	\$ 245,752,949.16	1,458	1,525	67

PERCENTAGE CHANGE :	DOLLARS	NUMBERS	TOTAL DOLLARS	TOTAL NUMBERS
	JUNE 2008 to 2009 -34.54%	JUNE 2008 to 2009 -54.86%	FY 08/09 to FY 09/10 64.42%	FY 08/09 to 09/10 4.60%

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or OT/FIOT funds.

: The Miscellaneous line includes the Cooperative Extension, Information Technology, Learning Resources, Life Span Learning, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community Relations, VP for Administrative Affairs, VP for Research.

: Beginning July 2009 the USU Sponsored Programs Office migrated from the CAMS database to the new PARC database. This transition affects the reporting of proposals by removing, from the monthly reports, "Continuation" proposals containing funds that have been included in the "Original" proposal of a project. This change will eliminate duplicate reporting of proposed funding in subsequent years of that project. All funding requests not included in the "Original" proposal of a project will be classified as "Supplemental" proposals and will be included in the monthly reports.

: The June 2010 figure includes correcting adjustments made to the PARC reporting database

Selected List of Proposals Greater than \$1,000,000 from 06-01-2010 to 06-30-2010

Filters Applied*: No Filter

Proposal # 1: New

Control Number	05S090031	Agency	2,498,329.00
Proposal ID	T00000951 Revision: 0	USU	0.00
Funding Agency	Missile Defense Agency	Other	0.00
Department	ELECTRICAL & COMPUTER ENGINEERING	Total	2,498,329.00
College	College of Engineering		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Deon R. DIXON;		
Co-PI(s)			
Period of Performance	06-15-2010 -- 11-30-2010		
Proposal Date	06-07-2010		
Program Name	Precision Tracking and Surveillance System (PTSS) Definition Study		
Statement	The Space Dynamics Laboratory will aid in the concept development of an objective Precision Tracking and Surveillance System (PTSS) for the Missile Defense Agency and possibly, eventual hardware development, environmental testing, characterization, and calibration of the PTSS prototype system.		

Proposal # 2: New

Control Number	05S090034	Agency	1,419,635.00
Proposal ID	T00000957 Revision: 0	USU	0.00
Funding Agency	Hill Air Force Base	Other	0.00
Department	MECHANICAL & AEROSPACE ENGINEERING	Total	1,419,635.00
College	College of Engineering		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Michael A FISHER;		
Co-PI(s)			
Period of Performance	07-01-2010 -- 11-30-2010		
Proposal Date	06-18-2010		
Program Name	Unmanned Aerial Vehicles (UAV) Flight Test Center - Phase 1		
Statement	The Space Dynamics Laboratory will support Hill Air Force Base in the development of a research facility for the testing of unmanned aerial vehicles (UAV) at Dugway Proving Ground.		

Proposal # 3: New

Control Number	101102	Agency	1,436,780.73
Proposal ID	T00028199 Revision: 0	USU	0.00
Funding Agency	National Science Foundation	Other	0.00
Department	Watershed Sciences	Total	1,436,780.73
College	College of Natural Resources		
Research Center	Ecology Center		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Charles P HAWKINS;		
Co-PI(s)	MEVIN HOOTEN;		
Period of Performance	01-01-2011 -- 12-31-2015		
Proposal Date	06-03-2010		
Program Name	COLLABORATIVE RESEACH: DIMENSIONS: QUANTIFYING AND PREDICTING THE TAXONOMIC		
Statement	This research addresses fundamental ecological questions associated with the status of aquatic biodiversity in USA streams and rivers and the vulnerability of that biodiversity to environmental alteration. The results of the research will provide policy makers and managers with knowledge needed to implement strategies to sustain the Nation's aquatic resources.		

Proposal # 4: New

Control Number	101107	Agency	614,275.00
Proposal ID	T00028206 Revision: 0	USU	615,745.00
Funding Agency	Economic Development Administration	Other	0.00
Department	BUSINESS ADMINISTRATION	Total	1,230,020.00
College	College of Business		
Research Center	University Research and Training		
Research Function	Other Assistance		
Principal Investigator(s)	DAVID H. CLARK;		
Co-PI(s)	MICHAEL YOUNG;		
Period of Performance	08-01-2010 -- 07-29-2013		
Proposal Date	06-08-2010		
Program Name	CENTER FOR ENTREPRENEURIAL SPIRIT BUSINESS INCUBATOR PROGRAM AND ONLINE LEARNING		
Statement	The Center for Entrepreneurial Spirit is working to create a University Center to promote economic development. The two programs supported by this funding opportunity will be a business incubator program and an entrepreneurship online learning environment.		

Proposal # 5: New

Control Number	101115	Agency	2,551,829.00
Proposal ID	T00028214 Revision: 0	USU	0.00
Funding Agency	National Institutes of Health (NIH)	Other	0.00
Department	NUTRITION, DIETETICS AND FOOD SCIEN	Total	2,551,829.00
College	College of Agriculture		
Research Center	Utah Science Tech. and Research		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Michael LEFEVRE;		
Co-PI(s)	Robert E WARD;		
Period of Performance	04-01-2011 -- 03-31-2015		
Proposal Date	06-07-2010		
Program Name	BIOMARKER PREDICTION OF INDIVIDUAL RESPONSES TO LDL CHOLESTEROL LOWERING DIETS		
Statement	This study will examine individual responses to three LDL-cholesterol lowering diets in 120 participants. Participants will be grouped based on their pattern of response across the diets. Biomarkers will be examined for their ability to predict response grouping and over all LDL-cholesterol reduction to the individual diets.		

Proposal # 6: New

Control Number	101125	Agency	2,873,645.00
Proposal ID	T00028226 Revision: 0	USU	0.00
Funding Agency	National Science Foundation	Other	0.00
Department	CIVIL & ENVIRONMENTAL ENGINEERING	Total	2,873,645.00
College	College of Engineering		
Research Center	Utah Water Research Laboratory		
Research Function	Sponsored Research-Applied		
Principal Investigator(s)	David G TARBOTON;		
Co-PI(s)	Jeffery S HORSBURGH;		
Period of Performance	10-01-2010 -- 09-30-2015		
Proposal Date	06-10-2010		
Program Name	A SUSTAINABLE FRAMEWORK TO DISCOVER, CREATE, SHARE, CONNECT, AND INTEGRATE DATA AND MODELS IN THE HY		
Statement	THIS PROPOSAL EXPANDS HIS INTO A SUSTAINABLE SOFTWARE INFRASTRUCTURE FOR COMMUNITY COLLABORATION CENTERED ON THE SHARING OF HYDROLOGIC DATA AND MODELS THAT WE REFER TO AS CUAHYSI ONLINE. IT IS INTENDED TO ENABLE USERS TO EASILY SHARE AND COLLABORATE AROUND DATA AND MODELS AND THEREBY ENHANCE RESEARCH, EDUCATION AND PRACTICAL APPLICATION OF HYDROLOGIC KNOWLEDGE.		

Proposal # 7: New

Control Number	101141	Agency	1,026,614.00
Proposal ID	T00028245 Revision: 0	USU	0.00
Funding Agency	National Institutes of Health (NIH)	Other	0.00
Department	PSYCHOLOGY	Total	1,026,614.00
College	College Of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	GREGORY MADDEN;		
Co-PI(s)	Timothy Alan SHAHAN;		
Period of Performance	07-01-2010 -- 06-30-2011		
Proposal Date	06-14-2010		
Program Name	Experimental Manipulations of Impulsivity: Effects on Gambling and Drug Taking		
Statement	Considerate empirical evidence has revealed that individuals diagnosed with pathological gambling and/or a substance-use-disorder more steeply devalue delayed events than matched non-gambler or non-drug-users. The proposed research will experimentally decrease this form of impulsivity (relative to a control group) and will evaluate if this affects subsequent gambling-related and drug-taking activities.		

Proposal # 8: New

Control Number	101167	Agency	2,266,660.02
Proposal ID	T00028280 Revision: 0	USU	318,265.55
Funding Agency	U.S. Geological Survey (USGS)	Other	0.00
Department	Dean - Natural Resources	Total	2,584,925.57
College	College of Natural Resources		
Research Center	University Research and Training		
Research Function	Other Assistance		
Principal Investigator(s)	Nat FRAZER;		
Co-PI(s)			
Period of Performance	10-01-2011 -- 09-30-2012		
Proposal Date	06-21-2010		
Program Name	SOUTHWEST REGIONAL CLIMATE SCIENCE CENTER		
Statement	This proposal is to host a Department of the Interior Regional Climate Science Center that would research the high priority climate change science needs and develop information and tools to help land, water, fish and wildlife, and cultural heritage resource managers develop strategies for responding to climate change		

Proposal # 9: New

Control Number	101219	Agency	4,789,191.00
Proposal ID	T00028345 Revision: 0	USU	0.00
Funding Agency	NIFA National Institute of Food & Ag (Formerly CSREES)	Other	0.00
Department	CENTER FOR PERSONS WITH DISABILITIES	Total	4,789,191.00
College	College Of Education & Human Services		
Research Center	Center for Persons with Disabilities		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Lisa K BOYCE;		
Co-PI(s)	Gina A COOK; Heidi J WENGREEN;		
Period of Performance	02-01-2011 -- 01-31-2016		
Proposal Date	06-29-2010		
Program Name	Project CHI-Kids: Creating Healthy Instincts with Young Children at Home and in Childcare		
Statement	The proposed project will work with child care providers and parents to reduce childhood obesity through child-focused activities, parent workshops and guided practice. Materials will be adapted to ensure usefulness and meaningfulness with Latino families, families living in rural communities, and families with children with disabilities.		

Proposal # 10: New

Control Number	101227	Agency	3,657,662.05
Proposal ID	T00028353 Revision: 0	USU	0.00
Funding Agency	NIFA National Institute of Food & Ag (Formerly CSREES)	Other	0.00
Department	YOUTH PROGRAMS	Total	3,657,662.05
College	Cooperative Extension		
Research Center	Cooperative Extension		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Siew Sun WONG;		
Co-PI(s)	Brett E SHELTON; Martha ARCHULETA; PAULA SCOTT;		
Period of Performance	07-01-2011 -- 06-30-2016		
Proposal Date	06-29-2010		
Program Name	Healthy Master, Healthy Pet-Virtual Pets to Prevent Obesity among Young Children		
Statement	Using virtual pets, this integrated project aims to motivate healthy lifestyle among 6-8 year-old children in low-income families to prevent childhood obesity. A virtual pet learning environment will be integrated to an Extension nutrition education program for children and parents, delivered by Utah EFNEP and Title-1 schools, over two years.		

Proposal # 11: New

Control Number	101229	Agency	1,811,941.00
Proposal ID	T00028355 Revision: 0	USU	0.00
Funding Agency	National Institutes of Health (NIH)	Other	0.00
Department	BIOLOGY	Total	1,811,941.00
College	College of Science		
Research Center	Utah Science Tech. and Research		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	David A YORK;		
Co-PI(s)	MIEJUNG PARK;		
Period of Performance	01-01-2011 -- 12-31-2015		
Proposal Date	06-29-2010		
Program Name	BEHAVIORAL/METABOLIC RESPONSE TO AMYGDALA INSULIN AND LIPID SIGNALING		
Statement	We shall investigate how PKCtheta expression in the amygdala alters energy balance and peripheral metabolism.		

Proposal # 12: Revision

Control Number	S00000306	Agency	-123,249.00
Proposal ID	T00000654 Revision: 1	USU	0.00
Funding Agency	Independent Energy Partners	Other	0.00
Department	CIVIL & ENVIRONMENTAL ENGINEERING	Total	-123,249.00
College	College of Engineering		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Paul ISRAELSEN;		
Co-PI(s)			
Period of Performance	08-01-2010 -- 01-31-2015		
Proposal Date	06-08-2010		
Program Name	Independent Energy Partners (IEP)		
Statement	The Energy Dynamics Lab will extract oil using the geothermic fuel cell (GFC) approach by inserting solid oxide fuel cells (SOFC) into boreholes in oil shale formations for the purpose of heating the formation and then extracting the oil and gas through adjacent collection wells. The electricity generated by the GFC is a byproduct beneficial to the economics of the extraction project; high-quality waste heat produced by the fuel cells is used to heat the oil shale or other hydrocarbon formation.		

Proposal # 13: New

Control Number	S00000406	Agency	2,353,588.00
Proposal ID	T00000754 Revision: 0	USU	0.00
Funding Agency	Sandia Laboratories	Other	0.00
Department	ELECTRICAL & COMPUTER ENGINEERING	Total	2,353,588.00
College	College of Engineering		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Deon R. DIXON;		
Co-PI(s)			
Period of Performance	06-20-2010 -- 07-31-2011		
Proposal Date	06-22-2010		
Program Name	Sandia Advanced Flight Telescope 2 (AFT2)		
Statement	This proposal is for Phase 2 of the characterization of Sandia National Laboratories' (SNL) Advanced Flight Telescope 2 (AFT2). The continuing characterization of the AFT will use SDL's collimated optical and spectral response sources and expertise to test its optical performance and end-to-end spectral transmission.		

Proposal # 14: New

Control Number	S00000534	Agency	1,107,566.00
Proposal ID	T00000908 Revision: 0	USU	0.00
Funding Agency	NASA - General	Other	0.00
Department	ELECTRICAL & COMPUTER ENGINEERING	Total	1,107,566.00
College	College of Engineering		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Chad FISH;		
Co-PI(s)			
Period of Performance	05-01-2011 -- 04-30-2014		
Proposal Date	06-18-2010		
Program Name	ROSES: Auroral Spatial Structures Probe (ASSP)		
Statement	The new NASA Heliophysics roadmap, "Heliophysics: The Solar and Space Physics of a New Era, Recommended Roadmap for Science and Technology 2009-2030" consists of a science queue of missions. Two of the principal science questions, Origins of Near Earth Plasma and Dynamic Geospace Coupling, will require a much greater understanding of the E-field which is the principal coupling mechanism of the ionosphere to the magnetosphere. This proposal is perfectly aligned with the science objectives in the new Heliophysics roadmap.		

Agency Total	28,284,466.80
USU Total	934,010.55
Other Total	0.00
Grand Total	29,218,477.35

* Only proposals from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Keith Paskett: Keith.Paskett@usurf.usu.edu.

ITEM FOR ACTION

RE: A proposed amendment to the Utah State University Policy Manual, Section 401.1 – 401.5 Composition and Authority of the Faculty.

EXECUTIVE SUMMARY

A proposed change to the Utah State University Policy Manual, Section 401.1 – 401.5 Composition and Authority of the Faculty was forwarded by the Professional Responsibilities and Procedures Committee (PRPC) and approved by the Faculty Senate on March 29, 2010. The purpose of this code change is to remove any distinction between regional campus faculty and main campus faculty with regard to tenure requirements. All other revisions were to remove excess and unnecessary verbiage and make simple language clarifications and changes in capitalization.

The above recommendations were made by the Professional Responsibilities and Procedures Committee (PRPC) and approved by the Faculty Senate (see attached).

RECOMMENDATION

Based on the above proposal and approvals as indicated, the President and Provost recommend that the Board of Trustees approve the proposed amendment to the Utah State University Policy Manual, Section 401.1 – 401.5 Composition and Authority of the Faculty.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The proposal to amend the Utah State University Policy Manual, Section 401.1 – 401.5 Composition and Authority of the Faculty, and

WHEREAS, There is a need to remove any distinction between regional campus faculty and main campus faculty with regard to tenure requirements, and

WHEREAS, There is a need to remove excess and unnecessary verbiage and to make simple language clarifications and changes in capitalization, and

WHEREAS, The proposal has the support of the Professional Responsibilities and Procedures Committee (PRPC), and

WHEREAS, The proposal has been approved by the Faculty Senate, and

WHEREAS, The proposal has the approval of the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby approve the proposed amendment to the Utah State University Policy Manual, Section 401.1 – 401.5 Composition and Authority of the Faculty.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

SECOND READING

POLICY MANUAL

FACULTY

Number 401

Subject: Composition and Authority of the Faculty

Effective Date: July 1, 1997

Effective Date of Last Revision: July 1, 1999

401.1 FACULTY MEMBERSHIP

The faculty consists of the President, the Provost, deans, and other members of the tenured and tenure-eligible faculty, faculty with term appointments, faculty with special appointments, and emeritus faculty as defined herein.

401.2 DEFINITIONS

2.1 Faculty Defined; Faculty Categories

The terms "faculty" and "faculty members" designate university employees appointed for the purpose of carrying out one or more of the following primary functions of the University: (1) academic instruction, (2) enlargement of knowledge through research and other creative activities, and (3) dissemination of knowledge beyond the campus through extension, service, and other methods.

Faculty members receive appointments in one of the following four separate categories: (1) tenured or tenure-eligible appointments; (2) term appointments without eligibility for tenure; (3) special appointments without eligibility for tenure; and (4) emeritus appointments.

2.2 Academic Units and Academic Departments Defined

An academic unit is a group of faculty of the University with an identifiable teaching, research, or other academic mission. To be designated an academic unit, the group of faculty must fulfill all of the following criteria: (a) have an identifiable curriculum or formal description in current University catalogs or other publications; (b) have a separate, identifiable budget; (c) be designated an academic unit by decision of the Educational Policies Committee and ratification of the Faculty Senate, and approved by the President, the Board of Trustees and the Board of Regents.

An academic department is a group of faculty of the University with an identifiable teaching,

research, or other academic mission. To be designated an academic department, the group of faculty must fulfill all of the following criteria: (a) offer or administer a degree, certificate, or some other official credential of the University; (b) have an identifiable curriculum and formal description in current University catalogs or other publications; (c) have a separate identifiable budget; (d) be designated an academic department by decision of the Educational Policies Committee and ratification of the Faculty Senate, and approved by the President, the Board of Trustees and the Board of Regents.

All academic departments are academic units. Two academic units are not academic departments. These are the Library and Extension.

~~401.3 RESIDENT AND NONRESIDENT FACULTY MEMBERS DISTINGUISHED~~

~~The resident faculty consists of all those faculty members who work at the Logan campus and maintain a primary office there. The nonresident faculty consists of all faculty members whose primary place of work and primary office is off the Logan campus.~~

Comment [JE1]: Note: PRPC recognizes that if academic units hold branch campus faculty to the same tenure requirements as main campus faculty, for publishing expectations, for example, branch campus faculty could have difficulty qualifying since their roles statements often reflect, for example, a higher teaching load.

401.34 THE TENURED AND TENURE-ELIGIBLE FACULTY

34.1 Description and Eligibility

The tenured and tenure-eligible faculty consists of those individuals appointed to carry out the University's scholarly and educational functions and who have been or may be granted permanent status (policy 405.1.2). They receive their appointments within academic units.

All faculty in this category either hold tenure or enter the process that leads to the granting of tenure.

Tenured and tenure-eligible faculty appointments shall not be made for less than .5 FTE, 50 percent-time.

34.2 Academic Ranks: Core Faculty

Tenure and tenure-eligible faculty members appointed to an academic department are the "core" faculty and hold one of the following ranks: Instructor, Assistant Professor, Associate Professor, or Professor. A description of each follows. (See policy 405.2 for a complete discussion of the criteria for appointment or promotion for these ranks.)

(1) Instructor.

Appointment as instructor requires, a master's degree or its equivalent, as determined by appropriate administrator, and demonstrated ability related to the role assignment.

(2) Assistant professor.

Appointment as or advancement in rank to assistant professor requires a terminal degree or its equivalent; demonstrated ability in teaching, research, extension, or other qualifying work; ~~evidence of scholastic promise; and evidence of progressive professional development as determined by appropriate administrator.~~

Comment [JE2]: The phrase "evidence of scholastic promise" is also found in Sec 405.2.1, 3.1, and 5.1. PRPC recommends it be stricken in all cases, as vague and already covered by other verbiage.

(3) Associate professor.

Appointment as or advancement in rank to associate professor requires all the qualifications prescribed for an assistant professor; an established reputation based upon a balance of scholarship, teaching, and service; and/or broad recognition for professional success in the field of appointment.

(4) Professor.

Appointment as or advancement in rank to professor requires all the qualifications prescribed for an associate professor and an established outstanding reputation in the field of appointment.

34.3 Academic Ranks: Librarians

Faculty members appointed to the academic unit of the library hold one of the following ranks: Affiliate Librarian, Assistant Librarian, Associate Librarian, or Librarian. A description of each follows. (See policy 405.3 for a complete discussion of the criteria for appointment or promotion for these ranks.)

(1) Affiliate librarian.

Appointment as affiliate librarian requires, a terminal degree in library and information science, which is a master's degree in library and information science, from an institution accredited by the American Library Association or a master's degree and appropriate credentials for assignment to areas with specialized needs and demonstrated ability related to the role assignment.

(2) Assistant librarian.

Appointment as or advancement in rank to assistant librarian requires all the qualifications prescribed for an affiliate librarian; demonstrated ability in librarianship, research, or other qualifying work; ~~evidence of scholastic promise; and evidence of professional development as determined by appropriate administrator.~~

(3) Associate librarian.

Appointment as or advancement to associate librarian requires all the qualifications prescribed for an assistant librarian; an established reputation in librarianship based on scholarship, and

service; and/or broad recognition for professional success in librarianship.

(4) Librarian.

Appointment as or advancement to librarian requires all the qualifications prescribed for an associate librarian and an established outstanding reputation in the field of academic librarianship.

34.4 Academic Ranks: Extension

Faculty members appointed to the academic unit of Extension and who fulfill general Extension responsibilities hold one of the following ranks: Extension Instructor, Extension Assistant Professor, Extension Associate Professor, or Extension Professor. A description of each follows. (See policy 405.4 for a complete discussion of the criteria for appointment or promotion for these ranks.)

(1) Extension instructor.

Appointment as Extension instructor requires a master's degree or its equivalent, as determined by appropriate administrator, and demonstrated ability related to the role assignment.

(2) Extension assistant professor.

Appointment as or advancement in rank to Extension assistant professor requires a terminal degree or its equivalent; demonstrated ability in teaching, research, extension, or other qualifying work; ~~evidence of scholastic promise~~; and evidence of progressive professional development as determined by appropriate administrator.

(3) Extension associate professor.

Appointment as or advancement in rank to Extension associate professor requires all the qualifications prescribed for an Extension assistant professor; an established reputation based upon a balance of scholarship, teaching, and service; and/or broad recognition for professional success in the field of appointment.

(4) Extension professor.

Appointment as or advancement in rank to Extension professor requires all the qualifications prescribed for an extension associate professor and an established outstanding reputation in the field of appointment.

34.5 Academic Ranks: Extension Agents

This historic title is no longer in use; instead, refer to "Academic Ranks: Extension" (see Section 401.3.4).

Faculty members appointed to the academic unit of Extension and who serve as Extension agents hold one of the following ranks: Affiliate Extension Agent, Assistant Extension Agent, Associate Extension Agent, or Extension Agent. A description of each follows. (See policy 405.5 for a complete discussion of the criteria for appointment or promotion for these ranks.)

(1) Affiliate extension agent:

Appointment as affiliate extension agent requires a bachelors degree and demonstrated ability in Extension related to the role assignment.

(2) Assistant Extension agent:

Appointment as or advancement in rank to assistant Extension agent requires a master's degree or its equivalent; demonstrated ability in teaching and developing programs relevant to the identified population; evidence of scholastic promise; and evidence of progressive professional development.

(3) Associate Extension agent:

Appointment as or advancement in rank to associate Extension agent requires all the qualifications prescribed for an assistant Extension agent; an established reputation based upon a balance of scholarship, teaching, Extension work and service; and/or broad recognition for professional success in Extension.

(4) Extension agent:

Appointment as or advancement in rank to Extension agent requires all the qualifications prescribed for an associate Extension agent and an established outstanding reputation in the field of appointment.

34.6 Exceptions

Under extraordinary circumstances exceptions to Section 401.3 may be made to the qualifications for appointment in the various ranks in order to fulfill the mission of the University. Exceptions require petition to and approval by the President, and must specify a time period for meeting the qualifications.

401.5-4 THE FACULTY WITH TERM APPOINTMENTS

54.1 Description and Appointment Requirements

The faculty with term appointments consists of individuals appointed to perform specialized academic duties who make substantial and regular contributions to a University academic unit, but do not have the permanence of appointment of tenured and/or the prospect of permanence of appointment of tenure-eligible faculty.

~~These appointments must be commensurate with the specialized duties to be performed. Proposed term appointments must be considered by committees using appropriate standards and procedures which apply to an appointment to a tenured and/or tenure-eligible faculty position.~~

Term appointments are for one academic or fiscal year in duration and are automatically renewed based on funding and performance, unless the faculty members holding such appointments are given notice of nonrenewal (policy 404.1.2(4)). The faculty member who holds a term appointment has no claim to a de facto permanent appointment based on length of service. Appointments for less than one academic or calendar year's duration are made to the temporary ranks (policy 401.6.2(3)). For those faculty whose appointments depend on extramural funds, the appointment is dependent upon the availability of those funds. Term appointments are established only in an academic unit. ~~In other units, term appointments are not made.~~

54.2 Academic Ranks

The academic ranks for the faculty with term appointments follow.

(1) Lecturer Rranks.

Faculty members whose function it is to teach remedial, beginning, or, on occasion, intermediate university courses, are appointed to one of the following titles: Lecturer, Senior Lecturer, or Principal Lecturer. ~~Appointments to lecturer positions are made only in academic units.~~

(2) Clinical Rranks.

Faculty members whose primary function is the supervision of students in clinical practicum, residency, and intern programs are appointed to one of the following ranks: Clinical Instructor, Clinical Assistant Professor, Clinical Associate Professor, or Clinical Professor. Clinical appointments are made through academic units.

(3) Research Rranks.

Faculty members whose primary function is research and whose source of funding is extramural are appointed to one of the following ranks: Research Assistant Professor, Research Associate Professor, or Research Professor. ~~Appointments to research ranks are made only in academic departments.~~

Comment [JE3]: A question was raised about whether these faculty have become tenure-eligible. PRPC members are not aware of any change.

(4) Federal Cooperator (FC) Rranks.

Faculty members who are federal employees, who are paid by agencies of the federal government, whose primary function at the university is equivalent to core faculty, and who serve as faculty under cooperative agreements between the University and the federal government (e.g., U.S. Department of the Interior, Fish and Wildlife Service) are appointed to one of the following ranks: Instructor (FC), Assistant Professor (FC), Associate Professor (FC), or Professor (FC).

Appointments to federal cooperator ranks are made only in academic units where such cooperative agreements exist.

(5) Federal Research (FR) Ranks.

Faculty members who are federal employees, who are paid by agencies of the federal government, whose primary function at the University is research, and who serve as faculty under agreements between the University and the federal government (e.g., U. S. Department of Agriculture) are appointed to one of the following ranks: Assistant Professor (FR), Associate Professor (FR), or Professor (FR). Appointments to federal research ranks are made only in academic units where such agreements exist.

(6) Edith Bowen Teachers.

Faculty members who hold certification or licensure required by public schools and whose primary function is teaching preschool, elementary school, or developmentally disabled students at Edith Bowen Elementary School; are appointed to one of the following titles: Teacher, Mentor Teacher, or Master Teacher. Appointments to teacher ranks are made only by the College of Education and Human Services.

45.3 Limitations on Positions: Faculty with Term Appointments

(1) No Tenure

Faculty with term appointments are not eligible to enter the process that leads to the granting of tenure, unless the faculty member's status is changed.

(2) Changes in Status.

All changes in status from term appointment faculty to faculty with tenure or tenure-eligibility require a national search.

(3) Leave.

Faculty with term appointments are not eligible for sabbatical leave, but ~~under appropriate conditions may be granted professional leave~~ under appropriate conditions, as determined by the appropriate administrator.

(4) Limitations on Faculty Participation.

Faculty with term appointments are eligible to be elected to and to vote for members of the Faculty Senate. The participation in faculty affairs of faculty members holding lecturer, clinical, research, federal research, or teacher positions is subject to the following limitations: (a) they may participate in the processes of setting policy within their academic units only to the extent determined by their appointing departments, colleges, or other academic units; (b) they may serve as members of appointed faculty committees and may vote on all matters except those relating to appointment, retention, tenure, or promotion of tenured and/or tenure-eligible faculty; and (c) they may not be counted among the number of tenured and tenure-eligible resident faculty members for purposes of apportioning Faculty Senate members. Federal Cooperator ranks are exempt from the foregoing limitations on faculty participation.

Comment [JE4]: A question was raised whether these committees need to be specified. PRPC believes the existing language is sufficient.

Comment [JE5]: PRPC poses the question to FS as to why FC are the only term faculty exempt from the foregoing limitations?

with the following exceptions: they may not serve on committees or vote on matters relating to retention or tenure of tenure-eligible faculty.

| 401.56 FACULTY WITH SPECIAL APPOINTMENTS

| 56.1 Description and Appointment Requirements

The faculty with special appointments consists of those individuals whose appointments confer a limited association with the University. Such appointments are made to establish an association with professional peers for temporary or part-time service.

Faculty members with special appointments must possess qualifications and experience commensurate with those required for tenured and/or tenure-eligible or term appointment faculty. Proposed special appointments must be considered by appropriate departmental procedures. Periodic reviews of the performance of faculty members with special appointments may be conducted. Faculty with special appointments are not eligible for tenure.

| 56.2 Academic Ranks

The academic ranks for the faculty with special appointments follow.

| (1) Adjunct Ranks.

Faculty members whose association with an academic department is secondary to an appointment within a different department, institution, organization, or other personal and professional interests are appointed to one of the following ranks: Adjunct Instructor, Adjunct Assistant Professor, Adjunct Associate Professor, or Adjunct Professor. Adjunct appointments are made for less than 50 percent time only.

Comment [JE6]: A question was raised about whether this statement should be removed. PRPC feels strongly that it should be kept to deter replacing benefits-eligible faculty with adjunct faculty.

| (2) Visiting Ranks.

Faculty members from other academic institutions who are participants in a university exchange program or who are employed to teach one or more ~~quarters-semester~~ for an academic department while on leave from another academic institution are appointed to one of the following ranks: Visiting Instructor, Visiting Assistant Professor, Visiting Associate Professor, or Visiting Professor.

| (3) Temporary ranks.

The term temporary may precede all tenure-eligible academic ranks. In extraordinary circumstances, academic units may ~~be forced to fill~~ faculty appointments on a temporary basis. The temporary nature and the length of the term of such a position must be clearly specified in advance. The term cannot exceed one academic year and is renewable up to an additional two years. An exception may be made for long-term international assignment. Temporary appointments shall not be used as long-term strategies for accomplishing the duties of academic departments or academic units.

Comment [JE7]: A question was raised about whether who specifies "extraordinary circumstances" should be specified. PRPC believes the existing language is sufficient.

| 56.3 Limitations on Positions: Faculty with Special Appointments

| (1) No tenure eligibility.

Faculty with special appointments are ineligible for tenure.

| (2) Limitations on faculty participation.

The participation of faculty members holding adjunct, temporary, or visiting positions is subject to the following limitations: (a) they may participate in the processes of setting policy within their departments

only to the extent determined by their appointing departments; (b) they may serve as voting members of appointed faculty committees except those relating to appointment, retention, tenure, or promotion of tenured and/or tenure-eligible faculty and faculty with term appointments; (c) they may not be counted among the number of ~~resident~~-faculty members for the purposes of apportioning the Faculty Senate members; and (d) they are ineligible to be elected to and to vote for members of the Faculty Senate.

13 August 2010

ITEM FOR ACTION

RE: A proposed amendment to the Utah State University Policy Manual, Section 401.6 – 401.11 Composition and Authority of the Faculty.

EXECUTIVE SUMMARY

A proposed change to the Utah State University Policy Manual, Section 401.6 – 401.11 Composition and Authority of the Faculty was forwarded by the Professional Responsibilities and Procedures Committee (PRPC) and approved by the Faculty Senate on April 26, 2010. The purposes of this code revision are to change the section numbering due to the removal of an earlier section; make minor grammatical, punctuation, capitalization, and terminology changes; remove items that are not needed; and improve clarity.

The above recommendations were made by the Professional Responsibilities and Procedures Committee (PRPC) and approved by the Faculty Senate (see attached).

RECOMMENDATION

Based on the above proposal and approvals as indicated, the President and Provost recommend that the Board of Trustees approve the proposed amendment to the Utah State University Policy Manual, Section 401.6 – 401.11 Composition and Authority of the Faculty.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The proposal to amend the Utah State University Policy Manual, Section 401.6 – 401.11 Composition and Authority of the Faculty, and

WHEREAS, There is a need to change the section numbering due to the removal of an earlier section; make minor grammatical, punctuation, capitalization, and terminology changes; remove items that are not needed; and improve clarity, and

WHEREAS, The proposal has the support of the Professional Responsibilities and Procedures Committee (PRPC), and

WHEREAS, The proposal has been approved by the Faculty Senate, and

WHEREAS, The proposal has the approval of the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby approve the proposed amendment to the Utah State University Policy Manual, Section 401.6 – 401.11 Composition and Authority of the Faculty.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

SECOND READING – Section 401.6 through 401.11

401.67 EMERITUS FACULTY

At the time of retirement and upon recommendation of the President and the approval of the Board of Trustees, faculty members may be awarded the honorary rank of Emeritus preceding their final academic rank.

Comment [JE1]: PRPC recommends that it remain unspecified who makes these recommendations.

401.78 LIST OF FACULTY

Prior to the end of fall semester of each year the University shall publish a list of all faculty which states the faculty category and the academic unit to which they are appointed.

Comment [JE2]: It appears this requirement is no longer being met in print, but via the USU online faculty directory.

401.89 AUTHORITY OF THE FACULTY

89.1 Policy Statement

(1) American Association of University Professors Joint Statement.

Although ~~This policy statement may contains some provisions which that~~ are the same or similar to certain principles promulgated by the American Association of University Professors (AAUP), ~~This policy statement is not intended to incorporate AAUP principles and interpretations, and any such incorporation by reference is expressly disclaimed.~~

(2) Faculty Responsibility for Educational Process.

The faculty has primary responsibility for such fundamental areas as curriculum, subject matter, and methods of instruction, and those aspects of student life which relate to the educational process. In those exceptional circumstances when the power of review or final decision of the President is exercised adversely on these matters, it shall be communicated to the faculty. Following such communication, the faculty shall have the opportunity for further consideration and further transmittal of its view to the President.

The faculty sets the requirements for the degrees offered, determines when the requirements have been met, and recommends to the President that the degrees be granted.

(3) Faculty Status and Related Matters.

Faculty status and related matters, such as appointments, reappointments, nonrenewals of appointments, terminations, dismissals, reductions in status, promotions, and the granting of tenure are primarily a faculty responsibility. The primary responsibility of the faculty for such matters is based upon the fact that its judgment is central to general educational policy. Furthermore, scholars in a particular field or activity have the chief competence for judging the work of their colleagues; in such competence it is implicit that responsibility exists for both

adverse and favorable judgments. Determination in these matters should be first by faculty action through established university procedures, reviewed and approved by - the President, followed, where necessary, by the approval of the Board of Trustees and/or the Board of Regents.

(4) Collegial Governance of the University.

There is shared responsibility in the governance of the University with a meaningful role for the faculty. This role includes participation in decisions relating to the general academic operations of the University, such as budget matters and the appointment of administrators. The faculty should actively advise in the determination of policies and procedures governing salary increases.

Organizations and methods for faculty participation in the collegial governance of the University should be established wherever faculty responsibility is present. The organizations and methods may consist of meetings of the faculty members of a department, college, library, extension, other academic unit, or the University as a whole; or they may take the form of faculty-elected committees in academic units and a faculty designed, approved, and established through joint effort of the faculty and the administration.

(5) Faculty and Administration Communications.

Suggested means of communication among the faculty, the University administrators, and Board of Trustees are:

- (a) circulation of memoranda and reports,
- (b) joint ad hoc committees of the groups,
- (c) standing liaison committees of the groups, and
- (d) membership of faculty members on administrative councils, committees, and other bodies.

89.2 Legislative Authority of the Faculty

Subject to the authority of the Board of Regents, the Board of Trustees, and the President, faculty shall legislate on all matters of educational policy, enact such rules and regulations as it deems desirable to promote or enforce such policies, and decide upon curricula and new courses of study.

The legislative power will normally be exercised by the Faculty Senate. In all matters except those within the authority of the Faculty Senate, the faculty retains original jurisdiction.

~~Whenever the faculty is acting within the scope of its authority, its actions shall be effective.~~

Comment [JE3]: PRPC agrees with the recommendation to strike this paragraph.

89.3 Appellate Authority of the Faculty:

Right to Review and to Modify Faculty Senate Actions

Faculty shall have the appellate power to review all Faculty Senate actions by means of a special

meeting. ~~See policy 402.1.~~ Upon the written petition of 10% of ~~resident~~ faculty who are eligible to vote in Faculty Senate elections, or upon the written request of 25 senators, the faculty must meet to reconsider Faculty Senate actions and to ratify, modify, or repeal them. The petition or request must be submitted to the President as chair of the faculty.

401.911 MEETINGS OF THE FACULTY

244.1 Calling Meetings

Meetings of the faculty may be convened upon the call of the President. Upon receipt by the President of a written request or a written petition as provided in policy 401.9.3, the President must call a meeting of the faculty within ten working days to discuss and/or act on issues raised in the request or petition.

240.2 Notice

Faculty must receive individual notice of the meeting and its agenda a minimum of five days before the meeting, unless a majority of them waives that notice prior to or at the meeting or unless the President waives the notice on the grounds of emergency.

191.3 Quorum

Any number over ten percent of the ~~resident~~ faculty eligible to vote in Faculty Senate elections shall constitute a forum for discussion at faculty meetings, but no vote shall be binding unless a quorum is present. Fifty percent plus one member of the voting faculty shall constitute a quorum. A quorum being present, all actions shall be by majority vote of those in attendance with voting power. Meeting procedures shall be governed by Robert's Rules of Order.

401.10 CHAIR OF THE FACULTY; SECRETARY OF THE FACULTY

The President of the University (and in the President's absence, the Provost) is the chair of the faculty and presides over all ~~its meetings of the faculty~~.

The President of the University shall appoint a secretary for the faculty who will also be ex officio ~~Executive s~~Secretary of the Faculty Senate. The secretary shall record all actions of the faculty when it meets, and shall preserve the records in a form convenient for reference.

~~See also policy 402.8~~

401.112 COMMITTEES OF THE FACULTY

The faculty may appoint, at any time, such committees as the work of the University may require. These committees must report to the faculty and to the Faculty Senate the progress of their work and the action they have taken.

Comment [JE4]: PRPC recommends that this section be placed ahead of the section "Chair of the Faculty" to improve clarity.

ITEM FOR ACTION

RE: A proposed amendment to the Utah State University Policy Manual, Section 402.1 – 402.3 The Faculty Senate and Its Committees.

EXECUTIVE SUMMARY

A proposed change to the Utah State University Policy Manual, Section 402.1 – 402.3 The Faculty Senate and Its Committees was forwarded by the Professional Responsibilities and Procedures Committee (PRPC) and approved by the Faculty Senate on April 26, 2010. The purpose of this code revision is to change terminology to better clarify policy as practiced. Changes were also made to the description of senate vacancies and rules for senators missing meetings.

The above recommendations were made by the Professional Responsibilities and Procedures Committee (PRPC) and approved by the Faculty Senate (see attached).

RECOMMENDATION

Based on the above proposal and approvals as indicated, the President and Provost recommend that the Board of Trustees approve the proposed amendments to the Utah State University Policy Manual, Section 402.1 – 402.3 The Faculty Senate and Its Committees.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The proposal to amend the Utah State University Policy Manual, Section 402.1 – 402.3 The Faculty Senate and Its Committees, and

WHEREAS, There is a need to change terminology to better clarify policy as practiced, and

WHEREAS, There is a need to change the description of senate vacancies and rules for senators missing meetings, and

WHEREAS, The proposal has the support of the Professional Responsibilities and Procedures Committee (PRPC), and

WHEREAS, The proposal has been approved by the Faculty Senate, and

WHEREAS, The proposal has the approval of the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby approve the proposed amendment to the Utah State University Policy Manual, Section 402.1 – 402.3 The Faculty Senate and Its Committees.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

POLICY MANUAL

FACULTY

Number 402

Subject: The Faculty Senate and Its Committees

Effective Date: July 1, 1997

Revision Date: November 16, 2001

Revision Date: April 29, 2002

Revision Date: January 12, 2007

Revision Date April 30, 2007

Date of Last Revision: May 6, 2009

402.1 AUTHORITY OF THE FACULTY TO REVIEW FACULTY SENATE ACTIONS

Actions of the Faculty Senate (Senate) shall be subject to the appellate power of the faculty, as provided in policy 401.9.3. The agenda and actions of the Senate shall be reported to the faculty as provided in policy 402.4.2(3).

402.2 AUTHORITY OF THE SENATE

The authority of the faculty is delegated to the Senate. The Senate legislates and sets policy for matters within the collective authority of the faculty. See policy 401.9. The Senate shall have the power to act for and represent the faculty in all matters of educational policy, including requirements for admission, degrees, diplomas, and certificates; and in curricular matters involving relations between colleges, schools, divisions, or departments.

The Senate shall also have the following powers: (1) To receive and consider reports from any faculty committee, and from any council, department, division, administrative officer, library, or college; and to take appropriate action. (2) To consider matters of professional interest and faculty welfare and to make recommendations to the President of the University and other administrative officers. (3) To propose to the President amendments or additions to these policies.

2.1 Senate Power of Internal Governance; Referral of Matters to the President

The Senate shall have the power to make rules governing its own procedures and to establish its own order of business. All other matters considered and approved by the Senate shall be

forwarded by the Executive Secretary to the President of the University and, in appropriate cases, to the Board of Trustees.

2.2 The President, University Administrators, and Board of Trustees

The Senate is an advisory body to the President of the University. While the Senate votes on policy and procedural issues, including but not limited to policy and procedural issues in these policies, these actions and recommendations cannot be implemented without the approval of the President of the University. The Board of Trustees advises the President of the University and approves or disapproves any substantive policy or procedural change, addition or deletion in the policies. Approval or disapproval of Senate actions, whether by the President of the University or by the Board of Trustees, shall be reported back to the President of the Senate by the President of the University, or a designee, in a timely manner following the Senate action. When Senate actions receive final approval, it is the responsibility of University administrators and administrative bodies to implement the action.

2.3 Senator's Handbook

Each senator shall receive a current Senator's Handbook which explains briefly the role and operation of the Senate. The Handbook will include: (1) provisions of this policy pertinent to Senate proceedings, rules, and membership; (2) a simplified statement of the Rules of Order; and (3) rules for calling meetings. The Senate Executive Secretary must ensure that each newly elected Senator receives a Handbook no later than the September meeting of the Senate.

402.3 MEMBERSHIP; ALTERNATES; TERM; VACANCIES

3.1 Membership

The Senate shall be composed of the following members: (1) Fifty-five faculty members elected by and from faculty members eligible to vote in Senate elections (see policy 401.6.3(2)(d)); (2) the President and the Provost of the University or their designees; (3) eight appointees of the President of the University who shall be vice presidents and/or deans, six of whom must hold faculty appointments and must be designated annually preceding elections to the Senate; (4) the four chairs of the Academic Freedom and Tenure Committee, the Budget and Faculty Welfare Committee, the Professional Responsibilities and Procedures Committee, and the Faculty Diversity, Development and Equity Committee, if they are not one of the faculty members elected to the Senate; and (5) three students, who shall include the Associated Students of Utah State University (ASUSU) President or a designee, the ASUSU Academic Senate President or a designee, and the Graduate Student Senate (GSS) President or a designee.

With the exception of faculty holding special or emeritus appointments, any member of the faculty who is not designated as a presidential appointee is eligible for election to the Senate.

3.2 Alternates for Elected Members

Senate members are expected to attend its meetings regularly. In cases of unavoidable absence,

Comment [JE5]: The question was asked: Why 55 senators? PRPC has no knowledge of where the number originated, nor do we propose a change.

including sabbatical leave, professional development leave, and unpaid leaves of absence, Senators will arrange for an elected alternate senator to attend in their place. (see policy 402.10.2) The alternate shall have full voting rights.

Senators must notify the Executive Secretary of the Senate in writing (email is acceptable) whenever alternates will replace them. If an absent senator fails to arrange for a substitute more than once during an academic year, then that senators' position will be considered vacant (see policy 402.3.4). Senators are considered absent whenever they are replaced by designated alternates (see policy 402.3.4).

3.3 Term

Faculty members elected to the Senate shall serve three-year terms or, as provided in policy 402.3.4, complete the three-year term vacated by a faculty member. Terms shall begin July 1 following elections and ~~may be re-elected~~ are renewable once, after which a faculty member is ineligible to stand for election for one year. The term of office for student members of the Senate shall be one year and shall coincide with the term of ASUSU and GSS officers. The term of office for presidential appointees shall be one year and shall begin July 1. A presidential appointee can be reappointed to consecutive terms, up to a maximum of six years, after which the appointee is ineligible for appointment for one year.

3.4 Vacancies

A senate seat shall be declared vacant if a senator (1) resigns, (2) is terminated, (3) goes on extended medical leave, (4) will otherwise be unavailable for more than half of the academic year, (5) misses more than one regularly scheduled meeting during an academic year without arranging for an alternate, or (6) misses five-four regularly scheduled senate meetings even when an alternate is arranged during any one academic year, or misses more than one regularly scheduled meeting without arranging for an alternate. The Executive Secretary of the Senate reports all vacancies to the Committee on Committees. For vacancies ~~owing to resignation, termination, incapacitating illness or four absences from regularly scheduled Senate meetings,~~ an alternate elected senator will be appointed by the affected college ~~Dean or Director Vice President~~ to fill the seat in accordance with policies 402.3.2 and 402.10.1. For vacancies among Presidential appointees, the President shall appoint a new Senator within 30 days.

402.4 RECORDS; AGENDA; MINUTES; ORDER OF BUSINESS

4.1 Records

The records of the Senate shall be kept by an executive secretary for the use of the members of the faculty, the President of the University, and the Board of Trustees. Records are public unless otherwise specified by action of the Senate in accord with state law (see policy 402.8).

Under the supervision of the President of the Faculty Senate, the Executive Secretary shall ensure that Senate actions approved by the President of the University, or where necessary by the President and the Board of Trustees (see policy 402.2.2), are published in campus media within

Comment [JE6]: A question was asked about defining "campus media." PRPC recommends the language stand as is.

an appropriate time frame and included in the Senate records.

4.2 Agenda and Minutes

(1) Senate agenda.

The Executive Committee shall meet at least 14 days in advance of regularly scheduled Senate meetings to prepare the agenda and make assignments to those who are to report to the Senate. A copy of the agenda must be sent to each senator at least five days before regularly scheduled meetings.

Comment [JE7]: A question was asked if a copy being sent is appropriate. PRPC recommends the language stand as is.

(2) Faculty petition to place matter on the agenda.

Any 25 faculty members may petition the Senate to obtain consideration of any matter within the Senate's authority. The petition shall be presented in writing to any Senate member, who shall then give notice of the petition to the Senate or to its Executive Committee. The Executive Committee shall place the matter raised in the petition on the agenda of the next regularly scheduled Senate meeting or, at the discretion of the Senate President, on the agenda of a special meeting called in accordance with the provisions of policy 402.6.2.

Comment [JE8]: PRPC was asked if 25 is an appropriate number to require use of senate time. PRPC recommends the language stand as is.

(3) Distribution of agenda and minutes.

One week prior to each Senate meeting, the Executive Secretary shall provide each academic unit, for public posting, a copy of the agenda of the next meeting, without attachments, and minutes of the prior Senate meeting.

(4) Publicizing and publication of recommended changes in policies or procedures.

Under the supervision of the President of the Faculty Senate, the Executive Secretary shall ensure that Senate actions recommending a change in this policy or in other University policies or procedures are publicized in a timely manner to the campus and reported to campus news media.

4.3 Order of Business

Except as otherwise provided by the Senate, its order of business shall be: call to order (quorum), approval of minutes, announcements, university business, information items, consent agenda, key issues and action items, new business, and old business.

ITEM FOR ACTION

RE: A proposed amendment to the Utah State University Policy Manual, Section 405.6.2(1) Tenure and Term Appointments: Evaluation, Promotion and Retention (Role of the Tenure Advisory Committee).

EXECUTIVE SUMMARY

A proposed change to the Utah State University Policy Manual, Section 405.6.2(1) Tenure and Term Appointments: Evaluation, Promotion and Retention (Role of the Tenure Advisory Committee) was forwarded by the Professional Responsibilities and Procedures Committee (PRPC) and approved by the Faculty Senate on April 26, 2010. The purpose of this code change is to provide clarification of language focusing on (1) the role of the Tenure Advisory Committee, (2) the responsibilities of that committee, and (3) the actions the committee needs to take. These changes came forward from the Pre-Tenure Mentoring and Evaluation Committee and are in response to NWCCU feedback during USU's accreditation process.

The above recommendations were made by the Professional Responsibilities and Procedures Committee (PRPC) and approved by the Faculty Senate (see attached).

RECOMMENDATION

Based on the above proposal and approvals as indicated, the President and Provost recommend that the Board of Trustees approve the proposed amendment to the Utah State University Policy Manual, Section 405.6.2(1) Tenure and Term Appointments: Evaluation, Promotion and Retention (Role of the Tenure Advisory Committee).

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The proposal to amend the Utah State University Policy Manual, Section 405.6.2(1) Tenure and Term Appointments: Evaluation, Promotion and Retention (Role of the Tenure Advisory Committee), and

WHEREAS, There is a need to provide clarification of language focusing on (1) the role of the Tenure Advisory Committee, (2) the responsibilities of that committee, and (3) the actions the committee needs to take, and

WHEREAS, These changes came forward from the Pre-Tenure Mentoring and Evaluation Committee and are in response to NWCCU feedback during USU's accreditation process, and

WHEREAS, The proposal has the support of the Professional Responsibilities and Procedures Committee (PRPC), and

WHEREAS, The proposal has been approved by the Faculty Senate, and

WHEREAS, The proposal has the approval of the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby approve the proposed amendment to the Utah State University Policy Manual, Section 405.6.2(1) Tenure and Term Appointments: Evaluation, Promotion and Retention (Role of the Tenure Advisory Committee).

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

SECTION 405.6.2(1) – CURRENT WORDING

"The role of the tenure advisory committee is to assist the faculty member in the achievement of tenure through appropriate counsel and advisement and to render judgment that the faculty member has or has not attained the criteria for tenure. Concurrently, the tenure advisory committee has a responsibility to recommend the nonrenewal of the appointment of a faculty member who is not, in the judgment of the committee, progressing satisfactorily toward tenure. To these ends, the tenure advisory committee shall counsel and advise and thereafter make an annual recommendation with respect to the continuation of the appointment of the faculty member. Such a recommendation will be: 1) to renew the appointment; 2) nonrenewal of the appointment (407.2.1 (5)) prior to the end of the probationary period; 3) to award tenure; or 4) to deny tenure, that is, nonrenewal of the appointment (407.2.1 (5)) at the end of the probationary period."

FINAL WORDING – SECTION 405.6.2(1)

"The role and responsibility of the Tenure Advisory Committee is to provide an annual evaluation of a faculty member's progress toward tenure and promotion. The TAC is responsible for providing feedback to the faculty member with regard to progress toward tenure and promotion, and shall recommend (a) to renew the appointment or (b) not to renew the appointment (407.2.1 (5)). In the final year of the probationary period, the committee shall recommend (a) awarding promotion and tenure or (b) denying promotion and tenure (407.2.1 (5)). At any time during the probationary period, the committee can be asked to render judgment on an administrative proposal to grant promotion and tenure in accordance with Section 405.7.3 (1) of the Faculty Code. Under those circumstances, the TAC shall recommend (a) to award promotion and tenure or (b) to continue the probationary period."

Action Agenda

ACTION AGENDA
AUGUST 13, 2010

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1. Amendment to the Board of Trustees Bylaws, Article I, Membership	1
2. Tenure and Promotion Decisions 2010 (Amendment to Tenure and Promotion Resolution Approved March 26, 2010)	5

August 13, 2010

ITEM FOR ACTION

RE: Amendment to the Board of Trustees Bylaws, Article I, Membership

EXECUTIVE SUMMARY

It is proposed that the membership of the Board of Trustees be changed from ten members to eleven members, nine of whom will be appointed by the governor with the consent of the Utah State Senate – to include one member from southeastern Utah as mandated in the Utah State University-College of Eastern Utah Memorandum of Understanding, and two ex officio members – the president of the USU Alumni Association and the president of the USU Associated Students.

RECOMMENDATION

President Stan L. Albrecht, Board of Trustees Chairman Suzanne Pierce-Moore, and Secretary of the Board Sydney M. Peterson, recommend Board approval of the amendment to the Board of Trustees Bylaws.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The current Board of Trustees Bylaws, Article I, Membership, states that “the Board of Trustees shall consist of ten persons, eight of whom are appointed by the governor with the consent of the Utah State Senate, and two ex-officio members – the president of the USU Alumni Association and the president of the USU Associated Students,” and

WHEREAS, the Utah State University-College of Eastern Utah Memorandum of Understanding states that “The Governor will be encouraged to appoint a southeastern Utah resident to be a member of the Utah State University Board of Trustees and, if necessary, the size of the current board will be increased to facilitate that representation;”

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees amend the Board of Trustees Bylaws, Article I, Membership, to the following: “The Utah State University Board of Trustees shall consist of **eleven** persons: **nine** of whom are appointed by the governor with the consent of the Utah State Senate – **one from southeastern Utah as mandated in the Utah State University-College of Eastern Utah Memorandum of Understanding**, and two ex officio members – the president of the USU Alumni Association and the President of the USU Associated Students. The **nine** appointed members serve for four-year terms, **four** expiring on June 30 of each odd numbered year. The two ex officio members serve for the term of their respective offices.”

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

**BYLAWS
OF THE
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

**ARTICLE I
MEMBERSHIP**

The Utah State University Board of Trustees shall consist of ~~ten~~ **eleven** persons: ~~eight~~ **nine** of whom are appointed by the governor with the consent of the Utah State Senate – **one from southeastern Utah as mandated in the Utah State University-College of Eastern Utah Memorandum of Understanding** – and two ex officio members – the president of the USU Alumni Association and the president of the USU Associated Students.

The ~~eight~~ **nine** appointed members serve for four-year terms, four expiring on June 30 of each odd-numbered year. The two ex officio members serve for the term of their respective offices.

Appointments made in filling a vacancy occurring before the expiration of the term shall be made for the remainder of the unexpired term. Regardless of the termination date of the term of office, all members shall continue to hold office until their successors have been appointed and qualified.

Each member shall take the official oath of office, which shall be filed with ~~the Lt. Governor's~~ **Utah State Archives**.

13 August 2010

ITEM FOR ACTION

RE: Tenure and Promotion Decisions 2010

One additional tenure and promotion decision for 2009-2010 is attached and submitted for the consideration of the Trustees. This case represents unique circumstances and is an addendum to the Tenure and Promotion Decisions 2010 action item and resolution approved 26 March 2010. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The candidate for promotion and/or tenure was evaluated by faculty committees, department heads, and college deans. Recommendations were then forwarded to the President for further consideration. After review by the President, Provost, Vice President for Research, Vice President for Extension, Dean of the School of Graduate Studies, and one faculty member, one faculty member is recommended for promotion and tenure to become effective 1 July 2010. The candidate list includes: (1) one assistant professor promoted to associate professor with tenure.

RECOMMENDATION

The President and Provost recommend that the Board of Trustees approve the decision for promotion and/or tenure effective 1 July 2010.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The attached list of a tenure and promotion decision for 1 July 2010 is recommended by the President and Provost to the Board of Trustees;

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approve the recommendation of the President and Provost.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

Tenure and Promotion Decisions

Tenure and Promotion are effective 1 July 2010

**USU Board of Trustees
13 August, 2010**

<u>Department and Name</u>	<u>Action Taken</u>
Emma Eccles Jones College of Education and Human Services	
<u>Psychology</u> Jamison Fargo	Promotion to Associate Professor with Tenure

**Tenure and Promotion Summary Sheet
2009-2010**

<u>College</u>	<u>Candidates</u>	<u>Approved</u>
College of Agriculture		
Promotion to Associate Professor with Tenure	1	1
Promotion to Research Associate Professor	1	1
Jon M. Huntsman School of Business		
Promotion to Associate Professor with Tenure	2	2
Associate Professor with Tenure	1	1
Promotion to Professor	1	1
Promotion to Clinical Associate Professor	1	1
Promotion to Senior Lecturer	1	1
Emma Eccles Jones College of Education and Human Services		
Promotion to Associate Professor with Tenure	6	6
Promotion to Professor	1	1
College of Engineering		
Promotion to Associate Professor with Tenure	6	6
Promotion to Professor	1	1
College of Humanities, Arts, and Social Sciences		
Promotion to Associate Professor with Tenure	4	4
Promotion to Senior Lecturer	2	2
College of Natural Resources		
Promotion to Professor	1	1
Promotion to Research Associate Professor	1	1
College of Science		
Promotion to Associate Professor with Tenure	3	3
Promotion to Senior Lecturer	1	1
Libraries		
Promotion to Associate Librarian with Tenure	3	3
Promotion to Librarian	1	1
Cooperative Extension		
Promotion to Extension Associate Professor with Tenure	1	1
TOTAL	39	39

Revised 13 August 2010

**Tenure and Promotion Summary Sheet
2009-2010**

<u>Breakdown</u>	<u>Individuals Considered</u>
Promotion to Associate Professor with Tenure	22
Associate Professor with Tenure	1
Promotion to Professor	4
Promotion to Extension Associate Professor with Tenure	1
Promotion to Associate Librarian with Tenure	3
Promotion to Librarian	1
Promotion to Senior Lecturer	4
Promotion to Clinical Associate Professor	1
Promotion to Research Associate Professor	2
TOTAL	39

Individuals considered for promotion and/or tenure	39
Individuals denied promotion and/or tenure	0

Revised 13 August 2010